

CAPER 2012-13

Consolidated Annual Performance and Evaluation Report on Federally-Funded Housing and Community Development Programs Operated by the State of California:

Community Development Block Grant (CDBG)
Home Investment Partnerships Program (HOME)
Emergency Solutions Grants (ESG)
Housing Opportunities for Persons with AIDS (HOPWA)
Lead Based Paint Hazard Control Program (LHCP)

With summary reports on:
Disaster Recovery Initiative (DRI) and Disaster Recovery Enhancement Fund (DREF)



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I. INTRODUCTION AND SUMMARY OF ACCOMPLISHMENTS

This Consolidated Annual Performance and Evaluation Report (CAPER) reports on implementation in 2012-13 of the State of California's 2010-15 Consolidated Plan and its 2012-13 Annual Plan Update regarding the use of certain federal funds. Throughout this document, "2012-13" means the State fiscal year from July 1, 2012, through June 30, 2013, and "FFY 2012" means the federal fiscal year from October 1, 2012 through September 30, 2013.

This report covers the use of federal block grant funds awarded by five long-duration programs, administered by three State agencies, for housing and community development activities in non-entitlement cities and counties. It also includes summary reports on three short-term programs for economic stimulus and disaster relief. Not all numerical data for this CAPER was available at the time of public review, so some table cells were left empty or marked with XXs where data would be entered in the public review draft. That data was entered in the final CAPER submitted to the federal Department of Housing and Urban Development (HUD).

This CAPER was circulated and available for public review and comment from August 28 through September 12, 2013. Public hearings were held in Riverside on August 28 and on September 4, 2013 in Redding and Sacramento. See Public Notices in Appendix F for times and locations. The hearings provided opportunities for interested parties to submit oral or written comments or questions regarding the program operations covered in this CAPER. Responses to any public comments received are included in the final CAPER.

A. RESOURCES MADE AVAILABLE

The State Consolidated Plan and this CAPER cover the use of federal funds from the HUD, administered by California State agencies during 2012-13 through these programs:

- The **Community Development Block Grant (CDBG)**, **HOME Investment Partnerships (HOME)**, and **Emergency Solutions Grants (ESG)** programs are administered by the Department of Housing and Community Development (HCD).
- The **Housing Opportunities for Persons with AIDS (HOPWA)** program is administered by the Department of Public Health (DPH).
- The **Lead Based Paint Hazard Control Program (LHCP)** is administered by the Department of Community Services and Development (CSD).

This CAPER does not report in detail on California's participation in federal economic stimulus programs created by the Housing and Economic Recovery Act of 2008 (HERA) and the American Recovery and Reinvestment Act of 2009 (ARRA), which report directly to HUD. However, this CAPER does include summary reports on two of these short-term federal programs:

- The **Disaster Recovery Initiative program (DRI)**, administered by CDBG, to finance continued recovery from California wildfires in 2008, and the **Disaster Recovery Enhancement Fund (DREF)**, which distributed federal funds to supplement DRI in 2012-13, to support planning for the prevention of future natural disaster damage.

HOME committed to grantees part of its 2013-14 funding in 2012-13, to allow earlier planning and preparation in order to accelerate use of the funds. Similarly, most HOME 2012-13 funds were committed in 2011-12 and reported on in the 2011-12 CAPER.

HOPWA continues to allocate funds annually on a non-competitive formula basis which includes unspent or recaptured funds from earlier years.

LHCP received a Round XVIII grant of \$2.3 million from HUD on June 1, 2012. LHCP made awards totaling \$1.95 million on July 1, 2012 (i.e., in 2012-13). The LHCP chapter of this CAPER focuses on Round XVIII.

Table 1 shows the pre-commitment in 2012-13 of some 2013-14 HOME and CDBG funds, and the re-awarding by several programs of prior-year funds recaptured in 2012-13. The total of funds awarded in 2012-13 is substantially less than the total allocated by HUD because most of **HOME's** 2012-13 funds were pre-committed in 2011-12. **LHCP's** Round XVIII awards, from its HUD allocation, were made on the first day of 2013-14.

Table 1 Federal Funds Allocations and Awards by Program

| 2012-13 | | | | |
|--------------------|---------------------------------|--|----------------------------------|-------------------------|
| Program | FFY 2012 funds allocated by HUD | 2012-13 and earlier funds awarded in 2012-13 | 2013-14 funds awarded in 2012-13 | Total Awards in 2012-13 |
| CDBG | \$29,636,301 | \$47,866,897 | \$0 | \$47,866,897 |
| HOME ¹ | \$30,973,276 | \$20,562,515 | \$18,997,000 | \$39,559,515 |
| HOPWA ² | \$3,440,164 | \$3,749,157 | \$0 | \$3,749,157 |
| LHCP ³ | \$2,300,000 | \$0 | \$0 | \$0 |
| Totals | \$66,349,741 | \$72,178,569 | \$18,997,000 | \$91,175,569 |

Federal and State **Low-Income Housing Tax Credits** (LIHTCs)⁴ are administered competitively on a statewide basis by the California Tax Credit Allocation Committee (TCAC) in the State Treasurer's Office, and are often used with projects funded by the programs in this CAPER.

In calendar 2012, TCAC awarded \$87.3 million in competitive 9% annual federal LIHTCs to 102 proposed housing projects. In addition, TCAC awarded \$85.5 million in state tax credits to 28 of those competitive 9% projects, and \$26.3 million in state credit to 13 projects receiving 4% tax credits with tax-exempt bonds. Recipients will develop a total of 6,246 affordable housing units using 2012 9% tax credit awards, funded with \$927 million in tax credit equity investments⁵.

¹ Most of HOME's 2012 allocation was pre-committed in 2011-12, and reported on in the 2011-12 CAPER.

² The HOPWA allocation includes allocations for Bakersfield and Fresno EMSAs as well as the State of California allocation.

³ LHCP received a Round XVIII grant of \$2.3 million from HUD on June 1, 2012. The LHCP chapter of this CAPER focuses on Round XVIII.

⁴ The Tax Credit program is not administered by HUD, and is not reported on in detail in the CAPER.

⁵ TCAC 2012 Annual Report at <http://www.treasurer.ca.gov/ctcac/2012/annualreport.pdf>

In addition, by June 30, 2013, HCD and the California Housing Finance Agency (CalHFA) had awarded nearly \$1.8 billion of the \$2.1 billion in housing bond funds approved by voters in Proposition 46 of 2002, and nearly \$2.1 billion of the \$2.85 billion in bond funds approved by Proposition 1C in November 2006. See details in Section IV. Other Actions. In total, Proposition 46 and Proposition 1C funds awarded by the end of 2012-13 are expected to create, rehabilitate, preserve or incentivize approximately 123,978 housing units and 13,083 shelter spaces.

B. PROGRAM GOALS

The State of California Consolidated Plan for 2010-2015 identifies four over-arching goals for the State's use of federal community development funds:

Goal 1: Meet the housing needs of low-income renter households, including providing homeownership opportunities for first-time homebuyers.

Goal 2: Meet the housing needs of low-income homeowner households.

Goal 3: Meet the housing, supportive housing, and accessibility needs of the homeless and other special needs groups, including the prevention of homelessness.

Goal 4: Mitigate impediments to fair housing.

In the following program-specific sections, each program reports its accomplishments related to these overall goals. Other community development accomplishments by State of California agencies and programs are also discussed in the program-specific sections, and in Section IV. Other Actions.

C. GEOGRAPHIC DISTRIBUTION OF AWARDS

Appendix B tabulates the awards of federal community development funds in 2012-13 by jurisdiction, county, and region for each of the four programs covered.

Appendix C provides information similar to that in Appendix B, for the accelerated commitment of future HOME funds expected to be allocated by HUD for FFY 2013-14. These accelerated awards are made to give recipients better assurance of continued funding for multi-year projects, and to facilitate earlier expenditure of the funds.

D. OUTCOME PERFORMANCE MEASUREMENT

In accordance with the Final Rule (FR-4970-N-02) published by HUD on March 7, 2006 on the Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs, the State has collected information on activities and indicators as outlined in the 2010-2015 Consolidated Plan and the associated Annual Plan for 2012-13. Details on performance measurement outcomes of each program are included in the individual program sections beginning on page 6.

E. RESPONSES TO PUBLIC COMMENTS

The following comment(s) were received:

Comment 1 - Doris Weis, Community Services Specialist, Eastern Los Angeles Regional Center

Response 1 - Please refer to Appendix A of the State's Annual Plan at <http://www.hcd.ca.gov/hpd/hrc/rep/fed/> on HCD's website, which shows eligible jurisdictions by each program (HOME, CDBG, ESG, HOPWA).

F. HOUSEHOLDS ASSISTED

Table 2 summarizes the numbers reported by grantees of households and homeless individuals and families assisted with housing and supportive services by the CDBG, HOME, and HOPWA programs during 2012-13, by household type, tenure and income categories.

Table 2 Summary of Households Assisted

| Priority Need Category | | CDBG ⁶ | HOME | HOPWA | Totals |
|---|---------------------------|-------------------|------------|-----------------------|--------------|
| Renter | 0-30% of MHI ⁷ | 49 | 265 | 684 | 998 |
| | 31-50% of MHI | 10 | 266 | 183 | 459 |
| | 51-80% of MHI | 1 | 52 | 126 | 179 |
| | Unoccupied | N/A | 0 | N/A | 0 |
| | Subtotal | 60 | 583 | 993 | 1,636 |
| Owner | 0-30% of MHI | 52 | 8 | 93 | 153 |
| | 31-50% of MHI | 71 | 63 | 24 | 158 |
| | 51-80% of MHI | 70 | 102 | 8 | 180 |
| | +80% of MHI | 2 | 0 | N/A | 2 |
| | Subtotal | 195 | 173 | 125 | 493 |
| Homeless | Individuals | 702 | 0 | N/A | 702 |
| | Families | 0 | 0 | N/A | 0 |
| | Subtotal | 702 | 0 | 58⁸ | 760 |
| Non-Homeless Special Needs ⁹ | Households | 0 | 0 | 1,118 | 1,118 |
| Section 215 ¹⁰ | | 0 | 756 | | |
| Totals | | 957 | 756 | 1,176 | 2,889 |

⁶ These figures represent CDBG housing activities and do not include public works activities.

⁷ Median Household Income.

⁸ HOPWA does not collect homeless individual and family information – only total homeless households.

⁹ This number is the sum of the Renter and Owner subtotals directly above, and is not included in the totals.

¹⁰ Section 215 homes meet the definition of 24 CFR 252 and 254. All HOME-assisted housing must meet one of these sections.

Table 3 Ethnic Distribution of Households Assisted

| | CDBG ¹¹ | | HOME | | HOPWA ¹² | |
|--|--------------------|---------------|--------------|------------|---------------------|------------|
| | Non-Hispanic | Hispanic | Non-Hispanic | Hispanic | Non-Hispanic | Hispanic |
| White | 74,277 | 33,370 | 393 | 221 | 777 | 661 |
| Black or African American | 1,827 | 91 | 23 | 1 | 209 | 53 |
| Asian | 2,349 | 141 | 13 | 3 | 19 | 4 |
| American Indian or Alaska Native | 5,116 | 1,867 | 10 | 1 | 25 | 62 |
| Native Hawaiian or other Pacific Islander | 254 | 14 | 3 | 3 | 6 | 3 |
| American Indian/Alaska Native & White | 440 | 160 | 11 | 1 | 9 | 12 |
| Asian & White | 109 | 18 | 1 | 0 | 2 | 0 |
| Black or African American & White | 141 | 16 | 7 | 0 | 5 | 3 |
| American Indian/Alaska Native & African American | 44 | 0 | 1 | 0 | 1 | 0 |
| Other/Multi-Racial | 35,934 | 22,676 | 9 | 55 | 2 | 155 |
| TOTAL | 120,491 | 58,353 | 471 | 285 | 1,055 | 953 |

¹¹ Includes individuals and households that were beneficiaries of all CDBG-eligible services, programs, and projects.

¹² Includes all beneficiaries in each household served.

II. PROGRAM SPECIFIC SECTIONS

**Community Development
Block Grant (CDBG) Program**

**C
D
B
G**

G. COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROGRAM

Method of Distribution (MOD) of Available Resources

The State CDBG Program's reorganization and updated MOD as discussed in the 2011-12 CAPER, have been completed and implemented. For the 2012-13 program year, HCD awarded all 2011-2012 funds and 50% of the 2012-13 allocation under a single 2012 CDBG Notice of Funding Availability (NOFA), issued January 9, 2012, with awards made in August 2012.

As expected, further changes to the MOD as listed in the 2012-13 Annual Plan, were required. The Department further fine-tuned its scoring and point criteria and published a Substantial Amendment to the 2012-13 Annual Plan. It was available November 13 through December 7, 2012 for public comment. Several comments were received and responses, as included in the final draft sent to HUD, are below.

In the final draft to HUD, some comments were edited for clarity and consistency. We tried to minimize duplication among our responses, but for clarity and emphasis, some duplication remains.

Commenter – Sheri Nix, Consultant, 3Core

Comment: *In reading through the “Substantial Amendment to the State of California’s CDBG Program 2012-13 Action Plan – Methods of Distribution” I didn’t see the 50% Rule mentioned anywhere. Is it still the case that if a jurisdiction was awarded funding in 2012, 50% of it must be expended by the 2013 application due date in order to apply for new funds?*

Response: The Substantial Amendment is an amendment to the Program's Annual Plan, which is an update to the 5-Year Consolidated Plan. Recipients of HUD funds, including HCD, are required by HUD to submit a Consolidated Plan every 5-Years, and to update that 5-Year Plan every year in the Annual Plan Update. If we change the Annual Plan Update after it has been published, we have to submit those changes in a Substantial Amendment to the Annual Plan. The 50% Rule was already published in the Annual Plan and is not being changed, so it is still in effect for the 2013 CDBG NOFA.

Below is a link to the current Annual Plan.

[http://www.hcd.ca.gov/hpd/hrc/rep/fed/Annual_Plan_Update_2012-2013_\(FINAL\)_02-01-2012.pdf](http://www.hcd.ca.gov/hpd/hrc/rep/fed/Annual_Plan_Update_2012-2013_(FINAL)_02-01-2012.pdf)

Commenter – Paul Ashby, Consultant, Adams Ashby Group

Comment: *In the scoring criteria section, under the category **readiness**, “experienced in-house staff and ready to start” is listed. I would like the Department to consider adding language that would include “experienced in house staff or a procured administrator and ready to start”. The way the bullet point is written in its current state, it gives the impression*

that only jurisdictions with “in-house” staff who are experienced would gain full points, thus limiting those jurisdictions who procure administrative subcontractors.

Response: The language does, indeed, only refer to jurisdictional in-house staff. This is because the Department’s contract is with the jurisdiction only, not with any contractor/consultant. Since the jurisdiction is the applicant and is, therefore, contractually responsible for all compliance, the most competitive applications will be from jurisdictions that have internal staff for running and/or overseeing their CDBG activities.

Secondarily, while the Department encourages jurisdictions to make agreements with sub-recipients and/or procure skilled contractors, the process is not included in the scoring criteria because the Department lacks the time and resources during rating and ranking to also review these important secondary agreements and procurement processes for compliance. This must be done during the clearing of special conditions.

Commenter – Terry Cox, Consultant, Cox Consulting

Comment 1: *There are several references to “in house staff” for both administrative capacity, infrastructure and public facilities. Does this mean just jurisdictional staff or does it include contracted staff?*

Response 1: “In-House Staff” means jurisdictional staff only. Since the Department’s contract is with the jurisdiction and not with any subcontractor or consultant, the Department needs to know what internal resources will be operating or overseeing the CDBG activity.

Comment 2: *On multi-family housing operator experience, there's a reference to an executed sub-recipient agreement. Do you get 200 points if the sub-recipient has 3 MFH CDBG projects since 07/08 or is it limited to 50 points? I had recently understood that there would be no more sub-recipient agreements for projects anymore. How would this work?*

Response 2: The score sheet for multi-family housing projects is changed in only one place from the score sheet attached to the 2012-13 Annual Plan and the 2012 NOFA. The change actually makes it easier for a jurisdiction with no multi-family experience to get 50 points for an executed sub-recipient agreement, by removing the requirement for an executed development agreement as well. Scoring for multi-family projects in terms of Operator Experience and the associated points, remain identical to the original Annual Plan and to the scoring in the 2012 NOFA.

However, the points awarded for Operator Experience refer only to the applicant jurisdiction’s experience. If an applicant jurisdiction has done 3 or more multi-family CDBG projects since 07/08 and used a sub-recipient, the applicant will get the points. If the *sub-recipient* has done 3 or more multi-family CDBG projects since 07/08 for a *different* jurisdiction, it does not count toward the applicant jurisdiction’s experience.

As in the 2012 NOFA and the Substantial Amendment, the 2013 NOFA will give the applicant jurisdiction 50 points for an executed sub-recipient agreement with an experienced sub-recipient for the applied-for multi-family project. However, these 50 points

will not cause the applicant to be awarded more than 200 points if they already have points under Activity-Specific Operator Experience items “1a” through “1e”.

Comment 3: *The in house staff only requirement is very concerning and a real departure from 30 years of CDBG practice.*

Comment 4: *The jurisdictional requirement for oversight has always been there and CDBG has always allowed jurisdictions to contract with non-profits or consultants to take advantage of their expertise.*

Comment 5: *And if the logic is that the contract is with the jurisdiction so only their experience counts, why is this not also true for ED [Economic Development], housing or public service activities? The fiduciary responsibility is the same.*

Responses 3-5: The ability for a jurisdiction to contract with non-profits or consultants to take advantage of their expertise remains unchanged from the 2012-13 Annual Plan and the 2012 NOFA, and was not changed by the Substantial Amendment.

The In-House Staff requirement is necessary to ensure that the entities responsible for using the funding are capable of and involved in managing the funding and contract compliance. The Department’s monitoring visits over the last year have reinforced our belief that this is essential for a successful CDBG Program in California.

A small jurisdiction will not be penalized for having one person on staff handling the proposed CDBG activity compared to a jurisdiction with 10 people, which is evident in the 2012 Funding List online. Readiness and capacity requirements are the same for small and large jurisdictions, and the Department’s records show that performance and capacity are not dependent on staff size.

HCD views sub-recipient and contractor agreements as part of oversight and procurement, and not application scoring. The Department removed Environmental/National Environmental Policy Act (NEPA) issues from application scoring last year, and is in the process of doing the same with procurement. The Department does not want to score *who* was hired, rather *what* experience *the jurisdiction* has. Jurisdictions should not hire based how well that hire would help the jurisdiction’s application score. The ability of jurisdictions to oversee staff, sub-recipients and/or procured staff is more important. The Department does not tell applicants or grantees who they should hire to operate their programs and/or projects, which has been a consistent policy since the inception of HCD’s CDBG Program.

Further, the hiring of non-grantee staff is reviewed by the Department after the award has been made and cannot be fully reviewed during the ranking and rating process. Not only would this slow the process greatly, but it would require the Department to review sub-recipient agreements and procurement packages of applicants that will not be funded. The Department’s experience indicates that careful attention should be given to review of the subcontractor procurement process. To allow applicant points for procured non-profits and/or consultants before careful review, would limit the Department’s ability to manage a significant part of the entire program effort, and could require an awarded jurisdiction to restart the process if problems were encountered, which meant it was not as “ready” as previously scored under Readiness.

Differences in scoring various CDBG activities are partly due to the substantial differences in the difficulty of managing different projects and programs. Project-specific activities, such as public improvements, public facilities and multi-family housing rehabilitation and/or acquisition, require a jurisdiction to have solid internal capacity to provide effective oversight, since the compliance requirements for projects are substantially greater than those for programs. Programmatic activities such as homeownership assistance and public services have fewer compliance requirements and are carried out under departmentally approved and jurisdictionally adopted program guidelines, so operation by outside contractors or sub-recipients is less problematic.

In the case of multi-family housing projects, for example, State CDBG only awards funds to smaller local city and county governments, who then become the lender to the multi-family developers. Careful evaluation of the internal capacity of a small jurisdiction as a lender and grant administrator is essential.

For the 2014 NOFA, as noted above, the Department's goal is to remove all procurement and 'Special Conditions' topics from scoring since they are not appropriate topics prior to awards. Through advisory group meetings and jurisdictional roundtable meetings in 2013, the Department will request feedback and input on how to pursue the goal of objective, data driven scoring. The timing of public comments on the Consolidated Plan and Annual Plan is helpful for this purpose, since the comment period opens just after the NOFA application process is complete and often just after awards have been made. By law, the State's CDBG Method of Distribution (which the NOFA implements) is always included in the Annual Plan Update and the 5-Year Consolidated Plan, so the associated comment periods provide all interested parties with a forum to address their concerns and make suggestions about the NOFA.

Comment 6: *This will seriously disadvantage small jurisdictions. Very few will be able to meet the experience requirements you are proposing and they will effectively be shut out of the process.*

Interestingly, HOME has gone in the opposite direction, at least for labor standards. They actively promote the use of consultants because of the lack of expertise on the jurisdictional level. Why is CDBG going in the other direction?

Response 6: Based on our monitoring findings, HCD disagrees with the suggestion that scoring in-house experience will disadvantage any jurisdictions based on size. The State CDBG Program is restricted to small cities and counties. Many jurisdictions have only 1, 2 or 3 staff working on the CDBG Program. In many cases, the program is effectively run by an in-house staff who oversees numerous consultants, contractors and sub-recipients. It is the in-house staff's diligent oversight that makes the program a success. Conversely, many jurisdictions with larger staffing have significant problems managing their contractors and their program, regardless of the experience of the consultant or sub-recipient. In either case, as noted above, the Department's contract is with the jurisdiction only. Therefore, the Department needs to be aware of, and award points for, dedicated human resources overseeing the jurisdiction's CDBG activity. The most competitive applications will, therefore, be from jurisdictions with internal staff for running or overseeing their CDBG activities.

HCD acknowledges that local capacity requirements can be demanding. Federal funding, by nature, is robust with complex laws and regulations governing compliance and oversight. It is necessary for *all jurisdictions*, regardless of size and experience in utilizing federal funding, to continually and thoughtfully evaluate their ability to manage these extensive usage and compliance requirements.

HCD's HOME program moved to scoring only jurisdictional capacity in 2004. Because Labor Standards for new construction, which HOME does a great deal of, can be complex, the State's HOME program encourages sub-recipients and non-profit Community Housing and Development Organizations (CHDOs) to procure Labor Standards (Davis-Bacon) experts if they are going to embark on large construction projects. However, HOME does not score the application higher if a Labor Standards expert has been hired prior to the application being submitted.

Comment 7: *The set-aside is very important to local jurisdictions. It allows them to do projects that are important to local communities but not competitive. Not everyone has PI to use for a waiver. It may complicate the rating process, but it builds support for CDBG that may come in handy as we go through future budget cuts.*

Response 7: The un-scored set-aside was removed for several reasons. By definition, it does not meet the State's intent to provide funding in areas of greatest need, and with the Department's Program Income Waiver Process, alternatives for non-competitive funding already exist. The Department continues to encourage jurisdictions to engage in activities that will provide program income, so they can continue their community and economic development work beyond just NOFA funding.

Moreover, it is difficult to manage more than one un-scored activity in the application and rating and ranking processes, as the Department found out last round. Because the funding allocated for each activity is based on aggregate applicant demand, every application with set-aside funding requested must have that requested amount added to the overall activity allocation amount prior to rating and ranking. But as applications are rated and ranked, amounts for set-aside funding must be backed out of the activity they were applied for, which changes the overall aggregate demand for that activity and, therefore, the amount of available funding for that activity. The back and forth of the allocations and their respective demand percentages took a great deal of time and labor during rating and ranking, because the same process has to be applied to the PTA funding requests as well. Since state statute requires that PTAs be un-scored, and citizen participation has continually reaffirmed the necessity of PTA funding, removing the un-scored set-aside is the prudent choice.

Comment 8: *I also think that the funding caps should be lowered. I think the overall cap should be \$1.5 million, community facilities should be \$1 million, public services \$400,000, enterprise fund should be \$400,000. From what I could see from the funding list, you have way fewer contracts with way fewer activities. It doesn't need to be cut back that much year after year for CDBG to be able to administer the program.*

Response 8: The funding caps are unchanged from the Annual Plan and the 2012 NOFA; and, thus, are not part of the Substantial Amendment.

Over the previous three years, with the commenter's important input as an advisory committee member, and with roundtable discussions throughout the State, the present limits were agreed to for two NOFA funding cycles. The upcoming NOFA will be the second of the two. As a participant in these discussions, you may remember combining multiple NOFAs and grantee contracts into one was part of the Department's strategy for managing a significant decrease in State CDBG staffing, and to address the resultant intractable workload issues. As well, in an effort to address potential adjustment issues to this change for jurisdictions, and to address the 50% Rule now in State regulation, the Department, in consultation with our advisory committee and jurisdictions during roundtable meetings, planned to award up to 300% of its anticipated funding across two NOFA cycles. The 2012 NOFA included 100% of our 2011-12 allocation and 50% of our anticipated 2012-13 allocation. The 2013 NOFA includes the final 50% of the 2012-13 allocation and 100% of our anticipated 2013-14 allocation. This gives jurisdictions two opportunities to be awarded up to \$2,000,000. To allow the first round this opportunity and then reduce maximums in the second round would be unfair. Thus, the funding levels for eligible activities in the 2013 NOFA are identical to those in the 2012 NOFA. The Department will, however, in consultation with our advisory committee members and roundtable events, re-evaluate the per-activity funding levels and overall application maximums for the 2014 NOFA. This will be done assuming that the 2014 NOFA is funded with a single HUD allocation, and that the Department's allocation from HUD will remain at the present lower levels. The Department supports reducing activity/maximum funding limits, if future NOFA funding levels are, indeed, what we anticipate.

These funding limits were included in our Method of Distribution in the 2011-12 Substantial Amendment and the 2012-13 Annual Plan, with no comments until now. Lowering the maximums in this round would be unfair to jurisdictions that chose to wait until the second round of 150% funding availability.

Comment 9: How do I get copies of other comments?

Response 9: All comments received and the associated responses are included in this document. As required, they will also be included with the final Substantial Amendment submitted to HUD. HCD will also post the Final Substantial Amendment on the HCD website.

Commenter – David Nelson, Consultant, David Nelson Consulting

A. Regarding Business Assistance and Microenterprise Market Analysis

Comment A-1: Is this pretty much the same methodology as last year and will CDBG be providing links to acceptable research resources, like last year?

Response A-1: The Market Analysis methodology has not changed from the 2012 NOFA to the 2013 NOFA, and thus is not part of the Substantial Amendment. CDBG is requesting that applicants use the same methodology and research resources to complete the Market Analysis as used in the previous year. The same 2012 links to acceptable research resources will be provided.

The only change to the scoring for Economic Development Enterprise Fund activities is that the score sheet language includes language originally found in the 2012 Enterprise Fund

Application Instructions on how to develop the Market Analysis. There is no change in what is to be submitted and how applications will be scored.

Examples from the Market Analysis component of the 2013 NOFA in which the language is identical between the Business Assistance Instructions and Scoring Details documents are as follows:

Letter A, under #2 – Scoring Details document reads: ‘*Understanding of Market Conditions /Opportunities by Market Segment*’ while the Business Assistance Instructions reads, letter a) ‘*Understanding Market Conditions – Identifying/Analyzing Market Opportunities by Segment.*’

1st bullet under #1 in Scoring Details document reads: ‘*Comparative analysis number of establishments by employment /size /class, over previous 3 years*’ while the 1st bullet under #1) in the Business Assistance instructions reads ‘*Comparative analysis with previous 3 years*’.

2nd bullet, under #1 in Scoring Details document reads: ‘*Determination of number of business by industry category – use NAICS code level breakdown*’ while the 2nd bullet under #1 in the Business Assistance Instructions reads: ‘*Determination of number of businesses by industry category using 2 digit North American Industry Classification System (NAICS) code level breakdown*’.

Comment A-2: *It would be helpful to simplify this section somehow...have “fill in the blanks” with specific data vs. narratives.*

Response A-2: The Department will consider all Substantial Amendment related suggestions for changing the 2013 NOFA and application. However, the Method of Distribution for Enterprise Fund activities is unchanged from the Annual Plan and the 2012 NOFA; and, thus, is not part of the Substantial Amendment. Recommendations for changing the Enterprise Fund application materials will be evaluated for the 2014 NOFA.

For the 2014 NOFA and beyond, the Department is making the application process simpler and more transparent. The Department requests all interested parties submit ideas and suggestions for alternative scoring methods and criteria during the upcoming roundtables in January and February (see Appendix B of the **NOFA** and Application Workshop/Roundtable/Webinar Schedule and Registration), and the 2013-14 Annual Plan process (April/May).

Comment A-3: *Add local unemployment rate since this may be the best (only?) indicator of start-up micros or Business Assistance. My experience in working with micro workshops, about 1/2 of the people were unemployed, trying to re-invent themselves. This sentiment has been echoed several times by locals anecdotally.*

Response A-3: The Method of Distribution for Enterprise Fund activities is unchanged from the Annual Plan and the 2012 NOFA; thus, it is not part of the Substantial Amendment.

However, the Department does use local unemployment rates. Historically, we used Employment Development Department (EDD) monthly unemployment figures for application scoring, which show county-wide data. However, the Department agrees that EDD data is not suitable for small cities; so, for the 2013 NOFA, the Department is instead using the county unemployment data from the American Community Survey (ACS) 5-year data. This is a rolling 5-year average in each jurisdiction. This year the Department was only able to get the data at the county level, but for the 2014 NOFA the Department will work with the Department of Finance to compile the ACS unemployment data for all CDBG non-entitlement cities and counties.

B. Regarding Business Assistance and Microenterprise Identifying/Analyzing Lending Opportunities

Comment B-1: *The text states: "Identifying all (other) private and public lending sources. Require a summary table of all the different types of lending, with the loan particulars indicated (i.e., Credit unions, banks, other community development lenders). There doesn't seem to be a need to provide an exhaustive list of lenders and their terms, etc.*

Response: The Department will consider all Substantial Amendment related suggestions for changing the 2013 NOFA and application. However, the Method of Distribution for Enterprise Fund activities is unchanged from the Annual Plan and the 2012 NOFA, and is not part of the Substantial Amendment. Recommendations for Enterprise Fund application materials will be evaluated for the 2014 NOFA.

For the 2014 NOFA and beyond, the Department is making the application process simpler and more transparent. The Department requests all interested parties to submit ideas and suggestions for alternative scoring methods and criteria during the upcoming roundtable events in January and February (see Appendix B of the **NOFA** and Application Workshop/Roundtable/Webinar Schedule and Registration), and the 2013-14 Annual Plan process (April/May).

Comment B-2: *"Determine and confirm that financing program will fill the financing gaps in the market." Delete this requirement, as it is unnecessary, and it is addressed in underwriting. By definition, CDBG lending fills the gap in lending left by traditional and community development lending. Practically speaking, if a loan applicant can find loans funds elsewhere in the community, they most definitely will.*

Response: Please see the response to the comment immediately above.

C. Regarding Business Assistance and Microenterprise Demand Projections and Conclusions

Comment C-1: *Replace "validate" with "project." No one can validate anything in the future, especially in business.*

Response: Please see the response to the two comments immediately above.

D. Poverty

Comment D-1: *Add federal poverty rate to the Business Assistance criteria, as is the case in Micro. The National Objective is still to benefit the LM person via job creation, who is under the federal poverty levels.*

Response: The Method of Distribution for Enterprise Fund activities is unchanged from the Annual Plan and the 2012 NOFA, and thus is not part of the Substantial Amendment. However, poverty is a scoring criterion under need. It is listed below the Market Analysis on both the Business Assistance Score Sheet and the Microenterprise Score Sheet.

E. Past Performance

Comment E-1: *In addition to expenditures, add # loans taken to local loan committee w/in past 3 years and # loans approved by CDBG in past 3 years. Reason: Micro loans and smaller loans can take just as long as the medium sized loans, but have a small dollar amount. This effort/capacity/accomplishment should be recognized.*

Response: The Method of Distribution for Enterprise Fund activities is unchanged from the Annual Plan and the 2012 NOFA; thus, it is not part of the Substantial Amendment.

The Department agrees with this comment; however, it requires a regulation change. Therefore, it will be addressed in the 2014 NOFA.

F. Enterprise Fund: Readiness-Program Description

Comment F-1: *Add 3 year marketing plan, limited to 3 pages. Include list of stakeholders and their roles, and specific tasks to be accomplished. Add timeline for 4th page. If you don't market consistently, you won't get loans, regardless of how large your business community is (see above Market Analysis). Do not require unnecessary letters of commitment/support. I've found that most entities are very eager to work with CDBG lending/training.*

Response: The Department will consider all Substantial Amendment related suggestions for changing the 2013 NOFA and application. However, the Method of Distribution for Enterprise Fund activities is unchanged from the Annual Plan and the 2012 NOFA; and, thus, is not part of the Substantial Amendment.

For the 2014 NOFA and beyond, the Department is working to make the application process simpler and more transparent. The Department requests all interested parties submit ideas and suggestions for alternative scoring methods and criteria during the upcoming roundtable events in January and February (see Appendix B of the **NOFA** and Application Workshop/Roundtable/Webinar Schedule and Registration), and the 2013-14 Annual Plan process (April/May). The Department sincerely appreciates all comments, ideas and suggestions submitted.

Comment F-2: *Address how you bring an applicant from the "lookiloo stage" to presentation before the local LAB. What are the resources used to help train the applicant in business plans, projections, marketing analysis, etc.?*

Response: Please see the response to the comment immediately above.

G. Microenterprise-Specific

Comment G-2: *Require training component. CDBG recommended this to me several years ago...best advice ever! Training acts as a form of marketing, and it helps to create a positive business expanding/starting environment.*

Response: Neither the Method of Distribution nor the program operation of Enterprise Fund activities have been changed from the Annual Plan and the 2012 NOFA, and are not part of the Substantial Amendment.

Moreover, since there was more than enough Enterprise Fund money to award all the eligible applications the Enterprise Funding they requested, the Department did not need to score any of the applications. And, while the Department was pleased to be able to award all eligible applicants this funding, it prevented the CDBG Program from being able to evaluate the present Enterprise Fund scoring methods. Thus, since the scoring method could not be evaluated, the Department did not make any changes to it.

Recommendations on Microenterprise Program policies to make the activity more successful will be discussed in consultation with our advisory committee members and roundtable events for the 2014 NOFA. The CDBG Economic Development staff has discussed microenterprise technical assistance and training as an available and complementary activity within the Microenterprise Program under future NOFAs.

Commenter: *Charlaine Mazzei, Consultant, Charlaine Mazzei Grants & Consulting*

Comment 1: *Elimination of Un-Scored Set-Aside: I would like to express disagreement with the elimination of the set-aside activity without additional changes to the application limits for public service activities, and additional flexibility for low-scoring projects in otherwise high-need areas. As HCD is aware, the set-aside activity provides substantial benefit to local governments to fund projects that would not otherwise be competitive enough to score well against other jurisdictions. While it is laudable that HCD desires to insure that all funds go to the highest need activities, the long tradition of offering a set-aside option acknowledges that a competitive scoring process does not always accomplish this perfectly.*

Response: To avoid duplicative responses, please see responses to Terry Cox, above. Ms. Cox made the same comment.

The Department has been engaged in a two-year process to overhaul the State's CDBG Program to improve programmatic and administrative efficiency, which includes for the 2012 NOFA and the 2013 NOFA the release of 150% of funding across the two program years, which required the Department to increase all activity funding maximums. Some participants believe the un-scored set-aside was intended as an acknowledgement of scoring inadequacies, but that is not the case. The Department pursues the best practices for the staff and funding available, and it is difficult to manage more than one un-scored activity in the application, rating and ranking processes, as discussed in the response to Ms. Cox. Since state statute requires that PTAs be un-scored, and our citizen participation processes have continually reaffirmed the necessity of PTA funding, removing the un-scored set-aside is the prudent choice.

Comment 2: *Under the new SuperNOFA structure, the set-aside also serves an important purpose in allowing additional funding to be directed toward activities above the limits of individual activity funding. In the case of the jurisdictions with whom I work, the set-aside is most often directed toward public services, for which the current \$500,000 funding limit is inadequate. Despite significant increases in the funding limits for all other activities, the \$500,000 (or less) limit on public services has been in place in one form or another for at least a decade. Taking away the option of using set-aside funds to increase the available funds for these vital services should be accompanied by a corresponding increase in the amount available under the remaining activity funding limits, especially public services. Allowing jurisdictions to use program income for these purposes does not provide adequate funding support in most cases.*

Response: The maximum award amounts remain unchanged from the Annual Plan and the 2012 NOFA, and are not part of the Substantial Amendment.

The maximum award for the Public Service activity was lower prior to the 2012 CDBG NOFA, at \$300,000.

Again, as noted above, funding levels were part of the two year process that reflected a great deal of public input, and the regulation changes state that the percentage of funding per activity will be equal to the percentage of demand for that activity. This concept went through numerous public discussions, and no one commented that it was unfair or an undue burden on the jurisdictions. If 8% of the awarded funds went for public service activities, it's because 8% of the funding requested in the applications was for public services. In fact, the 2012 NOFA gave it just under \$5,000,000, bringing the percentage to slightly over 10%.

The CDBG Program must comply with a number of federal and State statutes and regulations regarding levels of funding of various eligible activities. In order to comply with the statutory and regulatory requirements of funding economic development activities (30%), housing and housing-related activities (51%), general administration and planning activities (maximum 20%), all these required parameters must be taken into account prior to calculating application demand. Additionally, as noted in more detail below, Public Services funding must also stay under the federal limit of 15%, including local program income expenditures. The commenter mentions historic ratios, yet State regulations require us to base award levels on demand levels, not historic trends. Many jurisdictions that historically were shut out of funding now stand a better chance with our new process and scoring methods.

Public Services have always been restricted due to federal statute [42 USC 5305(a)(8)] to 15% of total funding, including program income expenditures. Program income may or may not be included in the NOFA award calculations since with the Department's Program Income Waiver process, at any time during the program year a jurisdiction may request approval to fund any eligible activity, including Public Services.

Increasing funding above current levels is not feasible given federal and state funding limits, including the federal cap.

Comment 3: *Planning & Technical Assistance Grants: The language, "All PTA applications must document that the PTA work-product will meet a National Objective" should be clarified. By their nature, planning activities only result in a benefit if the planned activity is implemented. Implementation of planning activities depends on circumstances outside of the control of the planning activity itself, such as a determination that the project is feasible, availability of implementation funding, regulatory approvals, etc. Therefore, the requirement that a PTA work product meet a National Objective on its own is nearly impossible to insure. The language should be clarified to read, "All PTA applications must document that, if implemented, the project for which planning activities are to occur would meet a National Objective."*

Response: Given federal guidance on PTAs, and in the 2013 CDBG NOFA, the Department believes that "if implemented" is implied in the Substantial Amendment language: "...must document that the PTA work-product *will* meet a National Objective.". Our understanding is that "will meet" implies that the work is not done yet, but when it is, it must meet a National Objective. If a PTA study proves that a target area is not 51% low/mod, the study is not eligible for PTA funding. In this case, the PTA activity itself must meet the National Objective since the purpose of the PTA is to confirm and document future activity eligibility.

Comment 4: *Grant Management Manual, Chapter 11, OMB Circular A-133 Single Audit Report: Although this is not part of the substantial amendment, I am taking this opportunity to comment on the changes to chapter 11 of the grant management manual, as no other opportunity has been allowed. The changes to this chapter have not appeared in any regulation or plan amendment subject to public comment.*

In the chapter 11 revision, HCD defines its interpretation of compliance with OMB Circular A-133 as meeting State Controller's Office (SCO) submission requirements. Given recent history, it is unclear why HCD continues to insist on this interpretation of OMB A-133 compliance as it is highly likely to result in further disputes, legal challenges, and delays to distribution of funds.

HCD has been informed that the SCO does not agree with, nor can its processes reasonably be expected to support, HCD's reliance on them for verifying jurisdictional compliance with OMB Circular A-133 for the purpose of determining threshold applicant eligibility. When the very state agency on which HCD intends to rely states that such reliance is misplaced, it is unclear how it can be justified.

The SCO's processes and the requirement to follow them do not appear in OMB Circular A-133 itself, or in state or federal CDBG regulations. Any attempt by HCD to enforce its reliance on the SCO is likely to result in a legal challenge for enforcement of underground regulations.

In past years, HCD has accepted evidence of satisfactory submission of A-133 audits to the Federal Audit Clearinghouse as documentation of A-133 compliance. HCD has not made a compelling argument for the need to change this longstanding policy. To the contrary, it appears that the only purpose for attempting to rely on SCO processes is to make it more difficult for jurisdictions to meet threshold requirements, and thus save HCD

the work of rating and ranking applications. This certainly appears inconsistent with HCD's stated purpose of insuring that funding reaches those most in need.

Response: The Method of Distribution in terms of applicant eligibility is unchanged from the Annual Plan and the 2012 NOFA; thus, it is not part of the Substantial Amendment.

The Grant Management Manual (GMM) is and has always been guidance that simply explains regulatory and statutory requirements. GMM Chapter 11 complies with State CDBG rules, the Office of Management and Budget (OMB) and the State Administrative Manual (SAM). Because the GMM is only an explanation of existing regulation and statute, no public participation is required for editing.

Specifically, the process in Chapter 11 of the GMM is under authority granted in State CDBG Regulations at Title 25, Article 2, §7060(4); federal requirements in OMB Circular A-133 Part C .320(a) and .320(e)(1); and state law as listed in SAM Section 20070(2). To paraphrase, the State Regulations state that, to be eligible, all jurisdictions must comply with OMB A-133. OMB A-133 Part C .320(a) says all sub-recipients of federal funding must submit one copy of their complete A-133 package to their federal pass-through entity, with the timing of submission to the entity being the same as for submission to the federal clearinghouse. SAM 20070(2) states that the State Controller's Office (SCO) is the designated federal pass-through entity for the State of California. Since the Federal Clearinghouse does not directly communicate with the State CDBG Program, and since SCO is, by law, the pass-through entity, the Department is required by law to rely on the SCO's determination regarding jurisdictional A-133 compliance.

Further, as noted in a letter to the Department from Carolyn Baez, Chief, Financial Audits Bureau, Division of Audits, State Controller's Office, dated November 12, 2012, it is incumbent upon the Department to apply sanctions for A-133 non-compliance.

Chief Baez's letter states:

"OMB Circular A-133 specifies that single audit reporting packages are due nine-months after the entity's fiscal year-end. The June 30, 2011 reports were due to the SCO on March 31, 2012.

"OMB Circular A-133 requires funding agencies to take appropriate actions using sanctions when a recipient does not comply with single audit requirements. These sanctions include:

- Withholding a percentage of federal awards until the audit is completed satisfactorily;*
- Withholding or disallowing overhead costs;*
- Suspending federal awards until the audit is conducted; or*
- Terminating the federal award."*

The Department, in making A-133 compliance an eligibility criterion, did so to improve consistency through the HOME and CDBG Programs. All CDBG jurisdictions, without exception, are also HOME jurisdictions. HOME has successfully required A-133 compliance in this identical manner for the past eight years, and the SCO has worked with HOME to ensure efficacy of the policy. Given previous meetings with the SCO and Chief Baez' letter, the Department assumes the SCO will continue to work with us on this matter.

Commenter: Mary Sawicki, Calaveras Works and Human Services Agency, Calaveras County

Comment: *In reviewing the draft in planning and preparation we were both surprised and concerned that the point system favored jurisdictions with previous CDBG history (up to 200 points), while first time contracts such as ourselves and other small rural entities can only obtain up to 50 points. We consider this particular set of criteria unfair to government entities that have good solid worthy projects that need consideration. Given the increased competition for less available funds it places first time projects at a true disadvantage.*

We highly suggest you remove this unnecessary barrier and let proposed projects stand on their own merit without this unnecessary rating item.

Response: To avoid duplicative responses, please see responses to Terry Cox, above. Ms. Cox made the same comment.

Commenter: Carol J. Ornelas, CEO, Visionary Home Builders of California, Inc.

Comment: *It is VHB's opinion that the scoring is unfair to smaller jurisdictions that are equal in need but unequal in financial capacity and past performance. By the very nature of their size, smaller jurisdictions do not have the resources to do multiple projects and are unable to sustain staffing levels sufficient to support the organizational capacity for the General Administration and oversight of CDBG funded activities; however, this does not mean that the need for affordable housing does not exist within these communities.*

VHB understands that competition is fierce. But to enact rules that essentially preclude smaller jurisdictions from consideration seems to be counterproductive to the objectives and ideals of the program creators, and unfair to the people of these communities. If the leaders within these communities have the vision and foresight to partner with organizations possessing the required experience and capacity, they should be allowed to compete, and have a chance to empower their community.

Response: To avoid duplicative responses, please see responses to Terry Cox, above. Ms. Cox made the same comment.

Commenter: Denise Fletcher, Consultant, Self-Help Enterprises

A. Un-Scored Set-Aside

Comment A: *If the 2013 NOFA were to continue the Un-Scored Set-Aside activity award as it was set up in the 2012 NOFA, no additional burden would be placed on administration. Jurisdictions may only apply for either a PTA grant or Set-Aside activity and the Set-Aside activity will only be awarded to a jurisdiction who also has a scored activity awarded. Therefore, the Un-Scored Set-Aside activity would not create additional review at the time of application submittal, nor would it create an additional contract.*

The Amendment states "funding should go where it is most needed" and often times the Un-Scored Set-Aside activity can mean the difference between a small jurisdiction funding much needed sidewalk repairs or a Code Enforcement officer or going without altogether.

Although the Amendment stresses the use of Program Income funds for these types of activities, it is important to note that many jurisdictions are not receiving Program Income like they used to. Families are staying in their homes for longer periods of time or families may be going through foreclosure or short sale processes that do not allow the jurisdictions to recapture any of their original investment. Program Income is not a reliable source of funds for these much needed projects and services.

Response: Please see the Department's response to Terry Cox, above. Ms. Cox made the same comment.

B. Scoring - Regarding the Applicant Capacity/Past Performance Score Sheet

- In-House organizational capacity for General Administration & oversight of CDBG funded activities.

Comment B: *We agree that each jurisdiction needs to take responsibility for the oversight of its CDBG funded activities; however, this can often be done by a minimal number of staff (often only one or two). We would like to ensure that jurisdictions will not be penalized for having a properly procured housing consultant (or other contracted entity) perform the General Administration of the grant.*

Response: There is no penalty for contracting a consultant for projects; however, there are only minimal points awarded for it currently.

For further response, to avoid duplicative responses, please see responses to Terry Cox, above. Ms. Cox made the same comment.

C. Scoring - Regarding the Homeownership Assistance Program Score Sheet

- Activity-Specific Operator Experience – “(Per Department’s PI Reports and Grant Files)”

Comment C-1: *We request CDBG further clarify what the Department will be looking for to substantiate the continuation of an existing program during the last fiscal year or the last 4 years. PI Reports and Grant Files does not explain what the Department will accept, nor what quantity the Department will consider sufficient.*

Response: For both housing programs, we will verify if any loans or grants were made within the last 12 months or within the last 4 years. If funds were used from the jurisdiction's Revolving Loan Account (RLA), the jurisdiction's Program Income Report will show if funds were expended on a loan (revolving monies) for the activity. Other documentation in the file may include: 1) loan approval memos from the jurisdiction's loan committee; 2) copy of promissory note indicating CDBG funds were used and corresponding copy of recorded deed of trust; or, 3) something similar that can prove that a loan was actually made and that it used CDBG funds. If current grant funds were used, a funds request that details a rehabilitation or assistance loan will be sufficient proof of an active program. For the 2013 NOFA, the files the Department will be looking at will most likely be from the 2010 State Community Block Grant (STBG) group. If loans haven't been made from those grant funds, the program cannot be deemed active.

- Waiting List – “Pre-Screened Applicants – Not Pre-Qualified”

Comment C-2: *We recommend this category be removed from the scoring sheet and the points be redistributed or eliminated. The waiting list is not an accurate reflection of Program Readiness. Applicants who are in the pre-screen phase at the time the annual NOFA is released often drop out of the Program or are funded by other sources by the time an awarded application receives approval for grant start-up. Additionally, pre-screening applicants in jurisdictions that have no other funding sources unnecessarily raises the applicants’ hopes, especially if that jurisdiction is not awarded.*

Response: This scoring item was part of the two year CDBG regulation change process completed prior to the 2012 NOFA. Any change to the Pre-Screened Applicant criteria requires a regulation change process.

For the 2014 NOFA and beyond, the Department is making the application process simpler and more transparent. Recommendations on scoring criteria will be evaluated in consultation with our advisory committee members and roundtable events for the 2014 NOFA. The Department requests all interested parties submit ideas and suggestions for alternative scoring methods and criteria during the upcoming roundtable events in January and February (see Appendix B of the **NOFA** and Application Workshop/Roundtable/Webinar Schedule and Registration), and the 2013-14 Annual Plan process (April/May). The Department sincerely appreciates all comments, ideas and suggestions submitted.

- Capacity Building Points

Comment C-3: *We applaud the Department’s use of State Objectives Points for awarding points to applicants who submitted eligible applications in the previous year but who were not funded.*

- Homeownership Assistance (HA) State Objectives Points

Comment C-4: *We disagree with the use of State Objectives points for awarding points to applicants who apply for HA funds only. Applying for HA only rather than the HA/HR combo does not help the Department to reduce the number of contracts. It also reduces the flexibility of the jurisdiction to move funds between line items depending on the housing needs of the jurisdiction throughout the term of the grant.*

Response: The use of Homeownership Assistance State Objective points is a result of the State’s Analysis of Impediments to Fair Housing (AI). The AI showed that CDBG was not proportionally funding homeownership opportunities for low- and moderate-income minority households, compared with white existing homeowner rehabilitation activities. The Department is committed to mitigation of the disparity and, as a step in that direction; State Objective points for a jurisdiction showing commitment in homeownership have been added to scoring. With California real estate currently at low prices, and minority ownership still lagging behind the general population, the Department sees this as an opportunity to encourage homebuyer assistance.

D. Scoring - Regarding the Housing Rehabilitation Program Score Sheet

- Activity-Specific Operator Experience – “(Per Department’s PI Reports and Grant Files)”

Comment D-1: *We request CDBG further clarify what the Department will be looking for to substantiate the continuation of an existing program during the last fiscal year or the last 4 years. PI Reports and Grant Files does not explain what the Department will accept, nor what quantity the Department will consider sufficient.*

Response: Please see the response to this comment under **Regarding the Homeownership Assistance Program Score Sheet**, directly above

- Program Guidelines

Comment D-2: *The Homeownership Assistance score sheet added a clarifying asterisk stating, “If Homeownership Assistance Guidelines already approved and adopted, simply submit copy of approval and adoption docs”. We recommend this clarifying asterisk be added to the Housing Rehabilitation score sheet for consistency.*

Response: The asterisk was left off in error and will be added to the score sheet. The intent is simply to obtain documentation confirming the approval and adoption of guidelines for programs. The applications for both Homeownership Assistance and Homeownership Rehabilitation indicate this as well.

- Waiting List – “Pre-Screened Applicants – Not Pre-Qualified”

Comment D-3: *We recommend this category be removed from the scoring sheet and the points be redistributed or eliminated. The waiting list is not an accurate reflection of Program Readiness. Applicants who are in the pre-screen phase at the time the annual NOFA is released often drop out of the Program or are funded by other sources by the time an awarded application receives approval for grant start-up. Additionally, pre-screening applicants in jurisdictions that have no other funding sources unnecessarily raises the applicants’ hopes, especially if that jurisdiction is not awarded.*

Response: Please see the response to this comment under **Regarding the Homeownership Assistance Program Score Sheet**

- Capacity Building Points

Comment D-4: *We applaud the Department’s use of State Objectives Points for awarding points to applicants who submitted eligible applications in the previous year but who were not funded.*

E. Regarding Public Services

- Employment Training resulting in the creation of jobs.

Comment: *We disagree with the use of State Objectives points for awarding points to applicants who say they will conduct employment training resulting in the creation of jobs as a part of their Public Service activity. The requirement is two-fold: 1) conduct employment training and 2) create jobs as a result of the training. We believe this will be extremely difficult for the Department to track and will result in placing an administrative burden on CDBG staff. Additionally, will those jurisdictions who are awarded the activity funds because of the 50 bonus points but fail to follow through on the training AND creation of jobs be required to pay back the activity funds? If not, this would have created an unfair advantage to a jurisdiction who did not receive the bonus points and missed the funding cut-off. If so, this would create a hardship for the jurisdiction forced to pay back the funds after the fact. Either way, it is not a good situation.*

Response: The Department is aware that jobs cannot be tracked, and does not intend to do so; however, the service of employment training, which will benefit low/mod individuals, is a move toward employment and jobs, which the Department believes is a necessary and prudent direction.

Commenter: John Duckett, City Manager, Shasta Lake City

A. 2012/2013 Substantial Amendment Changes

Comment A-1: *Points and Rating and Ranking Published on HCD website - HCD's current practice of not publishing final application points in the public record makes it difficult for the public to see how their projects scored against other projects. With the Governor's goal of making California government more transparent this practice is inconsistent with transparency in government. Most major funders, and we consider CDBG a major funder, publish the final points on their website once decisions are made. With the revised point system outlined in this amendment, it seems CDBG would easily be able to make this information available to the public. We request that points are made available when awards are announced.*

Response: The Department will consider all Substantial Amendment-related suggestions for changing the 2013 NOFA and application. However, Departmental policies regarding the release of jurisdictional scoring information are unchanged from the 2012 NOFA, and all prior NOFAs; and are, therefore, not part of the Substantial Amendment. Specific recommendations for changes to the CDBG award process will be evaluated for the 2014 NOFA.

Additionally, publishing applicant scores (both awarded and non-awarded) has not been a Department practice in the past, and the Department is especially concerned about publishing scores for non-awarded jurisdictions. The determination to publish this level of detail is beyond the CDBG Program's authority, and would require a higher level Departmental policy change. As well, the discussion of releasing this information is beyond the scope of the Annual Plan/Substantial Amendment process, which is the purpose of this comment period. The Substantial Amendment is to modify the CDBG Method of Distribution and does not encompass methods of communicating application results.

Comment A-2: *Scoring Criteria (OOR/FTHB) - Under Readiness, you specify points for Program Guidelines being approved by HCD prior to application submittal. At what point is this done? The practice of submitting program guidelines with the application is the norm, however under this criteria, it seems jurisdictions that have never operated at CDBG OOR/FTHB program will be penalized, even though they may have been operating a similar program under HOME/RDA. This criterion will need more review, as HCD will need to have a process of outreach to new OOR/FTHB programs and timely approve guidelines.*

Response: CDBG does not operate “OOR/FTHB” (Owner-occupied Rehab/First-time homebuyer) programs; those are HOME programs. CDBG’s Housing Rehabilitation Programs have no owner-occupied requirement, and CDBG Homeowner Assistance may or may not encompass a first-time homebuyer component. The score sheets for these two programs are unchanged from the previous year; and, therefore, are not part of the Substantial Amendment. Specific recommendations for changes to the CDBG scoring process will be evaluated for the 2014 NOFA.

When a jurisdiction considers applying for a new program, we urge the jurisdiction to discuss it with their CDBG Representative. The representative can assist with guideline development (including providing sample guidelines), approval and adoption, all of which can be done prior to the Application Due Date.

Comment A-3: *Public Improvements Readiness - Project Approval Status, you specify points for Preliminary Design & Engineer Plans, signed and stamped; Engineer Cost Estimate, signed and stamped; & Engineer's timeline, signed and stamped. This is a concern for our small jurisdiction with limited resources to pay for this type of cost up front for a project, which may or may not be funded. These activities you are describing are usually covered under a CDBG activity. We are asking CDBG to review this section, and possibly allow a Contractor's estimate to suffice, as this is usually not a significant cost, if at all.*

Response: Points in this category are for ‘readiness to start work’. A contractor’s estimate normally doesn’t include the engineer’s required plans and specifications, and may not be an indication of readiness to start work. Additionally, the Department would suggest that the use of a procured contractor prior to clearance of NEPA could be considered Choice Limiting; and, thus, rendering the project ineligible for federal funding.

Comment A-4: *We feel we need to remind CDBG that most of your clients are small disadvantaged communities, who cannot bear the cost of these unnecessary expenses.*

Response: Please see our response to this comment by Terry Cox.

Comment A-5: *Overall Comment - When California took on the responsibility of the Small Cities Program, the intent was to provide funding for those communities that are not eligible for entitlement funding. While we, and other small communities, understand that we must compete for these funds, it is impossible to see why HCD feels that small communities must be forced to supply information and meet requirements that exceed those required by HUD. We ask that CDBG address*

alignment of the proposed regulations with HUD laws and regulations.

We request that this be accomplished by providing the technical language used by HUD for each of the regulations that are being promulgated. The Office of Administrative Law should address this as a transparency issue and to insure that HCD has not inadvertently exceeded the authority granted by HUD in allocating these funds.

Response: The Department is unaware of any requirement which exceeds HUD requirements for information provided. The Department is not currently changing any regulations. The Office of Administrative Law is governed by the Administrative Procedures Act (APA) and ensures that authorities exist for any changes the Department proposes. All of the “technical language” was publically noticed and available for comment as required by the APA.

B. Comment on CDBG Changes (Previously Approved) not Outlined in this Substantial Amendment:

Comment B-1: *Grant Management Manual, Chapter II, OMB Circular A-133 Single Audit Report - In the chapter II revision, HCD defines its interpretation of compliance with OMB Circular A-133 as meeting State Controller's Office (SCO) submission requirements. Given recent history, it is unclear why HCD continues to insist on this interpretation of OMB A-133 compliance as it is highly likely to result in further disputes, legal challenges, and delays to distribution of funds.*

HCD has been informed that the SCO does not agree with, nor can its processes reasonably be expected to support, HCD's reliance on them for verifying jurisdictional compliance with OMB Circular A-133 for the purpose of determining threshold applicant eligibility (see attached letter). When the very state agency on which HCD intends to rely states that such reliance is misplaced, it is unclear how it can be justified.

The SCO's processes, and the requirement to follow them, do not appear in OMB Circular A-133 itself, or in state or federal CDBG regulations. Any attempt by HCD to enforce its reliance on the SCO is likely to result in a legal challenge for enforcement of underground regulations. In past years, HCD has accepted evidence of satisfactory submission of A-133 audits to the Federal Audit Clearinghouse as documentation of A-133 compliance. The SCO process of accepting filings is not concurrent, nor dependent, upon HCD timelines. HCD would be better served insuring that communities which have actual financial deficiencies identified by SCO are not funded. HCD has not made a compelling argument for the need to change this longstanding policy.

To the contrary, it appears that the only purpose for attempting to rely on SCO processes is to make it more difficult for jurisdictions to meet threshold requirements, and thus save HCD the work of rating and ranking applications.

Response: Please see our response above to Charlaime Mazzei's similar comment.

Comment B-2: *Native American Allocation - The 2012 CDBG SuperNOFA limited the eligible activities for the Native American Allocation to activities that include those involving housing or housing-related activities ONLY. As a jurisdiction that works closely with its Native American Community and a recipient of two CDBG Grant Awards for a Public Facility, we see this new criteria as being too constricted and completely not serving the population as a whole, but only a few individual households. We understand the State Regulation identifies a 51% Non-Recognized Population in a Geographic Area, and CDBG recent interpretation of this requirement, is for an area to be populated with 51% Non-Recognized Native American. We have determined in previous meetings with CDBG and supported by the California Native American Heritage Commission, which this requirement is completely meritless, and simply will not work. In particular, when HCD applies this criteria to non-recognized tribes which do not have recognized tribal land yet, the regulation ignores these tribes historical situation.*

We would request the CDBG Program provide outreach and significant technical assistance to these Non Recognized Native American Communities via the California Native American Heritage Commission to simply provide the needs assessment, take this information, and revise the State Regulations to accommodate identified needs. The CDBG Program should be intended to serve the communities, by publishing NOFA's for all ELIGIBLE CDBG ACTIVITIES for General, ED, and Native American Allocations.

Response: As you state, this topic is unrelated to the Substantial Amendment. However, while Native American set-aside funding is authorized by California statute, the governing statutes state that the Native American set-aside can only be spent on “housing and housing related” activities. These California laws are:

California Health and Safety Code: 50831.

One and one-fourth percent of the funds made available to the department under the program shall be utilized by the department to make grants to cities and counties who apply to the department for those funds on behalf of Indian tribes that do not fall within the meaning of Indian tribe, as defined by paragraph (17) of subsection (a) of Section 5302 of Title 42 of the United States Code. Those funds shall be utilized by those Indian tribes for the same purposes as those specified in Section 50828.

California Health and Safety Code: 50828.

Not less than 51 percent of the funds made available to the department pursuant to the program shall be utilized by the department to make grants to eligible cities or counties for the purpose of providing or improving housing opportunities for persons and families of low or moderate income or for purposes directly related to the provision or improvement of housing opportunities for persons and families of low or moderate income, including, but not limited to, the construction of infrastructure.

CDBG funding cannot, per the Civil Rights Act, assist persons of a specific race. The Native American set-aside was designed to assist specific housing and infrastructure needs for Native American tribal communities for which funding was removed when the federal government implemented its process for ‘federally recognizing’ tribes. Outside of the tribal area funding, under CDBG any person or household at or below 80% of county median income, adjusted for family size, is eligible to apply for any CDBG housing program a jurisdiction operates. No person or household may be included or excluded based on race.

As well, any jurisdiction applying for CDBG funding may apply for public facility projects in any low- and moderate-income area in their jurisdiction. All low/moderate-income persons or households may be assisted.

The following commenters sent letters to HCD's Director, Linn Warren, containing the same questions. Their comments and the Department's responses are consolidated for brevity below.

Craig Pedro, County Administrator, County of Tuolumne
Chuck Iley, County Administrative Office, Amador County Board of Supervisors
Mary Sawicki, Director, Calaveras Works and Human Services Agency
Jeff Gardener, City Manager, City of Plymouth
Michael McHatten, City Administrator, City of Angels
Eugene Palazzo, City Manager, City of Crescent City

- ***The Removal Of The Un-Scored Set-aside - Up To \$100k In Non-Competitive Funding That Was Awarded If Other Activities In The Application Were Awarded***

Response: The un-scored set-aside was removed for several reasons. This set-aside does not, by definition, meet the State's intent to provide funding to areas of greatest need, and with the Department's Program Income Waiver Process, alternatives for non-competitive funding already exist. The Department encourages jurisdictions to engage in activities that will provide ongoing program income, so they can continue their community and economic development work beyond just NOFA funding. Doing so will ensure they are not solely dependent on NOFA funding.

It is difficult to manage more than one un-scored activity in the rating and ranking process, as the Department found out last round. Because the funding for each activity is set based on aggregate applicant demand, every application with set-aside funding requested must have that requested amount added to the overall activity allocation amount prior to rating and ranking. This process sets the allocation levels. But then, as applications are rated and ranked, amounts for set-aside funding must be backed out of the activity to which they were applied. This changes the aggregate demand for that activity and, therefore, the funding for that activity. This back and forth of the allocation amounts and their respective demand percentages took a great deal of time and labor during rating and ranking, because the same process has to be applied to the PTA requests as well. Since state statute requires that PTAs be un-scored and citizen participation has continually reaffirmed the necessity of PTA funding, removing the un-scored set-aside is the prudent choice.

- ***Project Scoring For 2013 Being On "In-House Experience" Rather Than "Consultant/Contractor Experience"***

Comments:

- A. *Applications for projects (Multi-Family Housing, Public Infrastructure and Public Facilities) used to be scored on the listed experience (resume) of the contractor/sub-recipient. Now, scoring is done on the jurisdictions experience in completing these types of projects.*

- B. This scoring is not being applied to programs.*
- C. Smaller jurisdictions will be at a disadvantage with this criterion.*
- D. This is a departure from how CDBG has been operated for the last 30 years.*

Response: The in-house staff requirement is necessary to ensure that the entities contractually responsible for the funding are ready and able to manage and oversee its use. The Department's monitoring visits over the last year have indicated that this is essential to a successful CDBG Program in California.

Sub-recipient and contractor agreements are part of oversight and procurement, which are integral to clearing contract special conditions, but not to scoring. We have removed Environmental/NEPA issues from scoring and are doing the same with procurement. The Department does not want to score *who* was procured, but rather *what* experience *the jurisdiction* has. Jurisdictions should not hire a sub-recipient and/or contractor based how well that entity would help the jurisdiction's application score. Again, based on our monitoring experience, the ability of jurisdictions to oversee staff, sub-recipients and/or procured staff is more important to evaluate and score. The Department does not tell applicants or grantees who they should hire, which has been a consistent policy since the inception of the CDBG Program.

The federally required process to procure non-grantee staff has to be reviewed by the Department at time of award, and cannot be fully reviewed during ranking and rating. Not only would this slow the process greatly, but it would require the Department to review sub-recipient agreement and procurement packages of applicants that will not be funded. By encouraging (via scoring) that the procurement process be completed prior to application submission, the Department is encouraging the process to be done before our review and approval. Removing scoring for non-profits and/or consultants ensures that the Department can review the procurement process after awards. To allow applicant points for procured non-profits and/or consultants could require an awarded jurisdiction to restart the process, which would then mean they were not "ready" as previously scored under Readiness.

Differences in scoring various activities are partly due to the differences between projects and programs. Project-specific activities, such as public improvements, public facilities and multi-family housing rehabilitation, require a jurisdiction to have real internal capacity to manage, since compliance requirements for projects are substantially greater than for programs. Programs such as homeownership assistance and public services have fewer compliance requirements, so operation by outside contractors or sub-recipients is less problematic.

This is especially true for multi-family housing projects. State CDBG can only award funds to smaller local city and county governments, who then become the lender to the multi-family developers. Internal capacity at the jurisdiction as a lender of federal funding is essential. The Department must ensure all grant funds are managed appropriately and in compliance with federal and State regulations and statutes.

From a scoring perspective, a small jurisdiction is not penalized for having one person on staff handling the proposed CDBG activity, verses a jurisdiction with 10 people, which is evident in the 2012 Funding List online. Records showing past performance and capacity

in the jurisdiction will coincide with their readiness scores to provide a complete activity score.

The Department acknowledges that local capacity requirements are extensive. Federal funding, by nature, is inundated with complex laws and regulations governing compliance and oversight. It is prudent for *all jurisdictions*, regardless of size and experience in utilizing federal funding, to thoroughly evaluate their ability to manage these extensive usage and compliance requirements.

For the 2014 NOFA, the Department's goal is to remove all procurement and 'Special Conditions' topics from scoring. We will continue to seek feedback and input on how to effectively reach the goal of objective, data driven scoring. The timing of Annual Plan/5-Year Consolidated Plan public comments is helpful for this purpose since the comment period opens just after the NOFA application process is complete and possibly after awards have been made. Since, by law, the State's CDBG Method of Distribution is always included in the Annual Plan Update and the 5-Year Consolidated Plan, the associated comment periods provide all interested parties the forum to address their concerns and make suggestions about all matters regarding the NOFA.

- ***No Statistics Released***

Response: The Department will consider all Substantial Amendment related suggestions for changing the 2013 NOFA and application. However, Departmental policies regarding the release of jurisdictional scoring information are unchanged from the 2012 NOFA; and are, therefore, not part of the Substantial Amendment. Recommendations for changes to the award process will be evaluated for the 2014 NOFA.

Additionally, publishing applicant scores (both awarded and non-awarded) has not been a Department practice in the past, and the Department is especially concerned about publishing scores for non-awarded jurisdictions. The determination to publish this level of detail is beyond the CDBG Program's authority, and would require a higher level Departmental policy change. As well, the discussion of releasing this information is beyond the scope of the Annual Plan/Substantial Amendment process, which is the purpose of this comment period. The Substantial Amendment is to modify the CDBG Method of Distribution and does not encompass methods of communicating application results.

HCD's CDBG and HOME programs do not share information about the scores of other jurisdictions. However, the Department believes that more in-depth statistics could be compiled and discussed during feedback sessions next year. NOFA statistics that do not reflect the full two year cycle would be inaccurate and misleading in evaluating the distribution method.

All jurisdictions that were partially funded or not funded received a personal call from a CDBG manager, and could request an exit interview. Nearly every jurisdiction that requested an exit interview has had one.

- ***No Opportunities To Comment***

Response: The 2012-13 Annual Plan was open for 30 day public comment from March 26, 2012 through April 24, 2012, with three public hearings around the state. This information went to our interested parties list via the ListServ e-mail tool. All interested parties were able to comment on the 2012 NOFA process, because the CDBG Method of Distribution (MOD) discussed in this Annual Plan was actually the details of the 2012 NOFA. We realize that the Annual Plan is issued prior to CDBG knowing what changes we will make to our MOD (NOFA), so we state that a Substantial Amendment later in the year is likely. In this Annual Plan, we said we would add State Objective Points to the scoring, which requires a Substantial Amendment.

On November 8th, via ListServ, we opened the Substantial Amendment, with the CDBG MOD changes, for public comment. That period closed Monday December 17th at 5:00 p.m.

- ***Funding Levels Too High:***

Response: The funding caps are unchanged from the Annual Plan and the 2012 NOFA; and, thus, are not part of the Substantial Amendment.

The current limits for two NOFA funding cycles were agreed to over the previous three years during advisory committee meetings along with roundtable discussions held throughout the State. The upcoming NOFA will be the second of the two. Combining multiple NOFAs into one per year, with one contract per jurisdiction for all activities, was a necessary part of the Department's strategy for managing a significant decrease in State CDBG staffing. In order to ease adjustment to this change for jurisdictions, and to address the 50% Rule now in State regulation, the Department, in consultation with our advisory committee and jurisdictions during roundtable meetings, planned to award up to 300% of its anticipated funding across the two NOFA cycles. The 2012 NOFA included 100% of our 2011-12 allocation and 50% of our anticipated 2012-13 allocation.

The 2013 NOFA includes the final 50% of the 2012-13 allocation and 100% of our anticipated 2013-14 allocation. This gives jurisdictions two opportunities to obtain up to \$2,000,000 in funding. To allow the first round this opportunity and then reduce maximums in the second round would be unreasonable and unfair. Funding levels in the 2013 NOFA are identical to those in the 2012 NOFA. The Department will, however, in consultation with our advisory committee members and roundtable attendees, re-evaluate the per-activity funding levels and overall application maximums for the 2014 NOFA. This will be done assuming the 2014 NOFA will be funded with a single HUD allocation at the present lower levels. The Department supports reducing activity/maximum funding limits if future NOFA funding levels are what is presently anticipated.

These funding limits have been included in our Method of Distribution in both the 2011-12 Substantial Amendment and the 2012-13 Annual Plan with no comments until now. Lowering the maximum funding levels in this round would be unfair to those jurisdictions that chose to wait until the second round of 150% funding allocation availability. Changing the funding levels prior to the 2014 NOFA would create a significant imbalance between the two years.

CDBG funds are distributed by the Department primarily through a competitive process, to local governments in California which do not receive formula CDBG grants directly from HUD (i.e., *non-entitlement* cities and counties only).

CDBG competitive funding criteria are contained in State regulations. Application scoring criteria for Community Development (CD) and the Economic Development (ED) Enterprise Fund are tailored to the activities being applied for. The criteria below cover all activities, but not all the criteria apply to each activity (e.g., housing-related criteria will apply only to housing and housing-related activities). CD scoring criteria include:

- **Need** (poverty, overcrowding percentage, age of housing stock, rental vacancy rate, severity of the problem, extent of the solution, renter overpayment).
- **Readiness** (operator experience, program guidelines, waitlist of pre-screened applicants, all funding in place, project approval status, site control).
- **Capacity** (in-house organizational capacity, timely reporting).
- **State Objectives** (state-specific criteria tailored to current state-wide economic and housing market conditions and/or supplemental points for past applications).

Economic Development (ED) Enterprise Fund scoring criteria include:

- **Need** (poverty, unemployment, market analysis).
- **Readiness** (program description, program operator's status and qualifications).
- **Capacity** (as for CD, above).
- **State objectives** (as for CD).

The CDBG Planning and Technical Assistance (PTA) and Economic Development Over-the-counter (OTC) activities are both administered on a first-come, first-served basis. When the PTA activity has eligible applications for more funds than are available, applicants with the highest jurisdictional poverty levels are funded first. OTC projects are evaluated and underwritten case-by-case, by HCD staff and the Economic Development Advisory Committee (EDAC).

Use of Funds

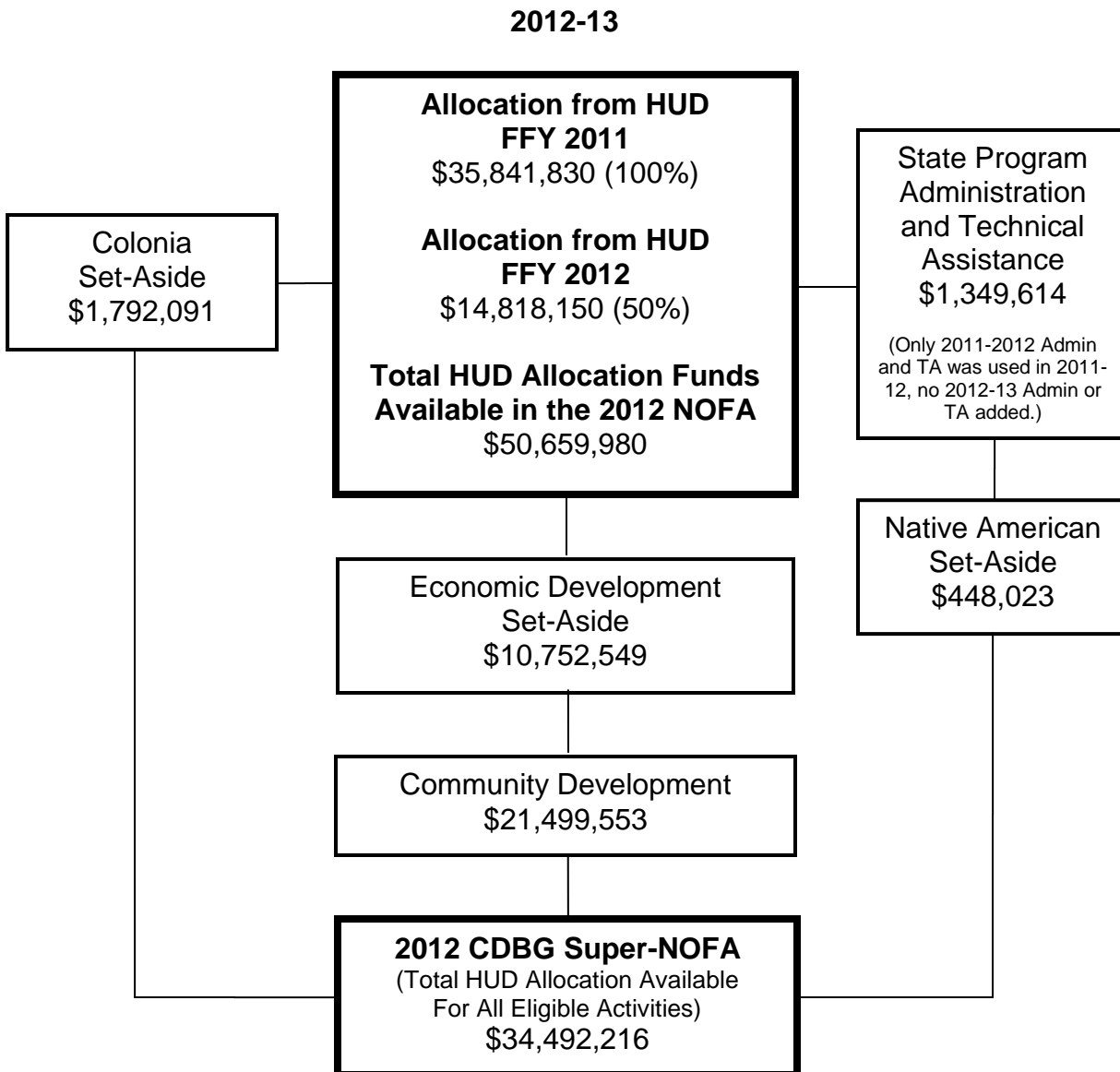
Section 104(b) of the federal Housing and Community Development Act of 1974, as amended, requires states to certify that CDBG dollars will be spent to predominantly benefit lower-income persons, prevent or eliminate slums and blight, and meet other community development needs having a particular urgency.

Section 104(b)(3) requires each funded activity to meet one of three related national objectives: Benefiting Low- and Moderate-Income Persons, Preventing or Eliminating Slums and Blight, and Meeting Urgent Needs. At least 70% of expenditures over a particular period must benefit low- and moderate-income persons.

State law and regulations establish additional program objectives. Under California Health and Safety Code Section 50828, not less than 51% of all funds available to the program must benefit low- and moderate-income persons by providing or improving housing opportunities, or for other purposes directly related to improving housing opportunities, including but not limited to the construction of infrastructure. Programs providing direct individual assistance must benefit 100% low- and moderate-income persons.

State law also requires the program to set aside 30% of the HUD allocation annually for ED activities, 5% for Colonia housing and housing-related activities, and 1.25% for eligible Native American housing and housing-related activities. Actual awards may vary from set-asides due to the re-awarding of funds disencumbered or not fully obligated in prior program years. Initial set-asides of the State's HUD allocation are shown in Table 4 (less State administration and technical assistance):

Table 4 CDBG Program Allocation and Set-Asides



Summary of Accomplishments

- Awards Summary

In 2012-13 CDBG awarded \$47,866,896 in CDBG grants. Awards in 2012-13 were made to 55 jurisdictions for Community Development, Colonia, Community Development Planning and Technical Assistance, and Economic Development

Enterprise Fund, Economic Development, Planning and Technical Assistance, and Economic Development Overt-the-Counter grants.

Table 5 CDBG Awards Summary

| CDBG Awards Summary | Amount |
|---|---------------------|
| Community Development (CD) | \$34,138,120 |
| Colonia | \$ 1,770,000 |
| Economic Development (ED) Enterprise Fund | \$ 5,252,121 |
| Economic Development Over-the-Counter | \$ 5,016,216 |
| Planning & Technical Assistance | \$ 1,690,439 |
| TOTAL | \$47,866,896 |

Fifty-five grant contracts were funded, generating 62 Community Development activities, 4 Colonia activates in 3 Colonia communities in Imperial County, 15 Economic Development Enterprise Fund Activities, 1 Economic Development Over-the-Counter grant, and 23 Planning and Technical Assistance studies, all of which were established in HUD's Integrated Disbursement and Information System (IDIS). HCD records the funding of projects and programs, and the administrative support and activity delivery costs for each award in IDIS.

Of the \$47,866,897 awarded, \$3,254,886 (6.8%) was for Program Administration (21A). CDBG can fund local administrative costs up to 7.5% of the grant.

Awards by Activity Category or Set-Aside

- **Community Development (CD)**

Community Development funding includes awards for activities in housing, infrastructure, public facilities, and public services. For 2012-13, the Department awarded the following:

- **Housing Activities:** \$10,797,761 resulting in 23 awarded jurisdictions.
- **Public Improvements:** \$12,344,318 resulting in 16 awarded jurisdictions.
- **Public Facilities:** \$3,636,125 resulting in 7 awarded jurisdictions.
- **Public Services:** \$4,874,500 resulting in 16 awarded jurisdictions.
- **CD General Administration:** \$2,486,415¹³

- **Colonia**

A Department Colonia specialist works with grantees to move their projects forward. As noted in the 2011-12 CAPER, HCD held roundtable discussions with Colonia stakeholders in May 2011 to seek input for restructuring the distribution

¹³ General Administration is the award per contract total, not by activity.

method and updating program requirements to comply with CPD Notice 11-001. The Department held another Colonia Roundtable meeting on January 20, 2012 in El Centro Colonia to ensure that Colonia program operators were aware of the Notice and the changes it mandated in applying for funding under the 2012 CDBG NOFA.

For 2012-13, \$1,770,000 in Colonia awards were made, resulting in 1 contract to Imperial County, which included funding for 3 Colonia communities. All of California's non-entitlement Colonia communities are located in Imperial County.

- Native American

HCD's staff works with eligible jurisdictions to identify non-federally-recognized Native American communities and terminated Rancherias to confirm eligibility for future funding rounds. The staff also works to clarify eligibility issues so jurisdictions have a clear understanding of application requirements. No Native American funding was applied for during 2012-2013.

- Economic Development

The Economic Development (ED) set-aside includes the Enterprise Fund and the Over-the-Counter (OTC) program. The ED Enterprise Fund is available for applications during the NOFA funding cycle. The OTC component operates as an ongoing application process, but is announced in each NOFA funding cycle.

- Enterprise Fund

Enterprise Fund awards are based on published criteria measuring unemployment, public benefit, leverage, and capacity. Because the public benefit and leverage of micro-enterprise activities are substantially different from those of business assistance activities, like activities are rated against each other. Enterprise Funds are awarded for the following types of activities:

- Business Assistance Programs***

- Assist start-up, expansion or preservation of businesses in the jurisdiction.
 - Fund public infrastructure/off-site improvements necessary to accommodate the start-up, expansion or preservation of a business.

- Micro-Enterprise Assistance Programs***

- Provide technical assistance, training and support to eligible micro-enterprises or persons developing micro-enterprises.
 - Finance eligible micro-enterprises, or persons developing micro-enterprises.

- Over-the-Counter (OTC)

Funding for ED-OTC is greater than for Enterprise Fund. OTC awards can be up to \$3,000,000 per applicant per year, or \$6,000,000 for two-year grants. Due to these large amounts, HCD has a special loan committee to

review and recommend applications. Jurisdictions can use OTC funding to make loans for start-up, expansion or preservation of businesses. Grants can also be used to construct necessary off-site infrastructure to accommodate new business.

For 2012-13 \$252,121 in Enterprise Fund awards (including ED General Administration) were made to 15 jurisdictions, and a \$5,016,216 OTC award was made to expand a vegetable growing business in 1 jurisdiction.

1. COMMUNITY AND ECONOMIC DEVELOPMENT PLANNING AND TECHNICAL ASSISTANCE (PTA)

A total of 42 PTA applications for 58 studies were received. Eighteen applications requested \$1,096,345 for Economic Development studies, and 40 applications requested \$2,108,809 for Community Development studies. Total requests were for \$3,205,154, which exceeded available PTA funds by \$1,205,154.

Awards totaled \$1,690,439, to 22 jurisdictions for 34 planning studies. Twenty-five CD studies for \$1,183,359 and 9 ED studies for \$507,080.

2. DISASTER RECOVERY INITIATIVE / DISASTER RECOVERY ENHANCEMENT FUND (DRI/DREF)

DRI is a “last resort” funding source for damages from 2008 wildfires that devastated parts of California. Two Presidential disaster declarations allowed 14 counties and 2 Native American Tribes to apply. The State’s initial allocation was slightly more than \$39.5 million, with \$38.3 million to be granted through an Over the Counter (OTC) application process. The balance is for General Administrative (GA) costs for the State CDBG Program to administer DRI. A supplemental allocation of \$15,000,000 was awarded to the State, known as the Disaster Recovery Enhancement Fund (DREF), with \$14,550,000 for eligible jurisdictions and \$450,000 for the State’s GA.

The Department began accepting DRI applications on an OTC basis on August 31, 2010. Awards were announced in November 2010 for \$13,785,098 to 12 jurisdictions, all of which executed contracts by the end of the fiscal year. On March 29, 2011 DRI NOFA Amendment 2 was released to fund DREF-eligible activities, in order to qualify for the \$15 million DREF allocation. Amendment 2 increased maximum limits for DRI grants in total (though some limitations still apply), as well as for the Planning activity:

- Overall total grant limit increased from \$5,000,000 to \$8,500,000.
- Planning activity limit increased from \$150,000 to \$250,000.

Additional outreach and marketing of the DRI Program has resulted in three rounds of applications, including amended applications from some initial awardees. Additional applications will be accepted until funds are exhausted.

The second DRI round allocated slightly more than \$25.1 million to 15 awardees. These included 6 new applications totaling \$9.4 million and 8 amended applications for \$11.6 million. One application, from the Hoopa Valley Tribe for \$4.1 million, which was not funded in the first round of applications, was funded under this second round.

The third DRI round resulted in 5 additional awards totaling \$4.4 million, including 4 new applications for \$900,000 and one amended application for \$3.5 million.

Ten additional DRI applications were received near the end of 2012-13, totaling slightly more than \$2.3 million. A fourth round of DRI grants was awarded in January 2013 to fund 6 of the applicants. The 6 grantees were awarded a total of \$1,080,000 for Planning Activities. During the last quarter of the 2012-13 fiscal year, the Department began outreach to previously awarded jurisdictions that could make use of additional DRI funding for non-Planning Activities. Further awards may be considered for the 2013-14 program year.

Summary of DRI/DREF Expenditures

DREF funds may be applied toward a limited number of DRI-eligible activities. HCD must obligate all the additional \$15 million in DREF funds, or its DREF allocation will be reduced by the amount not expended. Assuming the DREF allocation is entirely expended, total DRI/DREF expenditures will be as follows:

| DRI/DREF Expenditures | Amount |
|--------------------------------|----------------------|
| Original 2008 DRI Allocation | \$39,531,784 |
| State DRI General Admin. (3%) | <\$1,185,954> |
| 2010 DREF Allocation | \$15,000,000 |
| State DREF General Admin. (3%) | <\$450,000> |
| Total DRI/DREF awards | \$ 52,895,830 |
| Total State Admin. Cost | \$1,635,954 |

Program Income

As of August 1, 2013, Annual Program Income (PI) Reports had been received for the period of July 1, 2012 through June 30, 2013. Jurisdictions reported a total of \$377,815 of program income (PI) collected for this program year. In accordance with their respective approved Program Income Reuse Plans, these funds were deposited into their Program Income Revolving Loan Accounts (RLAs). Some PI was obligated to activities under open CDBG contracts.

The Department is in the process of updating its Program Income validation process, providing one-on-one TA to jurisdictions, which has delayed the reporting of all 2012-13 PI. This CAPER is reporting a small number of local PI reports and we are working with jurisdictions to obtain accurate PI data. These jurisdictions reported expending \$182,973 through Housing Rehabilitation RLAs and \$123,220 through Economic Development RLAs. These expenditures were primarily for loans to continue the activities that generated the program income.

The PI Waiver process allows jurisdictions to apply to use PI for activities that are not tied to either an open contract or approved PI Reuse Plan RLA. This process has allowed previously un-spendable PI dollars to fund much needed programs and projects and has been met with great enthusiasm over the last year. CDBG received eight requests for PI Waivers and approved four, for a total of \$638,500 in committed PI funds for 2 activities.

Leveraged Resources

Proposals to use CDBG funds with other leveraged funds can improve the feasibility of programs and projects. Local contributions typically consist of in-kind staff services, grant administration, redevelopment agency funds, gas tax funds, public works funds, permit and other fee waivers. Private contributions can include mortgage loans, grants from private agencies, in-kind staff time, sweat equity from rehabilitation projects, and discounts on services from title, pest and appraisal companies. Localities are encouraged to provide local resources and obtain private support, and to report State or federal funds used in the proposed activities.

Table 6 shows local public and private leverage, as well as required “cash match” for planning and technical assistance grants, that was committed along with CDBG awards made during the reporting year.

Table 6 Funds Leveraged by CDBG Activity Category or Set-Aside and Committed by Grantees for 2012-13 Funded Activities

| Program Activity or Set-Aside | Leveraged and Match Funds |
|--|----------------------------------|
| CD/Native American/Colonia Set-Asides | \$0 |
| ED Enterprise Fund | \$967,129 |
| ED Over the Counter (OTC) | \$200,180 |
| Community and Economic Development Planning and Technical Assistance (Match) | \$10,185 |
| Total | \$1,177,494 |

Table 7 shows expenditures from other fund sources in conjunction with CDBG grants, reported in grantees’ semi-annual Financial and Accomplishment Reports (FARs).

Table 7 Other Funding Sources by CDBG Activity, Actual Expenditures

| 2012-13 | | | | | |
|---|---------------|------------|------------------|------------------|--------------------|
| CDBG Activity | Other Federal | State | Local | Private | Total |
| Community Development (CD) | \$0 | \$0 | \$70,796 | \$0 | \$70,796 |
| CD Planning and Technical Assistance | \$0 | \$0 | \$5,250 | \$0 | \$5,250 |
| Economic Development (ED) Enterprise Fund | \$0 | \$0 | \$667,843 | \$299,287 | \$967,130 |
| ED Over-The-Counter | \$0 | \$0 | \$10,185 | \$200,180 | \$210,365 |
| ED Planning and Technical Assistance | \$0 | \$0 | \$162,161 | \$0 | \$162,161 |
| Total – All Activities | \$0 | \$0 | \$916,235 | \$499,467 | \$1,415,702 |

Compliance and Monitoring

The Monitoring unit has completed about 75% of its 2012 task list, including the vast majority of the internal policy and procedure manual, and the Monitoring Manual. The manual includes all programs/activities, risk assessment tools for each program, and procedures and policies for creating the yearly monitoring schedule for each program. The Unit is currently revising its monitoring checklists to include DRI and Neighborhood Stabilization Program (NSP) and will revise the existing checklists to better conform to a project based monitoring process.

Monitoring Unit procedures involve program staff and managers in determining corrective actions to be included in Monitoring Reports, and implemented before Monitoring Clearance Letters are sent. The Monitoring unit works with jurisdictions and program staff to clear State CDBG-related A-133 Audit findings.

Each PTA grant receives a desk monitoring prior to grant closeout. Grantees document citizen participation, equal opportunity and procurement, and submit a final written report or study by the end of the grant term.

During FY 2012-2013 the Department completed 18 high risk monitorings representing 32 contracts, based on risk assessment results. Contracts monitored included NSP, Community Development Block Grant Recovery (CDBG-R), and CDBG Community Development and Economic Development activities.

Program Outreach

CDBG provides outreach in a variety of ways. Program staff participates on panels and at statewide housing conferences such as Housing California. CDBG also holds application workshops, meets regularly with an advisory committee to gather public input, and uses the Department's website and an e-mail distribution list to broadcast information about CDBG NOFAs, Management Memorandums and other program updates.

- **CDBG Advisory Committee**

The CDBG Advisory Committees (Economic Development and Community Development) were dissolved in early 2013 so that the Department may reconvene a new group of participants. The Department developed a webpage with an application for and committee guidelines for the process. The Department accepted applications until August 1, 2013. The website can be viewed at this link:

http://www.hcd.ca.gov/fa/cdbg/advisory_committee.html

- **CDBG Roundtable Discussions**

The Department held four roundtable meetings around the state during the 2012-13 program year to discuss and gain stakeholder feedback about the HCD CDBG program updates and to discuss what changes will be necessary for the 2014-2015 program year since the 2014 NOFA will only have 100% of the 2014-2015 estimated allocation, as opposed to the 2012 and 2013 NOFAs that had 150% of funding each from 2011-2014 funding. Those meetings were held:

| Date | Location |
|---|--|
| January 24, 2013 9:00 a.m. – 1:00 p.m. | <u>Weed</u> Wellness Center 778 South Davis Weed, CA 96094 |
| January 31, 2013 9:00 a.m. – 1:00 p.m. | <u>County of Tulare - Visalia</u> Government Plaza (Resource Management Agency) RMA Main Conference Room 5961 S. Mooney Blvd Visalia, CA 93277 |
| February 7, 2013 9:00 a.m. – 1:00 p.m. | <u>Imperial County – El Centro</u> County Administration Building Conference Room C & D 940 W. Main Street El Centro, CA 92243 |
| February 14, 2013 9:00 a.m. – 1:00 p.m. | <u>Sacramento</u> HCD Headquarters Conference Rooms 470/475 1800 3 rd Street, 4 th Floor Sacramento, CA 95811 |

- NOFA Application Workshops and Webinars

CDBG conducted seven NOFA Workshops and six activity-specific webinars for the 2012-13 funding round to help potential applicants in preparing their applications. Those workshops and webinars were held on:

| Date | Location |
|---|---|
| January 23, 2013 8:30 a.m. – 5:00 p.m. | <u>Weed</u> Wellness Center 778 South Davis Weed, CA 96094 |
| January 30, 2013 8:30 a.m. – 5:00 p.m. | <u>City of Avenal – Avenal</u> Avenal Theater 233 E. Kings Street Avenal, CA 93204 |
| February 6, 2013 8:30 a.m. – 5:00 p.m. | <u>Imperial County – El Centro</u> San Diego Gas & Electric Conference Room 1425 W. Main Street El Centro, CA 92243 |
| February 13, 2013 8:30 a.m. – 5:00 p.m. | <u>Winters</u> Public Safety Building 702 Main Street Winters, CA 95694 |

- Disaster Recovery Initiative (DRI) Roundtables and NOFA Workshops

The DRI Program conducted Roundtable discussions and two webcasts prior to releasing the DRI NOFA - all during the 2009-10 fiscal year.

After awarding DRI funds in November 2010, the program conducted additional outreach programs and presentations to inform Emergency Management departments of eligible jurisdictions about remaining available funds. With assistance from the California Emergency Management Agency (CalEMA), presentations and webinars were conducted from CalEMA Sacramento headquarters on December 21, 2010 and June 28, 2011.

The DRI NOFA remains open until all funding is awarded and spent.

- California Finance Coordinating Committee (CFCC) Funding Fairs

HCD CDBG is a member of the California Financing Coordinating Committee (CFCC), which promotes coordination of and education about publicly funded infrastructure projects. The CFCC is an informal organization made up of five state and two federal agencies that fund public works and public facility projects throughout the State. To market these programs, the CFCC conducts public funding fairs at different locations around the state. CDBG staff provides training and direct technical assistance to persons and agencies seeking CDBG funds for eligible infrastructure projects. The 2012 round of funding

fairs ended in May 2012. For the reporting period of this report, there was one funding fair in West Sacramento on April 10, 2013, with the remainder of the 2013 fairs scheduled for after July 1, 2013.

Assessment of Response to Primary Goals in 2012-13 Annual Plan

Goal 1: Improve life in California cities and counties by helping to provide decent housing, a suitable living environment, and expansion of economic opportunities primarily for low and moderate income populations.

The Program's objectives for this goal were centered around delivering its first combined NOFA (SuperNOFA) as discussed above to streamline the application funding and award process, as well as move to a system of pre-awarding estimated funding six months in advance of the program year so that the State get contracts out earlier, which will give the jurisdictions more time to complete these critical activities.

CDBG Accomplishment: CDBG issued its first SuperNOFA which resulted in over \$46 million in funding to California's non-entitlement jurisdictions, which are predominately rural, small and low-income. The \$46 million is comprised of CD General Admin of \$2,485,415 and CD activity funding of \$10,797,761 for **Housing Activities** (23 awarded jurisdictions), \$12,344,318 for **Public Improvements** (16 awarded jurisdictions), \$3,636,125 for **Public Facilities** (7 awarded jurisdictions), \$4,874,500 for **Public Services** (16 awarded jurisdictions), \$1,770,000 for **Colonia** activates (1 contract to Imperial County for 3 Colonia communities – all of California's eligible Colonias are in Imperial County); \$5,252,121 for **Enterprise Fund Economic Development** (15 awarded jurisdictions), and 1 \$5,016,216 **Over-The-Counter Economic Development** award was made to expand a vegetable growing business in 1 jurisdiction.

Goal 2: Make grants available to eligible jurisdictions where at least 51 percent of program funds will provide or improve housing opportunities for low and moderate income groups, or toward activities directly related to the provision or improvement of housing opportunities for low and moderate income groups. This may include, but is not limited to, the construction of infrastructure (Health and Safety Code 50828 and 24 CCR 7052).

CDBG Objectives: As noted above, this goal is codified in both California statute at Health and Safety Code 50828 and in State CDBG Regulation at 24 CCR 7052. The State CDBG program meets or exceeds this statutory requirement with every annual allocation from HUD. The statute refers to "housing and housing related" activities only, which includes housing rehabilitation, home ownership assistance, public infrastructure and public infrastructure in support of housing new construction.

CDBG Accomplishments: During the 2012-13 program year, the State CDBG program awarded \$24,878,000 in housing and housing related activities, which represents a little more than 51%.

Goal 3: Reduce CDBG funds held unexpended by grantees to the HUD recommended maximum of two times the annual grant amount.

CDBG Objective: CDBG developed and implemented a streamlining strategy that included two SuperNOFAs which each result in one annual NOFA that encompasses all eligible CDBG activities, and also advance funding of allocated funds. For fiscal years 2012-13 (2012 NOFA) and 2013-14 (2013 NOFA) the SuperNOFAs will award 150% of the State's allocation so that in 2014-15 (2014 NOFA) the State will award all estimated 2014-15 funds, which constitutes a NOFA cycle that runs six months ahead of the actual allocation being received by the State so that the program can advance-obligate a very large amount of funding across the three year strategy cycle. This will greatly reduce the amount of unexpended funding on hand.

CDBG Accomplishments: The State CDBG program has successfully released and awarded the 2012 SuperNOFA, and has released and is currently rating and ranking the 2013 SuperNOFA. The program is in year two of the three year strategy, and is fully on track to meet all parameters of the plan.

Program Self-Evaluation

The Department is satisfied with the outcome of the 2012-13 funding cycle. The State certifies that implementation of the Consolidated Plan and the CDBG Substantial Amendment to the Annual Action for 2012-2013 have been accomplished.

- **CDBG Expenditure Rate**

The Department continues to implement steps to increase its expenditure rate, including:

- Focusing technical assistance on poorly performing CD and ED grantees;
- Applying a readiness rating and ranking factor for all activities;
- Disencumbering funds from CD and ED grantees for non-expenditure;
- Disencumbering funds from CD and ED grantees for non-compliance with special conditions in their Standard Agreements that require activity clearance within 90 days.

Additionally, HCD has implemented the following steps to increase its expenditure rate by allowing the Department to award greater amounts over the next two funding years. By the 2014-2015 funding year, the Department will issue the NOFA six months in advance of the receipt of the State's annual allocation from HUD, which will allow the department to make awards earlier in each funding year and give grantees more time to expend their funds:

- With the 2013 NOFA, the 50% expenditure rule began preventing grantees from applying for additional funds until they have expended at least 50% of their active contracts, beginning with contracts awarded under the 2012 NOFA.
- Under the 2012 NOFA, the Department made available 100% of 2011-2012 funding and 50% of anticipated 2012-2013 funding.

- Under the 2013 CDBG NOFA the Department will make available the remainder of 2012-2013 funding and 100% of anticipated 2013-2014 funding.
 - Under the 2014 CDBG NOFA, to be released in January 2014, the Department will offer all anticipated 2014-2015 funding for award.
- Performance Measurements
CDBG continues to implement program updates and reorganization of the entire State CDBG Program. These updates resulted in awards under the 2012 CDBG NOFA in September 2012. Further, on-going updates are continuing in the following areas:
 - The Program developed a workflow plan for close-out of activities and contracts, using the recent HUD updated guidance on closing out activities in IDIS, to ensure that performance data is entered into IDIS in a timely and accurate manner.
 - The Program is developing a workflow plan to ensure that all program income data is entered into IDIS.
 - As HUD requested, CDBG has prioritized workload to close open activities from 2006-2010. During program year 2012-13 the State CDBG program closed out 104 2006 contracts, 101 2007 contracts, 104 2008 contracts, 76 2009 contracts and 3 2010 contract. The numbers drop significantly for 2009 and 2010 because many of the contract expiration dates were beyond June 30, 2013.
 - Economic Development
ED staff has worked and continues to work on developing two complete Economic Development chapters for the States' Grant Management Manual. One chapter will address Microenterprise and the other will address Business Assistance. In addition to writing full and thorough guidance on both subjects, sample guidelines and sample loan documents must be developed, as well as full training material on each subject.

Response to CDBG Program Goals in the 2012-13 Annual Plan

To achieve the three primary goals, staff and management set the following program goals for 2012-13:

CDBG Goal 1: Increase the State expenditure rate by implementing the Department's updated Method of Distribution, mitigate the necessary eight-month period between NOFA and contracts, and streamline staffing assignments to each jurisdiction so that timely expenditure of funds by grantees can be better tracked across all CDBG activities.

CDBG Accomplishment: During the 2012-13 program year CDBG released its annual NOFA with all allocated funds included, which is the cornerstone of the updated Method of Distribution. The 2012 and 2013 NOFAs each awarded 150% of the State's CDBG allocation in 2011-2012 and 2012-2013, so that in 2013-2014 100% of 2014-2015 funds

will be awarded. And, as of 2013 NOFA, CDBG implemented the 50% Rule in the Program's updated State Regulations which reduced the number of eligible applications and prevented jurisdictions who have not yet spent at least 50% of their funds, from coming back for more money in the 2013 round. The single annual NOFA also streamlines the application process for jurisdictions since they only need to apply one time a year for all funding allocations and set-asides, rather than up to seven separate times a year as was the case pre-2012. Additionally, the streamlined NOFA application provides for rating and ranking only once a year and the use of a single scoring tool, which streamlines the awarding process. Lastly, staff assignments have been reorganized to better divide territories so tracking contiguous areas of the state is less cumbersome.

CDBG Goal 2: Consider improving the Economic Development set-aside to address customer-identified problems, such as: underwriting review, contract length, and complexity of the Over the Counter process.

CDBG Accomplishment:

CDBG met with ED Stakeholders to discuss improvements to the ED program. Much of the feedback the Department received centered on the need for the new Grant Management Manual chapters and their associated documents. Stakeholders felt this was critical to their performance, which translates directly to the Program's performance as well. As noted under the primary goals, ED staff is well underway in completing these chapters and their associated documents.

CDBG Goal 3: Continue the new CDBG Monitoring Unit with increased staffing for increased monitoring and technical assistance through more site visits, to improve compliance with federal overlay requirements and maximize the use of all CDBG resources.

CDBG Accomplishment: See "Compliance and Monitoring" above.

CDBG Goal 4: Review and classify CDBG regulations for a possible second phase of improvements in administrative procedures. Further changes may help continue improving program performance.

CDBG Accomplishment: During 2012 CDBG implemented the regulations that were adopted in 2011. The regulation changes will allow streamlining of program NOFA releases so that the annual CDBG allocation from HUD will be announced and made available through one NOFA in the second week of January each year, and will allow a single contract with each awarded jurisdiction to cover all activities other than Economic Development Over-the-Counter (ED-OTC). These proposed changes have been met with great favor by local jurisdictions given that it will substantively streamline their reporting and accounting requirements each year and will allow for a standardized application timeframe for CDBG funds. Additionally, see Accomplishment section for Goal 5 below.

CDBG Goal 5: Continue to review and streamline internal processes, including rating and ranking, to ensure a competitive advantage for jurisdictions with demonstrated

need, capacity, and a high level of readiness to perform. Continue increasing the visibility and transparency of the HCD competitive application scoring process.

CDBG Accomplishment: Further review for a second phase of changes is underway and on-going. During the 2012-13 program year, the Department held a post-NOFA offsite meeting with HCD CDBG staff on July 31, 2012 to discuss what worked with the first SuperNOFA and what didn't, and develop a "best practices" model for future change. All feedback from that meeting not requiring regulation changes was implemented in the 2013 NOFA. In anticipation of the 2014 NOFA and a second phase regulation change package, CDBG held four Roundtable Meetings throughout the State. See "CDBG Roundtable Discussions" above. Once the 2014 NOFA is released, the Department expects to execute a regulation change package which will be implemented in the 2015 NOFA.

CDBG Goal 6: Continue to review and revise State Program Income rules to ensure Department oversight and jurisdictional compliance, and provide additional technical assistance to jurisdictions on the topic.

CDBG Accomplishment: The Department revised its Program Income Reuse Plan agreements, Program Income Grant Management Manual chapter, and Program Income Reporting forms to address the publishing of the CDBG Final Rule and to streamline policies and procedures for Program Income Reuse. See Program Income above under the "Performance Measurements" section for further discussion.

CDBG Goal 7: Continue to analyze the rating system introduced with the new Method of Distribution and make changes if necessary to ensure, as much as possible, a level playing field for all eligible activities.

CDBG Accomplishment: In HCD's analysis, the most activity and jurisdictional parity will come from changing application scoring in two ways:

1. Score like activities against like; for example, infrastructure applications will no longer compete against housing activities.
2. Base available funding for an activity on the amount requested. If 40% of the total funding requested is for infrastructure activities, then 40% of the total funding available will be allocated to infrastructure awards.

As noted earlier, the State CDBG program is in the middle of a multi-year program streamlining and improvement strategy. As the different phases of the strategy are implemented, the resulting data is collected so we can evaluate the new Method of Distribution (MOD) implemented with the 2012 NOFA. Because the new MOD is so different from the original one, it is necessary to analyze data across several NOFA cycles to identify clear patterns for evaluating both the new MOD's effectiveness and any possible unintended consequences.

CDBG Goal 8: Consider ways to strengthen oversight and monitoring systems with regard to fair housing impediments.

CDBG Accomplishment: See "Furthering Fair Housing" and the "AI" discussion below, as well as Appendix D .

CDBG Goal 9: Continue to discuss appropriate criteria for State Objective points in future NOFAs.

CDBG Accomplishment:

State Objective points were discussed at length in the CDBG Staff Offsite meeting on July 31, 2012. From that meeting and with review by CDBG stakeholders, the Department offered four State Objective point categories and awarded 50 points for each State Objective met. The points were awarded for:

1. Applications from the previous year that were eligible and met a National Objective, but were below the available funding cut-off line.
2. Applications that applied for Homeownership Assistance (HA) Program funding as the applicant's sole housing *program* focus. The points were awarded if the applicant applied for HA and a housing *project* (multi-family), but were not awarded if the applicant applied for both the HA and Housing Rehabilitation program.
3. Applications for Public Improvement projects that addressed and provided for potable water.
4. Applications for Public Services that provided job training which resulted in the creation of jobs.

Furthering Fair Housing

CDBG requires all grantee jurisdictions to carry out housing and community development activities in a manner that furthers fair housing. Each grantee is required to have a designated staff-person who can help citizens file fair housing complaints.

CDBG encourages all jurisdictions to insert fair housing language in public notices, post fair housing posters in jurisdiction offices, place fair housing symbols on marketing materials and declare April to be Fair Housing Month. As noted in the next section below, please see Appendix D for specific information regarding Fair Housing and CDBG progress for implementing the recommendations set forth in the 2012 Analysis of Impediments to Fair Housing (AI).

Analysis of Impediments to Fair Housing (AI)

Since completion of the AI in September 2013, the Department has made progress on several AI Goals and Implementation actions. See Appendix D for a status update on all AI Goals.

Compliance with Applicable Civil Rights Laws

CDBG collects data on the characteristics of beneficiaries from each grantee through annual and final Grantee Performance Reports (GPRs), and assesses a grantee's civil rights performance as follows:

1. Requires grantees to provide demographic comparisons between the local areas being served by CDBG activities and the actual applicants for and beneficiaries of the assistance. No findings of discrimination have been made.
2. Requires larger grantees that use CDBG funds for program staff to provide demographic comparisons between the jurisdiction as a whole and its employees.
3. Reviews local equal opportunity employment policies and any pending discrimination complaints.
4. Details fair housing requirements in the CDBG application forms, Training Manual and Grant Management Manual. The grantee must survey households applying for services, use posters and brochures to advertise, and establish and publicize the process of filing a fair housing complaint.
5. Reviews local procurement procedures for steps taken to solicit women and minority contractors, and reviews all contracts to ensure that relevant equal opportunity requirements are included.

Table 8 summarizes grantee use of women- and minority-owned businesses:

Table 8 Minority- and Women-Owned Contractors Employed

| Firm Owned Wholly Or Substantially By: | Value Of Contract(s) |
|---|-----------------------------|
| Minority Group Members | \$2,225,272 |
| Women | \$1,086,237 |
| Other | \$21,329,698 |

3. PROJECT EXAMPLES

PIRANHA PIPE & PRECAST

Project Description:

Located strategically in the center of California's San Joaquin Valley in the City of Chowchilla, Piranha Pipe & Precast is a new state of the art production facility with the capability of producing concrete pipe, manholes and boxes with combined production and technical experience. With an Enterprise Fund Business Assistance loan of \$176,000, the company was able to add new production equipment that expanded their production line and added new larger manholes, boxes and other products. The new

concrete products allow this central valley business to bid on larger infrastructure jobs. The Business Assistance loan will assist the company in creating six new full-time, permanent jobs and four jobs filled by persons who were previously low-moderate income, as part of their expansion.

CDBG Funding Amount: **\$176,500**

Other Funding: **Owner Equity: \$130,280**

Beneficiaries: **Five new full time hires, three were low/moderate income.**





CAPITOL BOWL

Project Description:

West Sacramento Economic Development project - remodeled the inside and out of this building using CDBG funds and SBA loan funds and owner equity.

Capitol Bowl was originally El Rancho Bowl, part of a complex that included a first-rate hotel and a drive-in movie theater. Built in the early 1950s, the El Rancho complex was on U.S. 40, the main route from San Francisco to the Capitol. When I-80 was built in 1956 bypassing West Sacramento's "motel row," the city began to lose momentum. El Rancho continued to serve the community and set the pace for desegregating bowling alleys and encouraging women in the sport. In the 1970s, Channel 10 covered bowling scores in the nightly sports report!

In 2012, the Capitol Bowl was completely remodeled inside. "Good-bye" tiny rooms, dark lounge and orange striped vinyl floor. "Hello" open-concept, granite counter tops and big-screen TVs. This spring, we finished the outside with a new facade and a fantastic patio with fire pit tables and a water wall.

CDBG Funding Amount: **\$140,000**

Other Funding: **Small Business Administration (SBA) 504 Loan: \$331,000**
Owner Equity: \$53,000

Beneficiaries: **Four new full time hires, three were low/moderate income.**

Before:



After:



PRIMIER MUSHROOM

Project Description:

This is a “state of the art” mushroom growing facility located in an industrial park in unincorporated Colusa County. The original facility was built out with eight mushroom growing rooms. The plan was to grow the business and expand to full production scale with 16 growing rooms. Unfortunately, poor management left this business on the brink of failure. John Ashbaugh took over the leadership of Premier Mushroom Inc. in 2007. He made major changes to the organization to increase production and increase market share. The Unfortunately, the past organizational structure and debt load on the business was keeping the profit margins low and not allowing the facility to expand to 16 growing rooms. Farm Credit West was the major lender on the project and worked with John Ashbaugh, County of Colusa staff and CDBG staff to restructure the existing debt and provide construction financing to allow for the final eight 8 room expansion so the

facility could reach a sustainable production level. This facility provides year round living wage jobs to residents in Colusa County.

CDBG Funding Amount: **\$5,016,216**

Other Funding: **Farm West Bank: \$23,850,000**
Owner Equity: \$2,000,000

Beneficiaries: **Retention of 166 Existing Jobs & Creation of 120 New Jobs, with over half of those jobs provided to low income persons.**









Home Investment Partnerships Program

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H. HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME)

Method of Investment of Available Resources

HOME funds are distributed by HCD through a competitive process to cities and counties in California that are not HUD Participating Jurisdictions (PJs), members of a HOME Consortium, or part of an Urban County agreement with a PJ. HOME funds are also available to nonprofits certified as CHDOs that operate in HOME-eligible jurisdictions.

HOME announces its funding offerings through NOFAs. Funds are distributed to projects, which are HOME-eligible activities with an identified site and borrower at the time of application, and programs, which are HOME activities without identified sites or borrowers at the time of application. Eligible activities for 2012-13 include:

- Rental new construction
- Rental rehabilitation and/or acquisition
- Tenant-based rental assistance (TBRA)
- First-time homebuyer (FTHB) mortgage assistance
- FTHB acquisition with rehabilitation programs
- First-time homebuyer new construction (subdivisions and infill)
- First-time homebuyer acquisition/rehabilitation/conversion projects
- Owner occupied rehabilitation assistance

Criteria governing awards in 2012-13 are contained in the State HOME regulations:

All Activities

- Capacity
 - Prior performance
 - Prior experience
- Community need of homeowners and renters. Factors in **bold** were used in 2012-13 because reliable data for these factors was available for all HOME-eligible jurisdictions.
 - **Poverty**
 - Overpayment for housing by low-income households
 - **Vacancy rates**
 - **Age of housing stock (pre-1980)**
 - Substandard housing units
 - **Overcrowding**
 - Risk of conversion to market rate
 - **Ratio of median home sales price to median household income**

- Program or project feasibility

Program and First-Time Homebuyer (FTHB) Activities

- Guidelines in compliance with State and federal requirements
- Demonstrated market
- Financial feasibility

Rental Projects

- Financial feasibility
- Greatest percentage of assisted units
- Readiness of activity to be implemented (rental and FTHB projects)
 - Project development plan
 - Status of local government approvals
 - Design progress
 - Financing commitments
- Additional points are awarded for the following, for all activities except where noted:
 - Jurisdictions whose formula allocations have been reallocated by HUD to the State HOME Program
 - State Housing element compliance
 - Application proposes activities in a rural area
 - State objectives identified in the Annual Plan – In the 2012 round, up to 200 points were awarded for achieving one or more of the following State Objectives:
 - Up to 50 points to rental project applicants who committed to provide rents on the HOME units at or below 50% of area median income (AMI);
 - Up to 70 points to rental project applicants who had 100% of their non-HOME permanent financing committed by the HOME application deadline;
 - 25 points to rental and FTHB projects not located in areas of minority concentration;
 - 25 points to rental projects requesting less than the maximum loan limit;
 - 25 points to rental projects who had applied in both 2010 and 2011, but did not get funded;
 - Up to 50 points for rental projects proposing to target special needs groups;

- Up to 25 points to rental and FTHB projects located within the boundaries of a Gold, Silver or Bronze Catalyst Project as designated by HCD.
- Up to 100 points for program activity applications from jurisdictions that applied for but did not receive HOME program activity funding in 2011.

Use of Funds

HUD allocated \$30,973,097 in HOME funds to HCD for 2012-13, including returned formula allocations from Gardena, Lancaster, and Torrance. HCD retained \$2,787,595 for State administration of the program.

In 2011-12 \$16,500,000 of the 2012-13 allocation was committed early in an effort to accelerate expenditures. Similarly, the State pre-committed \$18,997,000 from 2013-14 HOME funds in 2012-13 awarded in January 2012. Actual awards included:

Table 9 HOME Awards in 2012-13

| Fund Source | Awarded |
|------------------------------|---------------------|
| 2013-14 HOME Funds | \$18,997,000 |
| 2012-13 HOME Funds | \$11,685,681 |
| Prior Year HOME Funds | \$8,876,834 |
| Total Awards, 2012-13 | \$39,559,515 |

Table 10 Number, Recipients and Uses of HOME Awards

| Recipients | Local Assistance | Administration | Total Funds | Number of Awards |
|-------------------|-------------------------|-----------------------|---------------------|-------------------------|
| State Recipients: | \$28,544,805 | \$517,710 | \$29,062,515 | 26 |
| CHDOs: | \$10,154,500 | \$342,500 | \$10,497,000 | 4 |
| TOTAL | \$38,699,305 | \$860,210 | \$39,559,515 | 30 |

The 2012-13 HOME NOFA was released on June 1, 2012 for a minimum of \$30,000,000, with a closing date of August 17, 2012. Most conditional reservations of funds were issued in January 2012 for programs and March 2013 for projects.

Approximately 40% of funds awarded were for assistance to homeowners and 60% for assistance to renters. The distribution of awards was as follows:

A total of \$89,100,000 was requested for rental projects, program activities and FTHB projects. A total of \$39,559,515 was awarded to 30 applicants. \$23,326,115 was awarded to 6 rental project applicants, \$15,408,400 was awarded to 40 program activities, and \$825,000 was awarded to one FTHB project.

Table 11 HOME Awards by Activity Type

| Type of Activity Funded | Funds Awarded | Number of Activities | Percent of Funds |
|---|---------------------|----------------------|------------------|
| First-Time Homebuyer (FTHB) Down Payment Assistance | \$9,407,692 | 21 | 23.8% |
| FTHB New Construction | \$825,000 | 1 | 2.1% |
| FTHB Acquisition and Rehabilitation | \$700,000 | 1 | 1.8% |
| Owner-Occupied Rehabilitation | \$5,050,708 | 16 | 12.8% |
| Rental Rehabilitation | \$0 | 0 | 0.0% |
| Rental New Construction | \$23,326,115 | 6 | 59.0% |
| Tenant Based Rental Assistance | \$250,000 | 2 | 0.6% |
| Total | \$39,559,515 | 47 | 100.0% |

These activities are projected to assist 497 households (212 lower-income renter households and 285 lower-income homeowner households). Tenant relocation assistance is discussed in Appendix A 2012-13 awards did not fund any rental rehabilitation programs.

California administers the largest State HOME allocation in the nation and has one of the largest and most diverse housing markets. Land, materials, and labor costs have been among the highest in the nation, and have been among the hardest hit by the decline in housing and credit markets. The ongoing need for affordable housing and increasing costs complicate the housing financing and development process. Federal and State tax credits and tax-exempt bonds provide the largest source of funding for affordable housing in the State, but to obtain these funds, applicants must have all of their HOME financing ("soft money") committed first.

Summary of Accomplishments

During 2012-13, the HOME program:

- Provided affordable renter and homeowner housing units.
- Continued to accelerate awards, so that we awarded 53% of our 2012-13 HUD allocation in 2011-12, in addition to funds from disencumbered contracts. We also awarded 61% of our 2013-14 funds in 2012-13.
- Waived the match for all activities in 2012-13. Contractors must still report their HOME-eligible match, but the State has enough banked match to meet the federal match requirement. This reduces administrative and financial burdens on HOME contractors.
- In June 2012, HOME conducted NOFA and Application training workshops for projects and program activities. The rental new construction project workshop was held in Rancho Cordova, and program workshops were held in Fairfield, Clovis, Ukiah, and Coachella.

- HOME adopted changes to its State regulations to accomplish the following:
 - 1) increase the HOME funds that can be used for rehabilitation in FTHB acquisition with rehabilitation programs;
 - 2) permit CHDOs to apply for funds for FTHB acquisition with rehabilitation programs;
 - 3) permit HOME funds as grants in acquisition with rehabilitation and owner-occupied rehabilitation programs where insufficient equity exists to do necessary rehabilitation, up to 25% of the applicable 221(d)(3) limit;
 - 4) permit HOME rental project loans at less than 3% interest in tax credit projects if necessary to prevent tax credit losses from exceeding the amount of equity invested in a project;
 - 5) delete unnecessary and outdated language related to interest rates in tax credit projects and subsidy layering requirements; and
 - 6) decrease the application rating points for the Community Need rating factor from 450 to 250, and increase the application rating points available for the State Objectives rating factor from 150 to 200 points.
- Continued implementation measures under the Department's Analysis of Impediments to Fair Housing (AI), http://www.hcd.ca.gov/hpd/hrc/rep/fed/ai_web.html. See the AI section below for more information.
- Continued ongoing contract closeout and long-term monitoring (discussed below).

Community Housing Development Organizations (CHDOs)

As of September 4, 2012 there were 25 HCD-certified CHDOs. HOME works with interested nonprofit corporations on an ongoing basis to help them qualify for certification.

HOME federal regulations require that at least 15% of each HUD FFY award be allocated to CHDOs. The 2012-13 allocation of \$30,973,276 provides a 15% CHDO set-aside of \$4,645,991. During 2012-13, \$10,497,000 was awarded to four CHDOs, amounting to 34% of the allocation and 26% of the total awarded.

Non-Responding Jurisdictions

HOME sent Annual Performance Report (APR) forms to all State Recipients and CHDOs that had eligible reporting activities during 2012-13. Several jurisdictions which have not reported to HCD are now either PJs or ineligible jurisdictions as members of a Consortium or Urban County, and therefore cannot apply for State HOME funds in the future. This does not, however, absolve those jurisdictions from State-required reporting for previous years. Non-responding jurisdictions are:

- **State Recipients:**

This information is not yet available.

- **Participating Jurisdictions:**

This information is not yet available.

- **CHDOs:**

This information is not yet available.

Program Income and Leveraged Resources

- **Program Income (PI)**

Total PI available for 2012-13 was \$846,726. This includes a beginning balance of \$69,926 and \$776,800 collected by HCD in 2012-13. Of the total, \$468,786 was encumbered and disbursed through existing contracts during 2012-13 and \$70,494.13 was retained for administration, leaving \$307,445.89 to be encumbered and disbursed in 2013-14.

PI and recaptured funds collected by State Recipients in 2012-13 totaled \$3,694,473 (\$3,206,674 in PI and \$487,799 in recaptured funds). These were used to assist 40 housing units, of which 0 were rentals and 40 were owner-occupied.

Of the 40 households occupying these units, 2 had incomes of 30% or less of median income; 8 had incomes ranging from 30% to 50% of median income; 5 had incomes of from 50% to 60% of median income; and 25 had incomes ranging from 60% to 80% of median income. Additional details about units funded with program income appear in Table 12

- **Leverage**

During 2012-13, HOME awards leveraged \$103,451,475 from other sources. This means that \$2.6 was leveraged for every HOME dollar awarded, a 9% increase from last year's ratio of \$2.4 per HOME dollar. This is a slight increase; however, HOME leverage remains low compared to prior years mostly due to depressed overall housing markets and reduced affordable housing funding from other agencies.

In the general HOME program, points are no longer given for leverage of other funds, because this has been found to discourage smaller projects that use more HOME funding and are more affordable, and to encourage larger projects with lower affordability, often using 9% tax credits, which slows the expenditure of HOME funds.

However, the recording of match necessary for financing is still required so HCD can continue to provide match activity waivers.

- **Match**

For 2012-13, HOME provided a match activity waiver for all activities because of excess or "banked" match that we already have. However, we still require all grantees to report match so that we can continue to bank it for future years.

Table 12 HOME Beneficiaries Assisted with Program Income
2012-13

| Number of Persons | 1 Person | 2 Person | 3 Person | 4 Person | 5 Person | 6 Person | 7 Person | 8 or More | Vacant | Total |
|-------------------|----------|----------|----------|----------|----------|----------|----------|-----------|--------|-------|
| | 10 | 10 | 6 | 10 | 3 | 1 | 0 | 0 | 0 | 40 |

| Type of household | Single non-Elderly | Elderly | Related/ Single Parent | Related/ 2 Parent | Other | Vacant | Total |
|-------------------|--------------------|---------|------------------------|-------------------|-------|--------|-------|
| | 9 | 6 | 6 | 16 | 3 | 0 | 40 |

| Number of Bedrooms | 0 Bdrm | 1 Bdrm | 2 Bdrm | 3 Bdrm | 4 Bdrm | 5 or more | Total |
|--------------------|--------|--------|--------|--------|--------|-----------|-------|
| | 0 | 0 | 13 | 24 | 3 | 0 | 40 |

| Race/Ethnicity of Head of Household | White | Black | Asian | American Indian/ Alaska | Native Hawaiian/ Pacific | Asian & White | Black & White | Am.Ind. Alsk/ Blk | Other | Vacant | Total |
|-------------------------------------|-----------|----------|----------|-------------------------|--------------------------|---------------|---------------|-------------------|----------|----------|-----------|
| Non Hispanic | 17 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 18 |
| Hispanic | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 0 | 22 |
| Vacant | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 32 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 7 | 0 | 40 |

| Occupancy | | | | | | |
|----------------|----------|----------|----------|-----------|----------|-----------|
| Percent of AMI | 0 – 30 % | 30-50% | 50-60% | 61-80% | Vacant | Total |
| Rental | 0 | 0 | 0 | 0 | 0 | 0 |
| Owner | 2 | 8 | 5 | 25 | 0 | 40 |
| Total | 2 | 8 | 5 | 25 | 0 | 40 |

Close-out Monitoring

For 2012-13, HOME performed close-out monitoring on ten program activity contracts (FTHB down-payment assistance, OOR, or TBRA) and ten State Recipient rental project contracts. Since the Department, rather than the local jurisdiction, is the lender for CHDO project loans, close-out monitoring is done on every CHDO loan as part of our regular loan servicing work for these loans. HOME met its overall closeout monitoring goal of 20 monitorings in calendar year 2012.

Homeowner Activities Long-Term Monitoring

Last year, the Department conducted ongoing FTHB long-term monitoring. In 2012-13 verification of principal residency was undertaken on 645 CHDO FTHB loans.

Rental Project Long-Term Monitoring

Staffing: During 2012-13 the long term monitoring unit had 6½ staff.

Types of Monitoring: For loans to Community Housing Development Organizations (CHDOs), the Note and Deed of Trust are held by the Department, and the regulatory agreement also lists the Department as the lender; therefore, HOME's CHDO long-term monitoring unit assesses compliance with HOME rents, and reviews and approves annual year-end reports, which are used to calculate interest payments due on the HOME loan and to calculate distributions due to the borrower.

The unit also reviews deferred developer fee payments, asset management fees and partnership management fees that can be paid to the borrower. CHDO staff also reviews and approves (if appropriate) requests for replacement reserve withdrawals and operating reserve withdrawals, and processes requests for borrowers to obtain new loans to conduct needed renovations of projects. Lastly, the CHDO staff performs site inspections of projects, evaluates the compliance of the property with housing quality standards (HQS) and reviews tenant files for compliance with HOME income and rent restrictions.

Both the CHDO and State Recipient units conduct long-term monitoring office reviews and field visits for rental projects. However, for State Recipient HOME loans, the Note and Deed of Trust are held by the State Recipient; therefore, the local jurisdiction is responsible for the day to day monitoring of these projects, and for these loans. The department principally monitors the performance of the State Recipient in carrying out these functions, as discussed below.

a. Office Review

CHDOs: The required CHDO project Annual Report consists of an Independent Audit Report, a Schedule of Operating Expenses, a Cash Flow Analysis, a report on

Reserves and Other Account Balances, a Sponsor Project Rating, and an Annual Affirmative Marketing/Fair Housing Report submitted by the borrower for each rental project. HOME requires these within 90 days after the end of the project's fiscal year, and reminds borrowers by letter of this requirement if not received by the deadline.

State Recipients: An office review consists of an Annual Monitoring Report (questionnaire); a Project Compliance Report; a copy of the project's utility allowance schedule (form HUD-52667); a copy of the State Recipient's last long term monitoring Summary Letter and Clearance Letter to the project's owner/manager, a copy of Physical Conditions report, and a copy of the project's Annual Affirmative Marketing Analysis Report. Smaller projects containing 1 to 4 units are not required to submit an Annual Affirmative Marketing Analysis Report.

The HOME State Recipient staff has recently developed specific questions related to Financial Management of each project. These questions have been added to the yearly monitoring questionnaire which is required by all active projects. The questions were developed to test the present and future financial viability of each project.

State Recipient projects were separated into three groups based on HUD's minimum monitoring schedule of: (a) annually for projects with 26+ units, (b) biennially for projects with 5 to 25 units, and (c) every three years for projects with 1 to 4 units. These three groups were further subdivided based on location into a total of seven subsets, as shown in Table 8. During 2012-13, HOME monitoring staff sent these State Recipients letters requesting monitoring documentation for each State Recipient HOME-assisted rental project. A long-term monitoring package from each State Recipient was due within 45 days from the date of the "Request for Annual Monitoring Documentation:"

Table 13 Monitoring Compliance Reports -- HOME State Recipient Projects

| Date Sent | Date Due | # Projects | Project Size |
|--------------------|------------------|------------|--------------|
| August 15, 2011 | October 1, 2011 | 7 | 1 – 4 units |
| September 15, 2011 | November 1, 2011 | 11 | 1 – 4 units |
| January 15, 2012 | March 1, 2012 | 49 | 26+ units |
| February 15, 2012 | April 1, 2012 | 39 | 26+ units |
| March 15, 2012 | May 1, 2012 | 38 | 26+ units |
| April 15, 2012 | June 1, 2012 | 18 | 5 – 25 units |
| May 15, 2012 | July 1, 2012 | 24 | 5 – 25 units |
| | TOTAL | 186 | |

HOME plans to send an additional 18 letters between August 15 and September 15, 2013 to State Recipients with 1 – 4 unit rental projects, requesting reports on their on-going monitoring processes.

| Mail Date | Due Date | # Letters | Project Size |
|--------------------|------------------|-----------|--------------|
| August 15, 2012 | October 1, 2012 | 7 | 1 – 4 units |
| September 15, 2012 | November 1, 2012 | 11 | 1 – 4 units |
| | TOTAL | 18 | |

A completed questionnaire, Project Compliance Report, utility allowance schedule, Physical Conditions report, copy of the State Recipient's Summary letter, and Clearance letter will be due for each project within 45 days from the date of the "Request for Annual Monitoring Report Documentation."

Report Analysis and Risk Assessment

HOME's review of each State Recipient's Annual Monitoring Report package helps to determine whether a site visit will be scheduled by HOME monitoring staff. Similarly, review of each CHDO Annual Report and Sponsor's Project Rating helps determine which projects should be visited each year.

State Recipient - Project Compliance Report – This report is completed annually by the owner or managing agent and submitted to the State Recipient monitor who reviews it for compliance with HOME rent, occupancy, recertification, and income requirements. The monitor executes and dates the report and submits a copy to HOME. HOME samples reports for compliance, and sends a letter to the State Recipient detailing any non-compliance issues. State Recipients must respond within 45 days and receive a clearance letter from HOME monitoring staff to confirm correction of compliance issues.

Risk Assessment Questionnaire – Long-term monitoring staff also review State Recipient questionnaires and prepare a risk assessment for each rental project. High or low risk is determined based on the following factors and previous long-term monitoring results:

- Timeliness and accuracy of required reports to HOME
- Project-specific factors such as size and lead-based paint compliance
- Performance based on whether the owner or property manager conducted inspections and annual re-certifications, used appropriate HOME rents and HUD income limits, and whether there were changes in on-site management or property ownership
- Whether there appeared to be an understanding of program objectives

Due to the large number of HOME-assisted State Recipient and CHDO rental projects, report analysis takes place throughout the year.

Table 14 HOME State Recipient Risk Assessments

| 7/1/12 – 6/30/13 Risk Assessment Status | State Recipient Projects |
|--|-----------------------------|
| Assessment Completed – Deemed High Risk | 20 |
| Assessment Completed – Deemed Low Risk | 140 |
| Subtotal | 160 |
| Received Documents – Assessment Not Yet Done | 0 |
| Documents Not Received/Incomplete Package Received | 23 |
| Subtotal | 23 |
| Total Projects | 183 |
| Percentage of Risk Assessments Completed | 87% ¹⁴ |

b. Field Visits

CHDOs: During the required period of affordability, HOME is responsible to HUD for on-site monitoring of CHDO rental projects and for continued compliance with federal and State regulations.

State Recipients: HCD reviews State Recipient overall performance and adherence to program requirements, and provides technical assistance.

Scope of Review: During a long-term monitoring visit to a CHDO or State Recipient rental project, HOME staff collects data, inspects the exterior of the entire property and the interiors of selected units, reviews the tenant files for a sample of the units, and documents information on checklists that reflect HOME requirements. This information serves as a basis for the monitoring report.

For CHDO projects, HOME conducts on-site monitoring in accordance with the Final Rule. For State Recipient rental projects, HOME uses the following criteria to determine eligibility for a field visit:

1. Contractors who received a high-risk rating
2. Contractors who have not received a field visit within the last three years
3. Rental projects with 26 or more units, requiring annual review
4. HOME Manager requests a visit

From July 1, 2012 through June 30, 2013, long-term monitoring staff completed site visits for 12 State Recipient and 38 CHDO rental projects, for a total of 50 site visits. To date, the CHDO long-term monitoring unit has completed all required site visits for 2012-13 pursuant to federal HOME regulations.

¹⁴ It is anticipated that 100 percent of risk assessments will be completed by December 31, 2013.

By the end of 2013, HOME long term monitoring staff plans to conduct on-site visits of all CHDO projects that required site visits this year and any State Recipient rental projects categorized as high risk based on the on-going risk assessment process. State budget constraints, however, may require that some or all of these State Recipient projects be desk-monitored instead of field-monitored.

Davis-Bacon and Related Acts Labor Compliance Monitoring

Staffing: During 2012-2013 staffing consisted of one full-time Labor specialist. During 2012-2013 HOME monitored nine projects for compliance with Davis-Bacon and Related Acts.

Objectives and Scope of Monitoring: The primary objective of this monitoring is to ensure each project's compliance with Davis-Bacon and Related Acts. Each monitoring includes an inspection of the project's Labor Standards Enforcement File, Payroll Records, and Construction Site visit, as well as an exit interview to discuss the Labor specialist's findings and observations. Within 45 days of monitoring, HOME provides to the project a written Davis-Bacon Monitoring Report stating all Findings and Concerns, as well as Required Corrective Actions. Projects must provide a written response within 45 days from the date of the Davis Bacon Monitoring Report.

During 2012-2013 HOME continued implementation of project milestone tracking. These milestones allow the Labor Specialist to monitor proposed and actual construction start dates and ensure timely scheduling of compliance monitoring. In addition, the project milestones are used to track the dates of monitoring notices, reports, and clearance letters.

Program Outreach

HOME continues outreach to its customers in a variety of ways. We conduct annual NOFA trainings for all of our eligible activities. We also meet at least annually with our program and project advisory committees to get their feedback on the prior year's program operations, and proposed changes for the upcoming year. The HOME Advisory Committees are comprised of State Recipients, CHDOs, other developers, and consultants.

HOME managers and staff conduct individual project meetings with projects funded under the current NOFA. These meetings are held in lieu of large contract management trainings so that each meeting can have a project-specific focus and tailored technical assistance can be provided. Topics covered include discussion of a project's responsibilities in the following areas:

- NEPA
- Federal and State prevailing wage requirements
- EO/Affirmative Marketing
- HOME reporting requirements
- Importance of HCD Loan and Grant Committee Project Report as a binding document

- Current project status and project changes after application submission
- Document submittal and processing, including meeting HOME deadlines
- Disbursement of HOME funds
- Coordination with other lenders and permanent loan closing (CHDOs)
- Long-term monitoring

HCD continues to use e-mail and the internet to distribute its NOFAs, application materials, and other program updates (see the “Summary of Accomplishments” section for more information on outreach through training workshops).

Furthering Fair Housing

Commitment to Fair Housing and Equal Opportunity

A commitment to fair housing and equal opportunity in employment and business contracting is required of all jurisdictions and CHDOs that receive HOME funding. HOME provides training in Fair Housing and Equal Opportunity requirements. There are separate chapters on these issues in our Contract Management Manual, and we discuss Affirmative Marketing and community-wide marketing extensively in our individual project meetings. HOME also has a Fair Housing/EEO (Equal Employment Opportunity) Specialist for technical assistance.

HOME Standard Agreements include, but are not limited to, requirements that:

- All projects with 5 or more units comply with affirmative marketing requirements.
- Each contractor must assure that no qualified persons shall be excluded from participation or employment, or denied the benefits of HOME-assisted housing, and shall not be subject to discrimination based on race, color, national origin, sex, age, handicap, familial status, religion or belief, or sexual orientation.
- HOME-assisted housing must comply with 24 CFR. Part 8, concerning accessibility to the disabled.
- Construction and rehabilitation associated with HOME projects must comply with Section 3 of the Housing and Urban Development Act of 1968 in providing employment and contracting opportunities to low-income residents of the community in which the project is being developed.

The following is required of contractors:

- Contractors who receive HOME funds for a rental project must submit a certification from the project architect that the project plans and specifications comply with Section 504 of the Rehabilitation Act of 1973 and the federal Fair Housing Act.
- Contractors who receive HOME funds for any project containing five or more units must submit their affirmative marketing procedures.
- All contractors must submit evidence that they have solicited minority- and women-owned businesses before they enter into any HOME-funded contracts.

HOME monitors contractor performance periodically during the affordability period. HOME examines the following:

- Demographic information on the jurisdiction, applications for assistance, waiting lists, and actual beneficiaries to determine if there is general parity between the demographic characteristics of the community and the beneficiaries of HOME funds
- Local processes for hiring, firing, and promoting in departments administering HOME funds, and the demographic characteristics of employees in those departments
- Local procurement procedures for the steps taken to recruit women and minority contractors
- Affirmative marketing procedures
- Whether all contracts contain appropriate equal opportunity language.

To be competitive for HOME funding, all city and county applicants must have a housing element determined by HCD to be in substantial compliance with State housing element law. This law requires cities and counties, among other things, to have a fair housing program to disseminate information and receive and refer complaints concerning housing discrimination. This helps assure that local jurisdictions are committed to fair housing. The jurisdiction must, at a minimum, obtain and display posters in public places used by large numbers of low-income persons, obtain brochures from the California Department of Fair Employment and Housing (DFEH), and establish and publicize the distributing of such information to persons within the jurisdiction who might be victims of discrimination.

HCD collects data on the characteristics of beneficiaries from each contractor through the APR. The ethnic distribution of HOME-assisted households is shown in Table 3.

Fair Housing Analysis of Impediments (AI)

Since completion of the AI in September 2013, the Department has made progress on several AI Goals and Implementation actions. See Appendix D for a status update on all AI Goals.

Minority Outreach

HCD collects information and reports to HUD on the participation of minority and women-owned businesses (M/WBE). The level of M/WBE participation varies based on the amount and type of the HOME-assisted activity during a reporting period, and how contractors acquire goods and services. During 2012-13, 391 businesses with contracts totaling \$44,320,330 participated in the State-administered HOME Program. Of the total, 18 minority-owned businesses with contracts totaling \$2,221,683 participated in the State-administered HOME Program.

In addition, 31 women-owned businesses were awarded contracts totaling \$4,271,066. Of the total 391 contractors that participated in the HOME program, 8% were women-owned businesses and 5% were minority-owned businesses.

To ensure compliance with fair housing, HCD has continued to promote equal opportunity through NOFA training workshops and other technical assistance trainings. We also continue to monitor performance in this area and provide additional training and technical assistance as appropriate.

Assessment of Responses to Goals and Objectives in 2010-11 Annual Plan

Goal 1: Meet the housing needs of low-income renter households

Objective 1: Evaluate whether HOME should be using its funds for rental housing in a more targeted manner given reduced allocation levels.

Accomplishment: Given both significant reductions in the HOME funding level since 2012, and tightened federal rules regarding expenditure deadlines, for 2013, the State HOME program will not be accepting applications for rental projects proposing use of 9% tax credit projects. Four percent tax credit projects, which are non-competitive for tax credit financing, will continue to be accepted.

Objective 2: Consider continuing to use State Objective bonus points to encourage lower rents than the standard Low and High HOME rents.

Accomplishment: HOME continues to offer State Objective application rating points for rental projects offering rents at or below 50% AMI. In the 2012 funding round, HOME modified these criteria to award points for projects offering a range of units at or below 50%, including rents at 45%, 40%, and 35% AMI and below.

Objective 3: Continue to explore ways to make HOME rental projects more competitive for other sources of available public financing, and/or ways to make them more compatible with other financing programs.

Accomplishment: The Department is currently engaged in discussions with TCAC and CalHFA over ways to make our financing programs more compatible to enhance the efficiency of our project selection process and assist projects to completion in a shorter length of time

Objective 4: Continue evaluating HOME's existing portfolio to assess the need for additional assistance to projects that may have extenuating financial need and/or substantial rehabilitation needs (i.e., "troubled projects").

Accomplishment: In 2012, the Department began work with two HOME rental projects to assist them in restructuring their current financing and/or reducing expenses to remain financially stable.

Objective 5: Consider amending State HOME regulations to permit rental project loans of less than 3% interest where necessary to prevent negative capital accounts in tax credit projects.

Accomplishment: This regulation change was made and took effect in October 2012.

Goal 2: Meet the housing needs of low-income homeowners

Objective 1: Evaluate whether HOME should be using its funds for homeowner activities in a more targeted manner given reduced allocation levels.

Accomplishment: Given both significant reductions in the HOME funding level since 2012, and tightened federal rules regarding expenditure deadlines, HOME is currently evaluating how to best target program resources to activities with the greatest need and fastest expenditure rates.

Objective 2: Consider amending State HOME regulations to permit CHDO eligibility for FTHB acquisition and rehabilitation funds.

Accomplishment: This regulation change was made and took effect in October 2012.

Objective 3: Consider amending State HOME regulations to permit the Department to eliminate the \$10,000 rehabilitation limit for FTHB acquisition with rehabilitation in favor of a more flexible limit.

Accomplishment: This regulation change was made and took effect in October 2012. See next Accomplishment for more details.

Objective 4: Consider amending State HOME regulations to permit a portion of funds for homeowner rehabilitation activities to be provided in the form of a grant.

Accomplishment: Effective October 2012, State HOME regulations will permit HOME funds to be used as grants in OOR and FTHB acquisition with rehabilitation activities in an amount up to 25% of the applicable 221 (d) 3) limit if necessary to bring the project up to code when the total of all project indebtedness equals or exceeds the projected after-rehabilitation appraised value.

Goal 3: Meet the housing, supportive housing and accessibility needs of the homeless and other special needs groups, including prevention of homelessness

Objective 1 Consider offering additional funds and/or bonus points for rental projects providing deeper affordability.

Accomplishment: See response to Goal 1, Objective 2.

Objective 2: Encourage applications for projects targeting special needs populations, as permitted under federal and state antidiscrimination and fair housing laws.

Accomplishment: In 2012, we offered State Objective bonus points to rental projects proposing to target special needs populations through the use of HUD 202, HUD 811, USDA Farm Labor, HUD Supportive Housing Program, State Mental Health Services Act (MHSA) funds, State Farmworker Housing Grant funds, Veterans Affairs Supportive Housing Voucher (HUD-VASH) or State Multifamily Housing Program (MHP) funds. Several projects received these “special needs” bonus points.

Objective 3: Work with State Department of Health Care Services (DHCS) to explore use of Special Needs project-based rental assistance funds in HOME-assisted projects.

Accomplishment: In August 2012, the Department submitted a joint application to HUD for Project Rental Assistance (PRA) Demonstration funds in partnership with CalHFA, TCAC and DHCS to serve Medi-Cal recipients with disabilities who are exiting health care facilities for housing and those at risk of returning to these facilities due to the lack of affordable housing. In February 2013, the State was awarded PRA funds. Since that time, the Department has been active in PRA program design, including offering HOME State Objective points in 2013 to HOME projects that are seeking to participate in the PRA program.

Goal 4: Mitigate Impediments to Fair Housing

Objective 1: Continue monitoring fair housing activities and give technical assistance on community-wide marketing and affirmative marketing plans for projects of five or more units.

Accomplishment: See “Furthering Fair Housing” section above for a description of ongoing affirmative marketing activities. In addition, in April 2013, HOME provided affirmative marketing and demographic data analysis training to HOME State Recipients, CHDOs, consultants, and program staff. This included development of a new form for FTHB, OOR, and TBRA program activities to evaluate on an annual basis, like we do for project activities, the racial, ethnic, familial status, and disability status of program applicants, beneficiaries, and wait-listed households to better target program marketing efforts to underserved populations and those least likely to apply.

Objective 2: Continue to update the AI as required by HUD, including exploring ways to further fair housing through the distribution and use of HOME funds.

Accomplishment: See CAPER Appendix D for information on AI implementation efforts as of June 30.

Program Evaluation

HUD Performance Measures

The State HOME Program began collecting HUD Performance Measurement data in May, 2006, five months earlier than required. For all activities, HOME chose “Providing Decent Affordable Housing” as its primary objective and “Improving Affordability” as its primary outcome. HOME has been collecting performance measurement data from State Recipients and CHDOs through its set-up and Project Completion Reports.

Table 15 HOME Performance Outcomes

2012-13

| Objective | Providing Decent Affordable Housing | |
|---|-------------------------------------|--------------------------|
| | Units ¹⁵ | HOME Funds ¹⁶ |
| Improving Affordability | 756 | \$85,057,525 |
| # of Total Units Brought Up to Property Standards | 756 | \$85,057,525 |
| # Occupied by Households <= 80% AMI | 756 | \$85,057,525 |

2012- 13 NOFA Demand: See “Use of Funds,” above.

2012-13 Contract Management Trainings: See “Program Outreach,” above.

Improvements in Program Implementation: See “Summary of Accomplishments,” above.

¹⁵ Units are from the PR23, as 100% of HOME completed units meet the standards certified. The numbers in the PR85 were not reflective of the state’s performance this year.

¹⁶ “HOME Funds” is the total disbursed in 2012-13 from the State’s data system.

Emergency Solutions Grants (ESG)

**E
S
G**

I. EMERGENCY SOLUTIONS GRANTS (ESG)

The following data was entered into IDIS (Integrated Disbursement & Information System) for the ESG program.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *IDIS* For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

| | |
|---|--|
| Recipient Name | CALIFORNIA |
| Organizational DUNS Number | 021225490 |
| EIN/TIN Number | 946001347 |
| Identify the Field Office | SAN FRANCISCO |
| Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance in FY 2012-13` | Daly/San Mateo County CoC Marin County CoC Oakland/Alameda CoC Santa Rosa/Petaluma/Sonoma County CoC Watsonville/Santa Cruz City and County CoC Tuolumne/Calaveras/Amador Counties CoC Napa County CoC Roseville/Rocklin/Placer/Nevada CoC Los Angeles City and County CoC Santa Maria/ Santa Barbara County CoC San Diego City And County CoC Santa Ana/Anaheim/Orange County CoC Chico/Paradise/Butte County CoC Colusa, Glenn, Lake, Tehama, Trinity, Lassen, Plumas, and Sierra Counties CoC |

ESG Contact Name

| | |
|-------------|---------|
| Prefix | Mrs. |
| First Name | Tracey |
| Middle Name | |
| Last Name | Withrow |
| Suffix | |
| Title | Manager |

ESG Contact Address

| | |
|------------------|------------------------------|
| Street Address 1 | 2020 West El Camino Ste. 400 |
| Street Address 2 | |
| City | Sacramento |
| State | CA |
| ZIP Code | 95834 |
| Phone Number | 916-274-0580 |
| Extension | |
| Fax Number | 916-263-3391 |
| Email Address | Tracey.Withrow@hcd.ca.gov |

ESG Secondary Contact

| | |
|---------------|-------------------------|
| Prefix | Mrs. |
| First Name | Rebecca |
| Last Name | Matt |
| Suffix | |
| Title | Section Chief |
| Phone Number | 916-263-2736 |
| Extension | |
| Email Address | Rebecca.Matt@hcd.ca.gov |

2. Reporting Period—All Recipients Complete

| | |
|-------------------------|------------|
| Program Year Start Date | 07/01/2012 |
| Program Year End Date | 06/30/2013 |

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Families in Transition of Santa Cruz County, Inc.
City: Watsonville
State: CA
Zip Code: 95076,
DUNS Number: 883845265
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$150,000

Subrecipient or Contractor Name: Community Action Agency of Napa Valley
City: Napa
State: CA
Zip Code: 94559,
DUNS Number: 119843340
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$668,208

Subrecipient or Contractor Name: Community Resource Center
City: Encinitas
State: CA
Zip Code: 92024,
DUNS Number: 19789690
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$744,867

Subrecipient or Contractor Name: Glenn County Human Resource Agency
City: Willows
State: CA
Zip Code: 95988,
DUNS Number: 797375367
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$423,786

Subrecipient or Contractor Name: Redwood Community Action Agency
City: Eureka
State: CA
Zip Code: 95501,
DUNS Number: 120803853
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$111,782

Subrecipient or Contractor Name: Homeless Services Center
City: Santa Cruz
State: CA
Zip Code: 95060,
DUNS Number: 879989929
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$464,000

Subrecipient or Contractor Name: North County Solutions for Change, Inc.
City: Vista
State: CA
Zip Code: 92057,
DUNS Number: 831222136
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$329,335

Subrecipient or Contractor Name: The Center for Violence-Free Relationships
City: Placerville
State: CA
Zip Code: 95667,
DUNS Number: 808730469
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$35,000

Subrecipient or Contractor Name: Community Human Services
City: Monterey
State: CA
Zip Code: 93942,
DUNS Number: 102098357
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$83,456

Subrecipient or Contractor Name: Catholic Charities of the Diocese of Santa Rosa
City: Santa Rosa
State: CA
Zip Code: 95402,
DUNS Number: 847356318
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$464,000

Subrecipient or Contractor Name: Placer Women's Center
City: Auburn
State: CA
Zip Code: 95604,
DUNS Number: 165959859
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$129,618

Subrecipient or Contractor Name: Ocean Park Community Center
City: Santa Monica
State: CA
Zip Code: 90404,
DUNS Number: 084337922
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$93,850

Subrecipient or Contractor Name: Cornerstone Community Development Corporation
City: San Leandro
State: CA
Zip Code: 94577,
DUNS Number: 788170355
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$462,528

Subrecipient or Contractor Name: Many Mansions
City: Thousand Oaks
State: CA
Zip Code: 91362,
DUNS Number: 168672236
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$121,102

Subrecipient or Contractor Name: Committee on the Shelterless
City: Petaluma
State: CA
Zip Code: 94952,
DUNS Number: 960253235
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$400,000

Subrecipient or Contractor Name: Transition House
City: Santa Barbara
State: CA
Zip Code: 93101,
DUNS Number: 930390448
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$234,273

Subrecipient or Contractor Name: Amador-Tuolumne Community Action Agency
City: Jackson
State: CA
Zip Code: 95642,
DUNS Number: 105920748
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$689,394

Subrecipient or Contractor Name: Sierra County Child Abuse Council
City: Loyalton
State: CA
Zip Code: 96118,
DUNS Number: 827619073
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$128,000

Subrecipient or Contractor Name: Fullerton Interfaith Emergency Service
City: Fullerton
State: CA
Zip Code: 92832,
DUNS Number: 555890946
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$150,000

Subrecipient or Contractor Name: United Christian Centers of the Greater Sacramento Area, Inc.
City: West Sacramento
State: CA
Zip Code: 95605,
DUNS Number: 098869621
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$282,515

Subrecipient or Contractor Name: Foothill House of Hospitality
City: Grass Valley
State: CA
Zip Code: 95945,
DUNS Number: 00641814
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$480,000

Subrecipient or Contractor Name: InterFaith Shelter Network

City: Santa Rosa

State: CA

Zip Code: 95405,

DUNS Number: 040172400

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$1,128,830

Subrecipient or Contractor Name: Carrillo Counseling Services, Inc.

City: Santa Barbara

State: CA

Zip Code: 93101,

DUNS Number: 071310010

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$113,894

Subrecipient or Contractor Name: Samaritan House

City: San Mateo

State: CA

Zip Code: 94403,

DUNS Number: 884486341

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$240,647

Subrecipient or Contractor Name: SHELTER, Inc. of Contra Costa County

City: Martinez

State: CA

Zip Code: 94553,

DUNS Number: 625691985

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$238,530

Subrecipient or Contractor Name: Antelope Valley Domestic Violence Council

City: Lancaster

State: CA

Zip Code: 93539,

DUNS Number: 849371117

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$122,327

Subrecipient or Contractor Name: Yolo Wayfarer Center (Christian Mission)
City: Woodland
State: CA
Zip Code: 95695,
DUNS Number: 184667079
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$399,926

Subrecipient or Contractor Name: Plumas Crisis Intervention and Resource Center
City: Quincy
State: CA
Zip Code: 95971,
DUNS Number: 003377715
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$527,575

Subrecipient or Contractor Name: Family Emergency Shelter Coalition
City: Hayward
State: CA
Zip Code: 94541, 8007
DUNS Number:
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$60,000

Subrecipient or Contractor Name: North County Interfaith Council, Inc.
City: Escondido
State: CA
Zip Code: 92025,
DUNS Number: 625463468
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$197,639

Subrecipient or Contractor Name: Catholic Charities, Diocese of San Diego
City: San Diego
State: CA
Zip Code: 92101,
DUNS Number: 056747561
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$264,000

Subrecipient or Contractor Name: Live Violence Free
City: South Lake Tahoe
State: CA
Zip Code: 96150,
DUNS Number: 123543811
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$257,874

Subrecipient or Contractor Name: Ford Street Project
City: Ukiah
State: CA
Zip Code: 95482,
DUNS Number: 186275194
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$105,000

Subrecipient or Contractor Name: InnVision Shelter Network
City: Menlo Park
State: CA
Zip Code: 94025,
DUNS Number: 792738726
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$409,322

Subrecipient or Contractor Name: Community Action Partnership of Sonoma County
City: Santa Rosa
State: CA
Zip Code: 95401,
DUNS Number: 078769312
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: Roseville Home Start
City: Roseville
State: CA
Zip Code: 95678,
DUNS Number: 801955261
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,000

Subrecipient or Contractor Name: Tri-Valley Haven for Women
City: Livermore
State: CA
Zip Code: 94550,
DUNS Number: 834704538
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,000

Subrecipient or Contractor Name: Ritter Center
City: San Rafael
State: CA
Zip Code: 94901,
DUNS Number: 052949815
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$150,000

Subrecipient or Contractor Name: Homeward Bound of Marin
City: Novato
State: CA
Zip Code: 94949,
DUNS Number: 949337059
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$120,000

Subrecipient or Contractor Name: Chico Community Shelter Partnership
City: Chico
State: CA
Zip Code: 95928,
DUNS Number: 104645563
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: Arcata House, Inc.
City: Arcata
State: CA
Zip Code: 95521,
DUNS Number: 011854150
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$100,000

Subrecipient or Contractor Name: 1736 Family Crisis Center
City: Los Angeles
State: CA
Zip Code: 90018,
DUNS Number: 618216519
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: Davis Community Meals
City: Davis
State: CA
Zip Code: 95616,
DUNS Number: 942574690
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,530

Subrecipient or Contractor Name: Domestic Violence Solutions of Santa Barbara County
City: Santa Barbara
State: CA
Zip Code: 93101,
DUNS Number: 131252488
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$136,535

Subrecipient or Contractor Name: Interval House
City: Huntington Beach
State: CA
Zip Code: 92647,
DUNS Number: 13510176
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: The Salvation Army
City: Marysville
State: CA
Zip Code: 95901,
DUNS Number: 074629460
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$264,000

Subrecipient or Contractor Name: The Salvation Army
City: Santa Barbara
State: CA
Zip Code: 93102,
DUNS Number: 074629460
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,000

Subrecipient or Contractor Name: Central California Family Crisis Center, Inc.
City: Porterville
State: CA
Zip Code: 93257,
DUNS Number: 173267618
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,000

Subrecipient or Contractor Name: The Homeless Coalition of San Benito County
City: Hollister
State: CA
Zip Code: 95023,
DUNS Number: 933317591
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$86,000

Subrecipient or Contractor Name: Community Action Board of Santa Cruz County
City: Santa Cruz
State: CA
Zip Code: 95076,
DUNS Number: 039080585
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$150,000

Subrecipient or Contractor Name: WomanHaven, Inc.
City: El Centro
State: CA
Zip Code: 92243
DUNS Number: 363774282
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,000

Subrecipient or Contractor Name: Community Action Agency of Butte County, Inc.
City: Chico
State: CA
Zip Code: 95973
DUNS Number: 147541270
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$348,333

Subrecipient or Contractor Name: CORA (Community Overcoming Relationship Abuse)
City: San Mateo
State: CA
Zip Code: 94403
DUNS Number: 015862386
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: Foothill Family Shelter, Inc.
City: Upland
State: CA
Zip Code: 91786
DUNS Number: 838582179
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,000

Subrecipient or Contractor Name: Good Samaritan Shelter
City: Santa Maria
State: CA
Zip Code: 93458
DUNS Number: 023282457
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,000

Subrecipient or Contractor Name: High Desert Homeless Services, Inc.
City: Victorville
State: CA
Zip Code: 92392
DUNS Number: 938252913
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: Kings Community Action Organization
City: Hanford
State: CA
Zip Code: 93230
DUNS Number: 095635413
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: Martha's Village and Kitchen, Inc.
City: Indio
State: CA
Zip Code: 92201
DUNS Number: 330777892
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: Mountain Crisis Services, Inc.
City: Merced
State: CA
Zip Code: 95338
DUNS Number: 942067794
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$145,367

Subrecipient or Contractor Name: Spare-A-Dime, Inc.
City: Susanville
State: CA
Zip Code: 96130
DUNS Number: 001107403
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: The Gathering Inn
City: Roseville
State: CA
Zip Code: 95678
DUNS Number: 189981918
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$120,450

Subrecipient or Contractor Name: Turning Point Foundation
City: Ventura
State: CA
Zip Code: 93001
DUNS Number: 608847216
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$40,000

Subrecipient or Contractor Name: The Lazarus Project, Inc.
City: Roseville
State: CA
Zip Code: 95678
DUNS Number: 066805925
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$97,653

Subrecipient or Contractor Name: Upward Bound House
City: Santat Monica
State: CA
Zip Code: 90404
DUNS Number: 969224260
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,000

Subrecipient or Contractor Name: Whittier Area First Day Coalition
City: Whittier
State: CA
Zip Code: 90602
DUNS Number: 033691531
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: Women's Shelter of Southern Humboldt
City: Garberville
State: CA
Zip Code: 95560
DUNS Number: 932533441
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: The Salvation Army
City: Grass Valley
State: CA
Zip Code: 95945
DUNS Number: 074629460
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$325,329

Subrecipient or Contractor Name: The Salvation Army
City: Ventura
State: CA
Zip Code: 93001
DUNS Number: 074629406
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$132,000

Subrecipient or Contractor Name: The Salvation Army
City: Whittier
State: CA
Zip Code: 90602
DUNS Number: 074629460
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$200,000

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

| Number of Persons in Households | Total |
|---------------------------------|--------------|
| Adults | 1,032 |
| Children | 1,102 |
| Don't Know/Refused | 0 |
| Missing Information | 3 |
| Total | 2,137 |

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

| Number of Persons in Households | Total |
|---------------------------------|--------------|
| Adults | 1,030 |
| Children | 302 |
| Don't Know/Refused | 0 |
| Missing Information | 0 |
| Total | 1,332 |

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Emergency Shelter Activities

| Number of Persons in Households | Total |
|--|---------------|
| Adults | 27,124 |
| Children | 5,377 |
| Don't Know/Refused | 15 |
| Missing Information | 2 |
| Total | 32,518 |

Table 3 – Shelter Information

4d. Complete for Street Outreach Activities

| Number of Persons in Households | Total |
|--|--------------|
| Adults | 1,447 |
| Children | 22 |
| Don't Know/Refused | 490 |
| Missing Information | 0 |
| Total | 1,959 |

4e. Totals for all Persons Served with ESG

| Number of Persons in Households | Total |
|--|---------------|
| Adults | 30,633 |
| Children | 6,803 |
| Don't Know/Refused | 505 |
| Missing Information | 5 |
| Total | 37,946 |

Table 4 – Household Information for Persons Served with ESG.

5. Gender—Complete for All Activities

| | Total |
|---------------|---------------|
| Male | 20,598 |
| Female | 16,933 |
| Transgendered | 45 |
| Unknown | 99 |
| Total | 37,675 |

Table 5 – Gender Information

6. Age—Complete for All Activities

| | Total |
|---------------------|---------------|
| Under 18 | 6,605 |
| 18-24 | 3,054 |
| Over 24 | 25,484 |
| Don't Know/Refused | 516 |
| Missing Information | 17 |
| Total | 35,676 |

Table 6 – Age Information

7. Special Populations Served—Complete for All Activities
Number of Persons in Households

| Subpopulation | Total Persons Served – Prevention | Total Persons Served – RRH | Total Persons Served in Emergency Shelters | Total |
|-----------------------------------|--|-----------------------------------|---|--------------|
| Veterans | 50 | 46 | 1,102 | 1,198 |
| Victims of Domestic Violence | 162 | 155 | 3,649 | 5,730 |
| Elderly | 89 | 110 | 971 | 1,192 |
| HIV/AIDS | 3 | 2 | 113 | 118 |
| Chronically Homeless | 0 | 1,012 | 3,298 | 4,693 |
| Persons with Disabilities: | | | | |
| Severely Mentally Ill | 116 | 559 | 2,801 | 3,521 |
| Chronic Substance Abuse | 60 | 294 | 5,009 | 5,363 |
| Other Disability | 447 | 291 | 5,601 | 6,339 |
| Total (Unduplicated if possible) | 451 | 1,146 | 13,020 | 15,007 |

7. Special Populations Served Cont.

| Subpopulation | Total Persons Served – Street Outreach¹⁷ |
|-----------------------------------|--|
| Veterans | 0 |
| Victims of Domestic Violence | 1,764 |
| Elderly | 22 |
| HIV/AIDS | 0 |
| Chronically Homeless | 276 |
| Persons with Disabilities: | |
| Severely Mentally Ill | 45 |
| Chronic Substance Abuse | 0 |
| Other Disabilities | 0 |
| Total (unduplicated if possible) | 390 |

Racial and Ethnic Status of all Families Assisted

| Race | Individuals | Households |
|---|--------------------|-------------------|
| White | 22,730 | 6,026 |
| Black (African American) | 4,811 | 1,107 |
| Asian | 514 | 263 |
| American Indian (Native America) | 2,917 | 356 |
| Native Hawaiian or other Pacific Islander | 450 | 208 |

¹⁷ Street Outreach data is included in totals above.

| Race | Individuals | Households |
|------------------------|--------------------|-------------------|
| Other Ethnicity | 0 | 0 |
| Hispanic or Latino | 10,078 | 4,134 |
| Not Hispanic or Latino | 26,027 | 5,287 |

Programs Outcome Data

Outcomes for Homelessness Prevention Activities

| | # of At-Risk Individuals | # of At-Risk Households |
|--|-------------------------------------|------------------------------------|
| At-Risk who obtained/retain employment | 420 | 329 |
| At-Risk who obtain/retain other income (e.g. SSI, TANF, or General Assistance) | 636 | 491 |

Outcome for Rapid Re-housing Activities

| | #of Homeless Individuals | # of Homeless Households |
|---|-------------------------------------|-------------------------------------|
| Homeless who will exit and obtain Permanent Housing | 497 | 258 |
| Homeless who will exit and obtain/retain employment | 256 | 192 |
| Homeless who will exit and obtain/retain other income (e.g. SSI, TANF, or General Assistance) | 146 | 91 |

Outcomes for Emergency Shelter Activities (NOT Including Transitional Housing and Day Centers)

| | |
|--|-------|
| Homeless Individual who have exited this Emergency Shelter and moved into Permanent Housing | 2,662 |
| Homeless Individual who have exited this Emergency Shelter and moved into Transitional Housing | 1,095 |
| Homeless Adults who exited and obtained/retained employment | 1,381 |
| Homeless Adults who exited and obtained/retained other income (e.g. SSI, TANF, or General Assistance) | 2,571 |
| Homeless Adult who exited and stabilized a mental illness or chemical addiction for a minimum of 30 days | 1,369 |

Outcomes for Transitional Housing Activities

| | |
|--|-------|
| Homeless Individuals who have exited this Transitional Housing and moved into Permanent Housing: | 1,090 |
| Homeless Individuals who have exited this Transitional Housing and moved into Emergency Shelter: | 176 |
| Homeless Adults who exited and obtained/retained employment: | 362 |
| Homeless Adults who exited and obtained/retained other income (e.g., SSI, TANF, or General Assistance): | 579 |
| Homeless Adults who exited and stabilized a mental illness or chemical addiction for a minimum of 90 days: | 540 |

Outcomes for Day Center Activities

| | |
|--|-----|
| Homeless Individuals who have exited this Day Center and moved into Permanent Housing: | 141 |
|--|-----|

| | |
|---|-------|
| Homeless Individuals who have exited this Day Center and moved into Emergency Shelter: | 2,003 |
| Homeless Individuals who have exited this Day Center and moved into Transitional Housing: | 74 |
| Homeless Adults who exited and obtained/retained employment: | 476 |
| Homeless Adults who exited and obtained/retained other income (e.g. SSI, TANF, or General Assistance): | 844 |
| Homeless Adults who exited and stabilized a mental illness or chemical addition for a minimum of 30 days: | 458 |

Outcomes for Street Outreach Activities

| | |
|--|-----|
| Homeless Individuals who will move into Permanent Housing | 4 |
| Homeless Individuals who will move into Emergency Housing | 122 |
| Homeless Individuals who will move into Transitional Housing | 4 |
| Homeless Adults who will obtain/retain employment | 18 |
| Homeless Adults who will obtain/retain other income (e.g. SSI, TANF, or General Assistance): | 14 |
| Homeless Adults who have stabilized a mental illness or chemical additions for a minimum of 30 days: | 0 |

CR-70 – Assistance Provided

8. Shelter Utilization

| | |
|--------------------------------------|-----------|
| Number of New Units - Rehabbed | 0 |
| Number of New Units - Conversion | 0 |
| Total Number of bed-nights available | 1,296,486 |
| Total Number of bed-nights provided | 886,710 |
| Capacity Utilization | 68% |

Table 7 – Shelter Capacity

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

| | Dollar Amount of Expenditures in Program Year | | |
|---|---|------------------|------------------|
| | FY 2010 | FY 2011 | FY 2012 |
| Expenditures for Rental Assistance | \$0 | \$0 | \$238,360 |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | \$0 | \$0 | \$72,543 |
| Expenditures for Housing Relocation & Stabilization Services - Services | \$0 | \$0 | \$197,953 |
| Expenditures for Homeless Prevention under Emergency Shelter Grants Program (FESG) | \$66,119 | \$221,333 | \$474,788 |
| Subtotal Homelessness Prevention | \$66,119 | \$221,333 | \$983,644 |

Table 8 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

| | Dollar Amount of Expenditures in Program Year | | |
|---|--|--------------------|--------------------|
| | FY 2010 | FY 2011 | FY 2012 |
| Expenditures for Rental Assistance | \$0 | \$0 | \$175,566 |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | \$0 | \$0 | \$183,293 |
| Expenditures for Housing Relocation & Stabilization Services - Services | \$0 | \$0 | \$280,824 |
| Expenditures for Homeless Assistance under Emergency Shelter Grants Program (FESG) | \$2,057,671 | \$4,383,372 | \$4,603,022 |
| Subtotal Rapid Re-Housing | \$2,057,671 | \$4,383,372 | \$5,242,705 |

Table 9 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

| | Dollar Amount of Expenditures in Program Year | | |
|--------------------|---|------------|------------------|
| | FY 2010 | FY 2011 | FY 2012 |
| Essential Services | \$0 | \$0 | \$160,598 |
| Operations | \$0 | \$0 | \$63,346 |
| Renovation | \$0 | \$0 | \$0 |
| Major Rehab | \$0 | \$0 | \$0 |
| Conversion | \$0 | \$0 | \$0 |
| Subtotal | \$0 | \$0 | \$223,944 |

Table 10 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

| | Dollar Amount of Expenditures in Program Year | | |
|-----------------|---|---------|----------|
| | FY 2010 | FY 2011 | FY 2012 |
| HMIS | \$0 | \$0 | \$93,466 |
| Administration | \$0 | \$0 | \$40,538 |
| Street Outreach | \$0 | \$0 | \$71,250 |

Table 11 - Other Grant Expenditures

11e. Total ESG Grant Funds

| Total ESG Funds Expended | FY 2010 | FY 2011 | FY 2012 |
|--------------------------|-------------|-------------|-------------|
| \$13,384,042 | \$2,123,790 | \$4,604,705 | \$6,655,547 |

Table 12 - Total ESG Funds Expended

11f. Match Source

| | FY 2010 | FY 2011 | FY 2012 |
|---------------------------|--------------------|---------------------|---------------------|
| Other Non-ESG HUD Funds | \$808,608 | \$2,027,118 | \$2,939,009 |
| Other Federal Funds | \$811,934 | \$1,470,972 | \$2,557,879 |
| State Government | \$1,056,861 | \$2,006,963 | \$2,508,079 |
| Local Government | \$2,033,439 | \$3,697,434 | \$3,929,986 |
| Private Funds | \$2,458,570 | \$7,340,323 | \$7,762,275 |
| Other | \$684,114 | \$1,277,653 | \$2,089,445 |
| Fees | \$317,320 | \$457,369 | \$399,838 |
| Program Income | \$372,486 | \$1,550,029 | \$888,853 |
| Total Match Amount | \$8,543,332 | \$19,827,861 | \$23,075,364 |

Table 13 - Other Funds Expended on Eligible ESG Activities

11g. Total

| Total Amount of Funds Expended on ESG Activities | FY 2010 | FY 2011 | FY 2012 |
|--|--------------|--------------|--------------|
| \$64,830,599 | \$10,667,122 | \$24,432,566 | \$29,730,911 |

Table 14 - Total Amount of Funds Expended on ESG Activities

Housing Opportunities For Persons with AIDS

**H
O
P
W
A**

J. HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)

This section conforms to HOPWA CAPER form 40110-d (expiration date 10/31/2014), in order to fulfill HOPWA statutory and regulatory program reporting requirements. A table of grantee, sponsor and sub-recipients, with all necessary elements to comply with the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282) is also provided.

Narrative information follows these tables, in the Grantee and Community Overview section.

Part 1: Grantee Executive Summary

1. Grantee Information

Table 16 **California Department of Public Health Office of AIDS (OA)**

| | | | | |
|--|--|--|--------------|-------------------|
| HUD Grant Number CA-H12-F999 | | Operating Year for this report 7/01/2012 To 6/30/2013 | | |
| Grantee Name State of California | | | | |
| Business Address | 1616 Capitol Avenue, Suite 616, MS 7700 | | | |
| City, State, Zip, County | Sacramento | CA | 95814 | Sacramento |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 74-320-4993 | | | |
| DUN & Bradstreet Number (DUNs): | 799-150-615 | Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| Congressional District of Grantee's Business Address | 5th | | | |
| Congressional District of Primary Service Area(s) | N/A | | | |
| City(ies) and County(ies) of Primary Service Area(s) | N/A | | | |
| Organization's Website Address www.cdph.ca.gov/programs/AIDS | Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered. | | | |

2. Project Sponsor Information

Table 17 HOPWA Project Sponsors

1.

| | | | |
|---|---|--|--|
| Project Sponsor Agency Name AIDS Housing Santa Barbara | | Parent Company Name, if applicable NA | |
| Name and Title of Contact at Project Sponsor Agency | Debbie McQuade, Executive Director | | |
| Email Address | office@sarahhousesb.org | | |
| Business Address | P. O. Box 20031 | | |
| City, County, State, Zip | Santa Barbara, Santa Barbara, CA 93120 | | |
| Phone Number (with area code) | 805-882-1192 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 77-0224415 | Fax Number (with area code) 805-965-2252 | |
| DUN & Bradstreet Number (DUNs): | 059519855 | | |
| Congressional District of Project Sponsor's Business Address | 23 rd | | |
| Congressional District(s) of Primary Service Area(s) | 22, 23, 24 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All Cities within Santa Barbara County | | |
| Total HOPWA contract amount for this Organization for the operating year | \$72,671 | Counties: Santa Barbara | |
| Organization's Website Address www.sarahhousesb.org | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | | |

2.

| | | | |
|--|--|--|--|
| Project Sponsor Agency Name Pacific Pride Foundation | | Parent Company Name, if applicable NA | |
| Name and Title of Contact at Project Sponsor Agency | David Selberg, Executive Director | | |
| Email Address | david@pacificpridefoundation.org | | |
| Business Address | 126 E. Haley St. A11 | | |
| City, County, State, Zip, | Santa Barbara, Santa Barbara, CA 93101 | | |
| Phone Number (with area code) | 805-963-3636 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 95-3133613 | Fax Number (with area code) 805-963-9086 | |
| DUN & Bradstreet Number (DUNs): | 18-923-9940 | | |
| Congressional District of Project Sponsor's Business Address | 23 | | |
| Congressional District(s) of Primary Service Area(s) | 22, 23, 24 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in Santa Barbara County | | |

| | | |
|--|---|--------------------------------|
| Total HOPWA contract amount for this Organization for the operating year | \$97,675 | Counties: Santa Barbara |
| Organization's Website Address | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | |

3.

| | | | |
|--|---|--|--|
| Project Sponsor Agency Name | | Parent Company Name, if applicable | |
| Caring Choices | | NA | |
| Name and Title of Contact at Project Sponsor Agency | Barbara Hanna, President | | |
| Email Address | bhanna@caring-choices.org | | |
| Business Address | 1398 Ridgewood Drive | | |
| City, County, State, Zip, | Chico, Butte, CA 95973 | | |
| Phone Number (with area code) | 530-899-3873 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 68-0337307 | Fax Number (with area code) 530-899-3749 | |
| DUN & Bradstreet Number (DUNs): | 140536462 | | |
| Congressional District of Project Sponsor's Business Address | 2 | | |
| Congressional District(s) of Primary Service Area(s) | 2 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All Cities within the counties of Butte, Colusa, Glenn, Shasta, Trinity, Tehama, Yuba and Sutter | | |
| Total HOPWA contract amount for this Organization for the operating year | \$155,246 | Counties: Butte, Colusa, Glenn, Shasta, Trinity, Tehama, Yuba, Sutter | |
| Organization's Website Address | Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | | |

4.

| | | | |
|--|--|---|--|
| Project Sponsor Agency Name | | Parent Company Name, if applicable | |
| Central Coast HIV/AIDS Services | | | |
| Name and Title of Contact at Project Sponsor Agency | Katherine Thoeni, Executive Director | | |
| Email Address | Katherine@cchas.org | | |
| Business Address | P. O. Box 1931 | | |
| City, County, State, Zip | Monterey, Monterey, CA 93942 | | |
| Phone Number (with area code) | 831-442-3959 | | |
| Employer Identification Number | 77-0192226 | Fax Number (with area code) | |

| | | |
|--|---|--------------------|
| (EIN) or Tax Identification Number (TIN) | | 831-442-3985 |
| DUN & Bradstreet Number (DUNs): | 962479239 | |
| Congressional District of Project Sponsor's Business Address | 17 | |
| Congressional District(s) of Primary Service Area(s) | 17 | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in County of Monterey | Counties: Monterey |
| Total HOPWA contract amount for this Organization for the operating year | \$211,641 | |
| Organization's Website Address | www.cchas.org | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | |
| Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | | |

5.

| | | | |
|--|--|---|--|
| Project Sponsor Agency Name Community Care Management Corporation | | Parent Company Name, if applicable NA | |
| Name and Title of Contact at Project Sponsor Agency | Rachel Robison | | |
| Email Address | rrobison@ccmc1.org | | |
| Business Address | 8050 A Lake Street | | |
| City, County, State, Zip, | Lower Lake, CA 95457 | | |
| Phone Number (with area code) | 707-995-1606 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 68-0046074 | Fax Number (with area code) 707-995-0309 | |
| DUN & Bradstreet Number (DUNs): | 18-764-5668 | | |
| Congressional District of Project Sponsor's Business Address | 1 | | |
| Congressional District(s) of Primary Service Area(s) | 1 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All Cities in Lake County | | |
| Total HOPWA contract amount for this Organization for the operating year | \$32,590 | Counties: Lake | |
| Organization's Website Address communitycare707.com | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | | |

6.

| | | | |
|--|--|--|--|
| Project Sponsor Agency Name Del Norte County | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Melody Canon, Public Health Branch Program Manager | | |
| Email Address | mcannon@co.del-norte.ca.us | | |
| Business Address | 880 Northcrest Drive | | |
| City, County, State, Zip, | Crescent City, Del Norte, CA 95531 | | |
| Phone Number (with area code) | 707-464-3191 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-2254126 | Fax Number (with area code) 707-465-0855 | |
| DUN & Bradstreet Number (DUNs): | 858937188 | | |
| Congressional District of Project Sponsor's Business Address | 1 | | |
| Congressional District(s) of Primary Service Area(s) | 1 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All Cities within Del Norte County | | |
| Total HOPWA contract amount for this Organization for the operating year | \$11,053 | Counties: Del Norte | |
| Organization's Website Address | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | | |

7.

| | | | |
|--|---|---|--|
| Project Sponsor Agency Name Face to Face/Sonoma AIDS Support Network | | Parent Company Name, if applicable NA | |
| Name and Title of Contact at Project Sponsor Agency | Rick Dean, Executive Director | | |
| Email Address | rdean@f2f.org | | |
| Business Address | 873 Second Street | | |
| City, County, State, Zip, | Santa Rosa, Sonoma, CA 95404 | | |
| Phone Number (with area code) | (707) 544-1581 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 68-005-2664 | Fax Number (with area code) (707)544-1586 | |
| DUN & Bradstreet Number (DUNs): | 792876229 | | |
| Congressional District of Project Sponsor's Business Address | 6 | | |
| Congressional District(s) of Primary Service Area(s) | 1, 6 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities within the county of Sonoma | | |

| | | |
|--|--|-------------------------|
| Total HOPWA contract amount for this Organization for the operating year | \$423,752 | Counties: Sonoma |
| Organization's Website Address www.f2f.org | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | |

8.

| | | | |
|--|--|--|--|
| Project Sponsor Agency Name Family Services of Tulare County | | Parent Company Name, if applicable NA | |
| Name and Title of Contact at Project Sponsor Agency | Caity S. Meader, Executive Director | | |
| Email Address | caity.meader@fstc.net | | |
| Business Address | 815 W. Oak, Visalia, CA 93291 | | |
| City, County, State, Zip | Visalia, Tulare, CA 93291 | | |
| Phone Number (with area code) | (559) 741-7310 x 13 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-2897970 | Fax Number (with area code) (559) 732-6404 | |
| DUN & Bradstreet Number (DUNS): | 167638667 | | |
| Congressional District of Project Sponsor's Business Address | 21 | | |
| Congressional District(s) of Primary Service Area(s) | 21 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All Cities within the County of Tulare | Counties: Tulare | |
| Total HOPWA contract amount for this Organization for the operating year | \$70,860 | | |
| Organization's Website Address | www.fstc.net | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

9.

| | | | |
|--|--|---|--|
| Project Sponsor Agency Name Fresno County – Public Health, Community Health Division | | Parent Company Name, if applicable NA | |
| Name and Title of Contact at Project Sponsor Agency | Stephanie Garcia, Supervising Communicable Disease Specialist | | |
| Email Address | Stephanie.garcia@co.fresno.ca.us | | |
| Business Address | 1221 Fulton Mall | | |
| City, County, State, Zip, | Fresno, Fresno, CA 93721 | | |
| Phone Number (with area code) | 559-445-3434 | | |

| | | | |
|---|--|---|--------------|
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-6000512 | Fax Number (with area code) | 559-445-3459 |
| DUN & Bradstreet Number (DUNs): | 55-619-7655 | | |
| Congressional District of Project Sponsor's Business Address | 19 | | |
| Congressional District(s) of Primary Service Area(s) | 18, 19, 20, 21 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in County of Fresno | Counties: Fresno | |
| Total HOPWA contract amount for this Organization for the operating year | \$304,022 | | |
| Organization's Website Address | www.fedph.org | | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

10.

| | | | |
|--|--|---|--------------|
| Project Sponsor Agency Name Queen of the Valley Medical Center –Care Network | | Parent Company Name, if applicable NA | |
| Name and Title of Contact at Project Sponsor Agency | Dan Codron, Executive Director | | |
| Email Address | Dana.Codron@stjoe.org | | |
| Business Address | 3448 Villa Lane, Suite 102 | | |
| City, County, State, Zip, | Napa, Napa, CA 94558 | | |
| Phone Number (with area code) | 707-251-2000 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-1243669 | Fax Number (with area code) | 707-257-7898 |
| DUN & Bradstreet Number (DUNs): | 71-696-868 | | |
| Congressional District of Project Sponsor's Business Address | 1 | | |
| Congressional District(s) of Primary Service Area(s) | 1 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in Napa County | Counties: Napa | |
| Total HOPWA contract amount for this Organization for the operating year | \$64,383 | | |
| Organization's Website Address | www.thequeen.org/view/communityoutreach/care_network | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input checked="" type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

11.

| | | | |
|--|--|---|--|
| Project Sponsor Agency Name Humboldt County Public Health/North Coast AIDS Project | | Parent Company Name, if applicable NA | |
| Name and Title of Contact at Project Sponsor Agency | Mike Goldsby, Senior Program Manager | | |
| Email Address | mgoldsby@co.humboldt.ca.us | | |
| Business Address | 529 I Street | | |
| City, County, State, Zip, | Eureka, Humboldt, CA 95501 | | |
| Phone Number (with area code) | (707) 268-2167 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-6000514 | Fax Number (with area code) (707) 268-0415 | |
| DUN & Bradstreet Number (DUNs): | 08-156-2514 | | |
| Congressional District of Project Sponsor's Business Address | 1 | | |
| Congressional District(s) of Primary Service Area(s) | 1 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All Cities in Humboldt County | Counties: Humboldt | |
| Total HOPWA contract amount for this Organization for the operating year | \$53,988 | | |
| Organization's Website Address | www.co.humboldt.ca.us/health | | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

12.

| | | | |
|--|--|--|--|
| Project Sponsor Agency Name Imperial County (Public Health) | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Denise Andrade, Program Supervisor | | |
| Email Address | deniseandrade@co.imperial.ca.us | | |
| Business Address | 935 Broadway | | |
| City, County, State, Zip, | El Centro, Imperial, CA 92243 | | |
| Phone Number (with area code) | 760.482.4906 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 95-6000924 | Fax Number (with area code) 760.482.4757 | |
| DUN & Bradstreet Number (DUNs): | 7-335-4573 | | |
| Congressional District of Project Sponsor's Business Address | 51 | | |
| Congressional District(s) of Primary Service Area(s) | 51 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities within the county | Counties: Imperial | |

| | |
|--|--|
| Total HOPWA contract amount for this Organization for the operating year | \$63,821 |
| Organization's Website Address | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. |

13.

| | | | |
|--|--|------------------------------------|--|
| Project Sponsor Agency Name | Parent Company Name, if applicable | | |
| Kern County | | | |
| Name and Title of Contact at Project Sponsor Agency | Denise Smith, Director of Disease Control | | |
| Email Address | smithde@co.kern.ca.us | | |
| Business Address | 1800 Mt. Vernon Ave. | | |
| City, County, State, Zip, | Bakersfield, Kern, CA 93306 | | |
| Phone Number (with area code) | 661-868-0402 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 95-6000925 | Fax Number (with area code) | |
| DUN & Bradstreet Number (DUNs): | 63811350 | | |
| Congressional District of Project Sponsor's Business Address | 22 | | |
| Congressional District(s) of Primary Service Area(s) | 20, 22 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All Cities in Kern County | Counties: Kern | |
| Total HOPWA contract amount for this Organization for the operating year | \$415,209 | | |
| Organization's Website Address | www.co.kern.ca.us/health | | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | | |

14.

| | | | |
|--|--|--|--|
| Project Sponsor Agency Name | Parent Company Name, if applicable | | |
| Kings County Public Health | | | |
| Name and Title of Contact at Project Sponsor Agency | Nenita Sprouse, Supervising Public Health Nurse | | |
| Email Address | Nenita.sprouse@co.kings.ca.us | | |
| Business Address | 330 Campus Drive | | |
| City, County, State, Zip | Hanford, Kings, CA 93230 | | |
| Phone Number (with area code) | 559-852-4506 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-600814 | Fax Number (with area code) 559-589-0652 | |
| DUN & Bradstreet Number (DUNs): | 74675075 | | |

| | | | |
|---|--|---|--|
| Congressional District of Project Sponsor's Business Address | 20 | | |
| Congressional District(s) of Primary Service Area(s) | 20 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in Kings County | Counties: Kings | |
| Total HOPWA contract amount for this Organization for the operating year | \$64,759 | | |
| Organization's Website Address | www.countyofkings.com | | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

15.

| | | | |
|---|---|---|--|
| Project Sponsor Agency Name Madera County | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Diane Kanzaki-Reeves, Program Manager | | |
| Email Address | Diane.kanzaki-reeves@madera-county.com | | |
| Business Address | 14215 Road 28 | | |
| City, County, State, Zip | Madera, Madera, CA 93638 | | |
| Phone Number (with area code) | 559-675-7893 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-6000518 | Fax Number (with area code) 559-674-7262 | |
| DUN & Bradstreet Number (DUNs): | 004939377 | | |
| Congressional District of Project Sponsor's Business Address | 4, 19 | | |
| Congressional District(s) of Primary Service Area(s) | 4, 19 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in Madera and Mariposa Counties | Counties: Madera and Mariposa | |
| Total HOPWA contract amount for this Organization for the operating year | \$49,602 | | |
| Organization's Website Address | Not available | | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

16.

| | | | |
|---|--|---|--|
| Project Sponsor Agency Name Mendocino County AIDS/Viral Hepatitis Network | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Libby Guthrie, Executive Director | | |
| Email Address | libbyguthrie@yahoo.com | | |
| Business Address | 147 Clara Avenue, P.O. Box 1350, | | |
| City, County, State, Zip | Ukiah, Mendocino, CA 95482 | | |
| Phone Number (with area code) | 707-462-1932 | | |

| | | |
|---|--|---|
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 68-0159027 | Fax Number (with area code) 707-462-2070 |
| DUN & Bradstreet Number (DUNs): | 827661083 | |
| Congressional District of Project Sponsor's Business Address | 1 | |
| Congressional District(s) of Primary Service Area(s) | 1 | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: Ukiah, Ft. Bragg, Mendocino | Counties: Mendocino |
| Total HOPWA contract amount for this Organization for the operating year | \$39,420 | |
| Organization's Website Address | www.mcavn.org | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. |

17.

| | | | |
|---|--|---|--|
| Project Sponsor Agency Name Merced County Community Action Agency | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Su Briggs, Deputy Director of Programs | | |
| Email Address | sbriggs@mercedcaa.org | | |
| Business Address | P.O. Box 2085 | | |
| City, County, State, Zip | Merced, Merced, CA 95344 | | |
| Phone Number (with area code) | 209-723-4565 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-2183288 | Fax Number (with area code) 209-723-9525 | |
| DUN & Bradstreet Number (DUNs): | 132793340 | | |
| Congressional District of Project Sponsor's Business Address | 18 | | |
| Congressional District(s) of Primary Service Area(s) | 18 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: Merced | Counties: Merced | |
| Total HOPWA contract amount for this Organization for the operating year | \$45,940 | | |
| Organization's Website Address | www.mercedcaa.org | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

18.

| | | | |
|---|--|---|--|
| Project Sponsor Agency Name Nevada County | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Kim Honeywell, AIDS Coordinator | | |
| Email Address | Kim.honeywell@co.nevada.ca.us | | |
| Business Address | 500 Crown Point Circle, Ste. 110 | | |
| City, County, State, Zip | Grass Valley, Nevada, CA 95945 | | |
| Phone Number (with area code) | 530-265-1731 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-6000526 | Fax Number (with area code) 530-271-0876 | |
| DUN & Bradstreet Number (DUNs): | 010979029 | | |
| Congressional District of Project Sponsor's Business Address | 4 | | |
| Congressional District(s) of Primary Service Area(s) | 4 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in Nevada County | Counties: Nevada | |
| Total HOPWA contract amount for this Organization for the operating year | \$29,926 | | |
| Organization's Website Address | Mynevadacounty.com | | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

19.

| | | | |
|---|--|--|--|
| Project Sponsor Agency Name Planned Parenthood Shasta-Diablo | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Jewel Fink, Regional Manager | | |
| Email Address | jfink@pp-sp.org | | |
| Business Address | 990 Broadway | | |
| City, County, State, Zip | Vallejo, Solano, CA 94590 | | |
| Phone Number (with area code) | 707-561-7792 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-1575233 | Fax Number (with area code) 707-647-1727 | |
| DUN & Bradstreet Number (DUNs): | 051779304 | | |
| Congressional District of Project Sponsor's Business Address | 7 | | |
| Congressional District(s) of Primary Service Area(s) | 1,3,6,7 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in Solano County | Counties: Solano County | |
| Total HOPWA contract amount for this Organization for the operating year | \$404,980 | | |
| Organization's Website Address | www.ppshtadiablo.org | | |

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|--|--|
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. |
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20.

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|--|---|---|--|
| Project Sponsor Agency Name Plumas County | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Karla Burnworth, Project Director | | |
| Email Address | karlaburnworth@countyofplumas.com | | |
| Business Address | 270 County Hospital Rd., Suite 206 | | |
| City, County, State, Zip | Quincy, Plumas, CA 95971 | | |
| Phone Number (with area code) | 530-283-6357 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-6000528 | Fax Number (with area code) 530-283-6425 | |
| DUN & Bradstreet Number (DUNs): | 119530710 | | |
| Congressional District of Project Sponsor's Business Address | 4 | | |
| Congressional District(s) of Primary Service Area(s) | 2 & 4 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in Plumas, Lassen, Modoc, Sierra, and Siskiyou Counties | Counties: Plumas, Lassen, Modoc, Sierra and Siskiyou | |
| Total HOPWA contract amount for this Organization for the operating year | \$30,878 | | |
| Organization's Website Address | www.countyofplumas.com/publichealth | | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | | |

21.

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|--|--|--|--|
| Project Sponsor Agency Name San Joaquin County | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Geneva Haynes, AIDS/STD Program Coordinator | | |
| Email Address | ghaynes@sjcphs.org | | |
| Business Address | 1601 Hazelton Avenue | | |
| City, County, State, Zip | Stockton, San Joaquin, CA 95201 | | |
| Phone Number (with area code) | 209-468-3861 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-6000531 | Fax Number (with area code) 209-468-3485 | |
| DUN & Bradstreet Number (DUNs): | 087-226-056 | | |
| Congressional District of Project Sponsor's Business Address | 11 | | |

| | | |
|---|--|--|
| Congressional District(s) of Primary Service Area(s) | 11 | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in San Joaquin County | Counties: San Joaquin |
| Total HOPWA contract amount for this Organization for the operating year | \$318,897 | |
| Organization's Website Address | www.sicphs.org | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. |

22.

| | | | |
|---|---|---|--|
| Project Sponsor Agency Name | | Parent Company Name, if applicable | |
| San Luis Obispo County AIDS Support Network | | | |
| Name and Title of Contact at Project Sponsor Agency | Edie Kahn, Executive Director | | |
| Email Address | ekahn@asn.org | | |
| Business Address | P.O. Box 12158 | | |
| City, County, State, Zip | San Luis Obispo, San Luis Obispo, CA 93406 | | |
| Phone Number (with area code) | 805-781-3660 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 77-0205717 | Fax Number (with area code) 805-781-3664 | |
| DUN & Bradstreet Number (DUNs): | 828-159-475 | | |
| Congressional District of Project Sponsor's Business Address | 23 | | |
| Congressional District(s) of Primary Service Area(s) | 22, 23 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in San Luis Obispo County | Counties: San Luis Obispo | |
| Total HOPWA contract amount for this Organization for the operating year | \$152,044 | | |
| Organization's Website Address | www.asn.org | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | | Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

23.

| | | | |
|--|--|---|--|
| Project Sponsor Agency Name | | Parent Company Name, if applicable | |
| Santa Cruz Community Counseling Center | | | |
| Name and Title of Contact at Project Sponsor Agency | Carolyn Coleman, Executive Director | | |
| Email Address | carolyn.coleman@sccccc.org | | |
| Business Address | 195 Harvey West Blvd | | |

| | | | |
|---|--|---|--|
| City, County, State, Zip | Santa Cruz, Santa Cruz, CA 95060 | | |
| Phone Number (with area code) | 831-469-1700 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 23-7275290 | Fax Number (with area code) | |
| DUN & Bradstreet Number (DUNs): | 77179554 | | |
| Congressional District of Project Sponsor's Business Address | 20 | | |
| Congressional District(s) of Primary Service Area(s) | 20 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities within Santa Cruz County | Counties: Santa Cruz | |
| Total HOPWA contract amount for this Organization for the operating year | \$140,502 | | |
| Organization's Website Address | www.sccccc.org | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | | Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

24.

| | | | |
|--|--|---|--|
| Project Sponsor Agency Name Sierra HOPE | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Jerry Cadotte, Executive Director | | |
| Email Address | jerry@sierrahope.org | | |
| Business Address | P. O. Box 159 | | |
| City, County, State, Zip, | Angels Camp, Calaveras, CA 95222 | | |
| Phone Number (with area code) | 209-736-6792 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 77-0258235 | Fax Number (with area code) 209-736-6836 | |
| DUN & Bradstreet Number (DUNs): | 36093248 | | |
| Congressional District of Project Sponsor's Business Address | 3 | | |
| Congressional District(s) of Primary Service Area(s) | 3,19,25 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: | Counties: Alpine, Amador, Calaveras, Inyo, Mono, Tuolumne | |
| Total HOPWA contract amount for this Organization for the operating year | \$37,718 | | |
| Organization's Website Address | www.sierrahope.org | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

25.

| | | | |
|---|----------------------------------|---|--|
| Project Sponsor Agency Name Community Impact Central Valley (previously Stanislaus Community Assistance Project) | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Kathy Lee, Finance Director | | |
| Email Address | kathy@cicvca.org | | |
| Business Address | 900 H Street | | |
| City, County, State, Zip | Modesto, Stanislaus, CA 95354 | | |
| Phone Number (with area code) | (209)572-2437 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 77-0178507 | Fax Number (with area code) (209)572-1641 | |
| DUN & Bradstreet Number (DUNs): | 37876401 | | |
| Congressional District of Project Sponsor's Business Address | 18 | | |
| Congressional District(s) of Primary Service Area(s) | 18, 19 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: all cities in the county | Counties: Stanislaus | |
| Total HOPWA contract amount for this Organization for the operating year | \$186,300 | | |
| Organization's Website Address | Not available | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | |

26.

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|---|--|--|--|
| Project Sponsor Agency Name Ventura County | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Craig Webb, Program Administrator | | |
| Email Address | Craig.webb@ventura.org | | |
| Business Address | 3147 Loma Vista Road | | |
| City, County, State, Zip | Ventura, Ventura, CA 93003 | | |
| Phone Number (with area code) | 805-652-3310 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 95-6000944 | Fax Number (with area code) 805-652-6298 | |
| DUN & Bradstreet Number (DUNs): | 066691122 | | |
| Congressional District of Project Sponsor's Business Address | 23 | | |
| Congressional District(s) of Primary Service Area(s) | 22, 23 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities in Ventura County | Counties: Ventura County | |
| Total HOPWA contract amount for this Organization for the operating year | \$271,280 | | |

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|---|--|--|--|
| Organization's Website Address | www.vchca.org/ph/diseasecontrol/aids | | |
| Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/> | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | | |

3. Administrative Sub-recipient Information

There were no administrative sub-recipients in FY 2012-13.

4. Program Sub-recipient Information

Table 18 HOPWA Program Sub-recipients

1.

| | | | | |
|---|--|------|---|-------|
| Sub-recipient Name | Clinica Sierra Vista – Kern Lifeline | | Parent Company Name, if applicable | |
| Name and Title of Contact at Contractor/ Sub-contractor Agency | Juan Garcia, Program Director | | | |
| Email Address | juan.garcia@clincasierravista.org | | | |
| Business Address | 2000 Physicians Plaza Blvd. | | | |
| City, County, State, Zip | Bakersfield | Kern | CA | 93301 |
| Phone Number (included area code) | 661-324-3262 | | Fax Number (include area code) 661-637-2137 | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 95-2702101 | | | |
| DUN & Bradstreet Number (DUNs) | 075286914 | | | |
| North American Industry Classification System (NAICS) Code | | | | |
| Congressional District of the Sub-recipient's Business Address | 20 | | | |
| Congressional District(s) of Primary Service Area | 20, 22 | | | |
| City(ies) and County(ies) of Primary Service Area | Cities: all cities in Kern County | | Counties: Kern | |
| Total HOPWA Subcontract Amount of this Organization for the operating year | \$110,000 | | | |

2.

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| Sub-recipient Name | Housing Authority of County of Kern | Parent Company Name, if applicable |
| Name and Title of Contact at Contractor/ Sub-contractor | Patricia Norris, Deputy Director Housing Management | |

| | | | | |
|---|--|------|---|-------|
| Agency | | | | |
| Email Address | pnorris@kernha.org | | | |
| Business Address | 601 24 th Street | | | |
| City, County, State, Zip | Bakersfield | Kern | CA | 93301 |
| Phone Number (included area code) | 661-931-8500 ext 1305 | | Fax Number (include area code) 661-631-9500 | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 95-6001629 | | | |
| DUN & Bradstreet Number (DUNs) | 077979128 | | | |
| North American Industry Classification System (NAICS) Code | | | | |
| Congressional District of the Sub-recipient's Business Address | 20 | | | |
| Congressional District(s) of Primary Service Area | 20,22 | | | |
| City(ies) and County(ies) of Primary Service Area | Cities: all cities in Kern county | | Counties: Kern | |
| Total HOPWA Subcontract Amount of this Organization for the operating year | \$216,876 | | | |

3.

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|---|--|-------------|---|-------|
| Sub-recipient Name | Stockton Shelter for the Homeless | | Parent Company Name, if applicable | |
| Name and Title of Contact at Contractor/ Sub-contractor Agency | John Reynolds, Executive Director | | | |
| Email Address | shelterdirector@aol.com | | | |
| Business Address | 411 S. Harrison Street | | | |
| City, County, State, Zip | Stockton | San Joaquin | CA | 95203 |
| Phone Number (included area code) | 209-465-3612 | | Fax Number (include area code) 209-939-9733 | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 68-0095693 | | | |
| DUN & Bradstreet Number (DUNs) | 188171904 | | | |
| North American Industry Classification System (NAICS) Code | | | | |
| Congressional District of the Sub-recipient's Business Address | 11 | | | |
| Congressional District(s) of Primary Service Area | 11 | | | |
| City(ies) and County(ies) of Primary Service Area | Cities: all cities in San Joaquin | | Counties: San Joaquin County | |
| Total HOPWA Subcontract Amount of this Organization for the operating year | \$172,397 | | | |

4.

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|---|--|--------|---|-------|
| Sub-recipient Name | Westcare, Inc. | | Parent Company Name, if applicable | |
| Name and Title of Contact at Contractor/ Sub-contractor Agency | Maurice Lee, Regional Vice President | | | |
| Email Address | Mauricelee@westcare.com | | | |
| Business Address | 611 E. Belmont | | | |
| City, County, State, Zip | Fresno | Fresno | CA | 93727 |
| Phone Number (included area code) | 559-237-3420 | | Fax Number (include area code) 559-485-7244 | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 23-7368450 | | | |
| DUN & Bradstreet Number (DUNs) | 054-612-767 | | | |
| North American Industry Classification System (NAICS) Code | | | | |
| Congressional District of the Sub-recipient's Business Address | 19 | | | |
| Congressional District(s) of Primary Service Area | 19 | | | |
| City(ies) and County(ies) of Primary Service Area | Cities: Fresno | | Counties: Fresno | |
| Total HOPWA Subcontract Amount of this Organization for the operating year | \$75,000 | | | |

5.

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|---|--|--|--|--|
| Project Sponsor Agency Name Casa Esperanza Homeless Center | Parent Company Name, if applicable | | | |
| Name and Title of Contact at Project Sponsor Agency | Imelda Loza, Associate Executive Director | | | |
| Email Address | imeldaloza@casa-esperanza.org | | | |
| Business Address | P.O. Box 4248 | | | |
| City, County, State, Zip, | Santa Barbara, Santa Barbara, CA 93140 | | | |
| Phone Number (with area code) | 805.895.3669 | | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 77-0502754 | | Fax Number (with area code) 805.965.3871 | |
| DUN & Bradstreet Number (DUNs): | 182 084462 | | | |
| Congressional District of Project Sponsor's Business Address | 23 | | | |
| Congressional District(s) of Primary Service Area(s) | 23 | | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: Santa Barbara | | | |
| Total HOPWA contract amount for this Organization for the operating year | \$14,000 | | Counties: Santa Barbara | |

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|---|---|
| Organization's Website Address www.casa-esperanza.org | Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered. |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | |

6.

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|---|---|--|--|
| Project Sponsor Agency Name Community Action Board of Santa Cruz | | Parent Company Name, if applicable | |
| Name and Title of Contact at Project Sponsor Agency | Tom Helman, Assistant Director | | |
| Email Address | Tom@cabinc.org | | |
| Business Address | 406 Main St., Suite 207 | | |
| City, County, State, Zip | Watsonville, Santa Cruz, CA 95076 | | |
| Phone Number (with area code) | 831-763-2147 | | |
| Employer Identification Number (EIN) or Tax Identification Number (TIN) | 94-2523780 | Fax Number (with area code) 831-427-4999 | |
| DUN & Bradstreet Number (DUNs): | 039080585 | | |
| Congressional District of Project Sponsor's Business Address | 17 | | |
| Congressional District(s) of Primary Service Area(s) | 14,17 | | |
| City(ies) and County(ies) of Primary Service Area(s) | Cities: All cities within Santa Cruz County | Counties: Santa Cruz | |
| Total HOPWA contract amount for this Organization for the operating year | \$15,000 | | |
| Organization's Website Address | www.cabinc.org | | |
| Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/> | Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. | | |

5. Grantee Narrative and Performance Assessment

A. Grantee and Community Overview

The State of California has received federal funds for Housing Opportunities for Persons With AIDS (HOPWA) since inception of the program in 1992. The Governor designated the California Department of Public Health (CDPH), Office of AIDS (OA), to be the grantee for the State.

OA distributes funds annually on a non-competitive formula basis to 40 counties outside HUD-designated HOPWA Eligible Metropolitan Statistical Areas (EMSAs). As defined by HUD, EMSA is a metropolitan statistical area with a population of more than 500,000 and more than 1,500 cumulative AIDS cases.

In addition to serving non-EMSAs, OA assumed grantee responsibilities of the EMSAs of Kern and Fresno counties. Funds for these counties were allocated based on annual HUD allocations for those EMSA's. These counties are not included in the HOPWA formula allocation.

During FY 2012-13, short term rent, mortgage and/or utility assistance payments (STRMU) was made available to persons living with HIV/AIDS (PLWHAs) residing in all 42 counties. In addition, four project sponsors provided tenant-based rental assistance (TBRA). Four agencies provided transitional housing to help clients maintain stable housing, and thirteen agencies provided emergency housing through hotel/motel voucher assistance. In some jurisdictions, sponsors assisted clients in locating and securing housing through housing information services and/or security deposit assistance. All sponsors provide case management and other supportive services, funded through HOPWA or other resources such as the federal Health Resource and Services Administration Ryan White Part B program. Sponsors representing the 42-county area expended funds by activity as follows:

- 15% - TBRA
- 34% - STRMU
- 2% - Permanent housing placement assistance (e.g., security deposits, 1st month's rent)
- 6% - Facility based housing assistance (emergency or transitional housing)
- 11% - Housing information services and resource identification.
- 24% - Supportive Services (e.g., case management, transportation, life skills, meals, transportation).

B. Annual Performance under the Action Plan

1. Outputs Reported

The following program accomplishments are related to the overall objectives of the State's Consolidated Annual Action Plan (Action Plan) as well as the specific goals of the HOPWA program. See Table 23 in Part 3, "Planned Goals and Actual Outputs, FY 2012-13", for a comparison of actual accomplishments to proposed goals. The Proposed Household and Proposed Unit Outputs in Part 3 differ from those stated in the FY 2012-13 Action Plan. The Proposed Accomplishments set forth in the Action Plan are an aggregated estimate based on prior year actual accomplishments and funding levels. During the HOPWA application process, which occurred after submittal of the HOPWA FY 2012-13 Action Plan, project sponsors submitted proposed accomplishments that differed from the aggregated proposed accomplishments in the Action Plan. The proposed accomplishments/outputs obtained from the application process were entered into HUD's Integrated Disbursement and Information System

(IDIS). For consistency, the aggregated proposed accomplishments by activity reported in Part 3 are based on the information reported in IDIS.

The aggregated goal of all counties was to provide approximately 1,534 households with housing assistance during the program year. A total of 1,176 households received housing assistance. Since STRMU and hotel/motel voucher assistance are needs-based program activities, utilization of the programs differ from year to year. Consequently, the proposed outputs also differ from the actual number of clients assisted.

HOPWA funds are allocated to non-EMSA jurisdictions through a non-competitive formula based on reported HIV/AIDS cases by county. However, unspent prior year funds were used to “hold harmless” any county with a formula allocation less than 94.5% of its prior year allocation.

The formula ensures that every eligible jurisdiction receives funding. However, jurisdictions with a lower number of reported HIV/AIDS cases find it challenging to provide adequate housing assistance with the limited funds they receive. To assist clients, project sponsors rely on collaborative relationships with other housing and service agencies in their communities.

The following is a summary of the housing and supportive service activities provided in the 42-county area during the program year:

- Twenty-five project sponsors and/or their sub-recipients use HOPWA funds to provide STRMU to prevent homelessness.
- Four project sponsors operate TBRA programs to assist clients in maintaining stable housing. Those project sponsors are as follows: 1) Kern County Housing Authority/Kern County, 2) Central Coast HIV/AIDS Services/Monterey County, 3) Face to Face/Sonoma County, and 4) Planned Parenthood/Solano County.
- Four project sponsors in Fresno, San Joaquin, Santa Cruz, and Santa Barbara counties operate transitional HIV/AIDS housing facilities with the assistance of HOPWA operating subsidies for eight apartment/condominium units, two single-family homes for shared living, and one licensed Residential Care Facility for the Chronically Ill.
- Twelve project sponsors offer hotel/motel voucher assistance to homeless persons with HIV/AIDS (PLWHA) and their families, while assisting them with obtaining more permanent housing.
- Over half the project sponsors offer permanent housing placement assistance, including housing information, referral services, and

security deposit assistance, to assist clients in obtaining permanent housing.

- Twenty-two of the 26 project sponsors provide case management or other supportive services using HOPWA funds.
- From 1998 through 2001, 29 stewardship units of housing were created through acquisition and rehabilitation with HOPWA Funds. Although the ten-year use periods for the stewardship units have expired and those units are no longer reported to HUD, project sponsors report that 18 of the units remain dedicated to persons living with HIV/AIDS without HOPWA operating subsidies.

Altogether, OA contracts with 11 county health departments, and 15 community-based nonprofit organizations to carry out HOPWA housing and supportive service activities. Although most project sponsors provide direct client services, Fresno County, Kern County, San Joaquin County, and Santa Cruz Community Counseling Center subcontract with other service agencies to assist in providing HOPWA services within their jurisdiction.

OA assumed the responsibility of administering the Bakersfield EMSA HOPWA grant totaling \$384,879. 124 households received housing assistance and 192 households received HOPWA supportive services. In addition to the FY 2012-13 grant Kern County had unexpended funds from FY 2011-12. The FY 2011-12 funds in the amount of \$41,876 were allocated for tenant-based rental assistance and expended. Tenant-based rental assistance is an ongoing program, and funds remaining at the end of FY 2012-13 will be carried forward and expended in FY 2013-14 for tenant based rental assistance.

OA also assumed the responsibility of administering the FY 2012-13 City of Fresno EMSA HOPWA grant totaling \$358,363. In addition, there was a carryover balance of \$304,022 from FY 2011-12 which was allocated in FY 2012-13 for on-going homeless prevention assistance and supportive service activities. Households receiving housing assistance and supportive services totaled 69. The FY 2012-13 allocation, less the 3% grantee administrative fee, was included in a Request for Application to select a new project sponsor to operate a tenant based rental assistance program beginning early FY 2013-14.

2. Outcomes Assessed.

Housing Stability Outcomes: Parts 4 and 5 of the CAPER include tables which demonstrate the level of housing stability for households that received housing assistance during the program year. One of the National HIV/AIDS Strategy (NHAS) goals is to increase the number of Ryan White clients in permanent housing nationally from 82% to 86% by 2015. Almost all HOPWA clients are also Ryan White clients and, according to the Housing Outcomes

data, at least 89% of the households assisted with HOPWA TBRA are remaining stably housed, while 22% of those receiving STRMU or other short-term assistance are stably housed and 75% have a reduced risk of homelessness. A comparison of the percentage of stably housed persons during FY 2012-13 with prior year data, confirms that the HOPWA program is an effective means to achieving the NHAS goal.

Access to Care and Support: Part 4, Section 3 measures households' access to care and support through HOPWA resources during the program year. The percentage of persons that have a housing plan, see a case manager, have accessed primary medical care, have health care insurance and have obtained or maintained a source of income during the year is relatively high for PLWHAs that have received housing assistance.

OA continues to work with project sponsors to increase permanent housing resources or subsidies for HOPWA households, including allocating HOPWA funds for TBRA rather than STRMU where possible.

3. Coordination

Project sponsors reported \$1,247,458 in leveraged funds for housing assistance activities and \$1,747,931 in leveraged funds for supportive service or other non-housing assistance resources (refer to Part 2). OA administers the Ryan White Part B Program which includes the 42 HOPWA-eligible counties. OA program funds are integrated to allow a seamless approach to the delivery of housing and care services. These services, when used in conjunction with HOPWA-funded housing, assist in preventing homelessness and addressing emergency housing needs.

The HOPWA program is administered by local health jurisdictions and nonprofit organizations that are required to include input from the community and consumers in their HIV/AIDS planning process. HOPWA project sponsors are also involved with the Ryan White Program service delivery planning process that requires a documented plan to reach hard-to-serve or underserved populations and link them to supportive services. In addition, OA receives advisory recommendations from the California Planning Group, comprised of public health officials, representatives of AIDS service organizations, State representatives, consumers, and other interested parties.

The majority of project sponsors participate in their local Continuum of Care Planning Group to ensure that the HIV/AIDS population is represented in the planning process for funding opportunities including identification of housing and service gaps.

OA continues to encourage collaboration between HIV service providers, community-based organizations, faith-based organizations, drug and alcohol recovery facilities, and correctional facilities, HOPWA project sponsors are

able to provide a wider range of referral services to clients. Active collaboration also assists in decreasing client fraud and misuse of services.

4. Technical Assistance

OA and its project sponsors benefit from training opportunities offered by HUD relating to improved community planning and leveraging of other resources as well as basic HOPWA program policy and monitoring standards.

C. Barriers and Trends Overview

| | | | |
|---|---|--|---|
| <input checked="" type="checkbox"/> HOPWA/HUD Regulations | <input type="checkbox"/> Planning | <input checked="" type="checkbox"/> Housing Availability | <input type="checkbox"/> Rent Determination and Fair Market Rents |
| <input type="checkbox"/> Discrimination/Confidentiality | <input checked="" type="checkbox"/> Multiple Diagnoses | <input type="checkbox"/> Eligibility | <input type="checkbox"/> Technical Assistance or Training |
| <input checked="" type="checkbox"/> Supportive Services | <input checked="" type="checkbox"/> Credit History | <input checked="" type="checkbox"/> Rental History | <input checked="" type="checkbox"/> Criminal Justice History |
| <input checked="" type="checkbox"/> Housing Affordability | <input checked="" type="checkbox"/> Other, please explain further | | <input checked="" type="checkbox"/> Geographic/rural access |

Grant Management Oversight

OA administers the HOPWA Program for a 42-county area. Project sponsors submit annual applications which include an implementation plan with goals and a budget detail of activities. Project sponsors submit invoices to OA for reimbursement of expenses on a monthly or quarterly basis. HOPWA is responsible for the programmatic and fiscal administration of the Integrated Disbursement and Information System (IDIS). OA conducts on-site monitoring of its project sponsors. A risk analysis is completed for each project sponsor, rating project sponsors past performance. This method ranks project sponsors in descending order, from highest to lowest risk. OA conducts its monitoring visits based on the risk analysis.

1. Barriers

For FY 2012-13, the funding level included prior year unspent funds and the FY 2012-13 grant. This funding level represents approximately \$388 per reported HIV and AIDS case for the non-EMSAs. Considering the average rent for a one-bedroom housing unit in California of approximately \$722 month, the level of HOPWA funding is not sufficient to assist every household in maintaining stable housing without collaborative efforts with other HIV/AIDS and mainstream housing and service programs. HOPWA funding has remained relatively stable over the past few years. OA's goal is to allocate funds using a method that equitably distributes funds based on the level of need in each jurisdiction. To be consistent with the National HIV/AIDS strategy and the HUD Operational Plan, additional formulary factors will be evaluated during FY 2013-14 that will target resources to areas with unmet HIV/AIDS housing needs.

In California, the lack of affordable housing and poverty are the greatest barriers to stable housing for PLWHA. Project sponsors consistently report that housing is one of the top five service gaps for PLWHA. Other reported barriers to stable housing amongst PLWHAs are mental health and substance abuse issues, poor credit and/or rental history, a criminal record, recent release from prison, and lack of U.S. citizenship.

The most recent trend and barrier to housing is an increased number of older adults with HIV/AIDS that require specialized services, easier access or closer proximity to medical care and services, and a shift in the type or location of housing needed.

There are geographical barriers to accessing HIV/AIDS care and treatment in rural areas of California. Due to the lack of affordable housing in urban areas, clients move to rural areas where they face increased difficulty in obtaining specialized HIV medical care, social support networks, access to transportation, as well as appropriate and affordable housing.

OA continues to assist in project sponsor capacity building by providing technical assistance regarding other housing programs and collaboration opportunities, including periodic funding alerts regarding other HUD and state funding opportunities. Most project sponsors participate in their local Continuum of Care Planning Group which has improved their knowledge and collaborative efforts to provide housing and supportive services to PLWHA in their community.

OA project sponsors are diligent in providing timely reporting. However, with the decrease in available State HIV/AIDS funding, these counties have had to further reduce staffing, thus increasing the workload of existing staff and making it more difficult to meet reporting deadlines. In addition, staff turnover can often lead to delays in reporting due to the complexity of the reporting requirements.

The due date of the CAPER has always posed an administrative problem. OA now requires reporting data and financial information be submitted by project sponsors by mid-July. However, this reduced timeframe still leaves OA little time to evaluate the information, input data into IDIS, and aggregate information for the CAPER, and places additional administrative burden on project sponsors. OA is taking steps to ensure more efficient and timely data collection and reporting. California has implemented the AIDS Regional Information and Evaluation System (ARIES), a customized, web-based, centralized HIV/AIDS client management system which includes a HOPWA component. OA continues to work with project sponsors to improve data entry into ARIES which will ultimately result in more streamlined and accurate client level data collection and timely reporting to OA. The alignment of accomplishment fields in IDIS to the CAPER requirements will also improve reporting.

2. Trends

The California budget crisis continues to impact HIV/AIDS program funding and how HIV/AIDS programs are being implemented at the state and local level. With reduced State HIV/AIDS funding available to provide case management and other supportive services, more agencies are requesting HOPWA funds for supportive services. Innovative models of care are being

developed by local health jurisdictions and service agencies to ensure that clients in need of services are able to obtain them.

As a result of the economic downturn, local health jurisdictions and HIV/AIDS services agencies report an increase in clients with HIV/AIDS requesting assistance through the health clinics and Ryan White Programs due to loss of employment and health insurance coverage.

With the introduction of Health Care Reform in 2014, it is anticipated that HIV/AIDS service delivery will undergo significant changes.

3. Evaluations or Studies of HOPWA Available to the Public

No evaluations, studies, or other assessments are currently available to the public.

D. Unmet Housing Needs: An Assessment of Unmet Housing Needs

Currently, there is no statewide assessment of housing needs for PLWHA. As a method of collecting unmet housing need data, OA requires project sponsors to develop and maintain a waiting list. The unmet housing need data provided in the Assessment of Unmet Housing Needs Table below does not represent all 42 counties since project sponsors providing emergency-based assistance do not always have a waiting list. Eleven project sponsors reported unmet housing need data totaling 147 households.

ARIES is currently used by 231 health departments, community clinics, non-profit agencies, food banks, and housing authorities throughout the state. It provides a single point of entry for client information and allows for coordination of client services among providers and meets both Health Resources and Services Administration (HRSA) and HUD reporting requirements. As ARIES matures, it will assist OA and project sponsors with program accountability, analysis of client needs trends, and determination of unmet housing needs.

OA will identify, access, and compile unmet housing needs data for all communities within its jurisdiction. Improved unmet needs data, will allow OA to increase capacity to pursue other funding opportunities and match current resources with areas of highest need.

Table 19 Assessment of Unmet Need for HOPWA-eligible Households

| | |
|--|-----|
| 1. Total number of households that have unmet housing subsidy assistance need. | 147 |
| 2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance: | |
| a. Tenant-Based Rental Assistance (TBRA) | 75 |
| b. Short-Term Rent, Mortgage and Utility payments (STRMU) | 81 |
| • Assistance with rental costs | 69 |
| • Assistance with mortgage payments | 2 |
| • Assistance with utility costs. | 10 |
| c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities. | 0 |

PART 2: Sources of Leveraging

Table 20 Sources of Leveraging and Program Income

| [1] Source of Leveraging | [2] Amount of Leveraged Funds | [3] Type of Contribution | [4] Housing Subsidy Assistance or Other Support |
|---|-------------------------------|--|---|
| Public Funding | | | |
| Ryan White-Housing Assistance | \$10,266 | Emergency rent | <input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support |
| Ryan White-Other | \$1,012,046 | Case management, food, transportation, oral health, mental health, other | <input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support |
| Housing Choice Voucher Program | \$138,000 | | <input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support |
| Shelter Plus Care | \$837,220 | | <input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support |
| Other Public: HUD Supportive Housing Program | \$160,000 | | <input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support |
| Other Public FEMA | \$9,802 | | <input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support |
| Other Public: LIHEAP, Various City and County Funds | \$187,311 | | <input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support |
| Other Public: Various City and County Funds | \$55,266 | | <input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support |
| Other Public: Medi-Cal Waiver | \$50,472 | | <input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support |
| Private Funding | | | |
| Grants | \$117,732 | | <input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support |
| In-kind Resources: Hospice, Clothes, Basic Needs, Transportation Vouchers | \$28,341 | Hospice, clothes, basic needs, transportation vouchers, volunteers | <input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support |
| Other Private: | \$117,287 | Emergency rent, food, administrative | <input checked="" type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support |
| Other Funding | | | |

| [1] Source of Leveraging | [2] Amount of Leveraged Funds | [3] Type of Contribution | [4] Housing Subsidy Assistance or Other Support |
|--|-------------------------------|--------------------------|--|
| Grantee/Project Sponsor/Sub-recipient (Agency) Cash | \$64,940 | | <input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support |
| Resident Rent Payments by Client to Private Landlord | \$206,706 | | |
| TOTAL (Sum of all Rows) | \$2,995,389 | | |

Program Income and Resident Rent Payments

Table 21 Total Amount Program Income and Resident Rent

| Payment Collected During the Operating Year Program Income and Resident Rent Payments Collected | | Total Amount of Program Income (for this operating year) |
|---|--|--|
| 1. | Program income (e.g. repayments) | \$13,062 |
| 2. | Resident Rent Payments made directly to HOPWA Program | \$32,015 |
| 3. | Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2) | \$45,077 |

Table 22 Program Income and Resident Rent Payments Expended To Assist HOPWA Households

| Program Income and Resident Rent Payment Expended on HOPWA programs | | Total Amount of Program Income Expended (for this operating year) |
|---|---|---|
| 1. | Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs | \$41,292 |
| 2. | Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs | \$3,785 |
| 3. | Total Program Income Expended (Sum of Rows 1 and 2) | \$45,077 |

PART 3: Accomplishment Data - Planned Goals and Actual Outputs

Table 23 HOPWA Performance Planned Goals and Actual Outputs

FY 2012-13

| | HOPWA Performance Planned Goal and Actual | Output Households | | | | Funding | |
|-----|---|---------------------|--------|-----------|--------|------------------|-----------------|
| | | HOPWA Assistance | | Non-HOPWA | | | |
| | | a. | b. | c. | d. | e. ¹⁸ | f. |
| | | Goal | Actual | Goal | Actual | HOPWA Budget | HOPWA Actual |
| | Housing Subsidy Assistance | Output Households | | | | | |
| 1. | Tenant-Based Rental Assistance | 88 | 87 | N/A | 111 | \$568,177.56 | \$528,677.50 |
| 2a. | Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served) | 0 | 0 | NA | 13 | 0 | 0 |
| 2b. | Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) | 159 | 125 | NA | 0 | \$227,988.30 | \$209,597.79 |
| 3a. | Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served) | NA | NA | NA | NA | \$0 | \$0 |
| 3b. | Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year | NA | NA | NA | NA | \$0 | \$0 |

¹⁸ Budgeted and Actual funds include funds awarded in FY 2011-12 to Kern and Fresno Counties that were expended in FY 2012-13.

| | HOPWA Performance Planned Goal and Actual | Output Households | | | | Funding | |
|-------|---|-------------------|--------|-----------|--------|------------------|----------------|
| | | HOPWA Assistance | | Non-HOPWA | | | |
| | | a. | b. | c. | d. | e. ¹⁸ | f. |
| | | Goal | Actual | Goal | Actual | HOPWA Budget | HOPWA Actual |
| | Housing Subsidy Assistance | Output Households | | | | | |
| | (Households Served) | | | | | | |
| 4. | Short-Term Rent, Mortgage and Utility Assistance (STRMU) | 1,180 | 950 | NA | 34 | \$1,365,895.64 | \$1,196,326.70 |
| 5. | Permanent Housing Placement Services (Security deposits, first month's rent, other) | 117 | 74 | NA | 2 | \$81,682.15 | \$54,715.99 |
| 6. | Adjustments for duplication (subtract) | 10 | 60 | 0 | 0 | | |
| 7. | Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5) | 1,534 | 1,176 | NA | 160 | \$2,243,743.65 | \$1,989,317.98 |
| | Housing Development (Construction and Stewardship of facility based housing) | Output Units | | | | | |
| 8. | Facility-based units; Capital Development Projects not yet opened (Housing Units) | 0 | 0 | 0 | 0 | \$0 | \$0 |
| 9. | Stewardship Units subject to 3 or 10 year use agreements | 0 | 0 | 0 | 0 | | |
| 10. | Total Housing Developed (Sum of Rows 7,8 & 9) | | | | | | |
| | Supportive Services | Output Households | | | | | |
| 11 a. | Supportive Services provided by project sponsors/sub-recipient that also delivered HOPWA housing subsidy assistance | 2,095 | 1,780 | | | \$890,919.45 | \$829,362.67 |
| 11 b. | Supportive Services provided by project sponsors/sub-recipient that only provided supportive services. | 0 | | | | \$0 | \$0 |
| 12. | Adjustment for duplication (subtract) | 0 | 0 | | | | |

| | HOPWA Performance Planned Goal and Actual | Output Households | | | | Funding | |
|-----|---|-------------------|--------|-----------|--------|------------------|----------------|
| | | HOPWA Assistance | | Non-HOPWA | | | |
| | | a. | b. | c. | d. | e. ¹⁸ | f. |
| | | Goal | Actual | Goal | Actual | HOPWA Budget | HOPWA Actual |
| | Housing Subsidy Assistance | Output Households | | | | | |
| 13. | Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.) | 2,095 | 1,780 | | | \$890,919.45 | \$829,362.67 |
| | Housing Information Services | | | | | | |
| 14. | Housing Information Services | 1,403 | 1,227 | | | \$354,659.50 | \$340,008.35 |
| 15. | Total Housing Information Services | 1,403 | 1,227 | | | \$354,659.50 | \$340,008.35 |
| 16. | Resource Identification to establish, coordinate and develop housing assistance resources | | | | | \$22,760.88 | \$22,760.88 |
| . | Technical Assistance (if approved in grant agreement) | | | | | \$0 | \$0 |
| 18. | Grantee Administration (maximum 3% of total HOPWA grant) | | | | | \$109,785 | \$105,994.58 |
| 19. | Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded) | | | | | \$237,073.52 | \$229,884.68 |
| 20. | Total Grant Administration and Other Activities (Sum of Rows 16 – 19) | | | | | \$369,619.40 | \$358,640.14 |
| 21 | Total Expenditures for program year (Sum of rows 7, 10, 13, 15, and 20) | | | | | \$3,858,942.00 | \$3,517,329.14 |

Table 24 HOPWA Listing of Supportive Services

| Supportive Services | | [1] Output: Number of Households | [2] Output: Amount of HOPWA Funds Expended |
|---------------------|--|--|---|
| 1. | Adult day care and personal assistance | 5 | \$38,272.00 |
| 2. | Alcohol and drug abuse services | 0 | \$0 |
| 3. | Case management | 1,575 | \$662,829.35 |
| 4. | Child care and other child services | 0 | \$0 |
| 5. | Education | 0 | \$0 |
| 6. | Employment assistance and training | 0 | \$0 |
| 7. | Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310 | 0 | \$0 |
| 8. | Legal services | 0 | \$0 |
| 9. | Life skills management (outside of case management) | 226 | \$52,987.85 |
| 10. | Meals/nutritional services | 329 | \$53,966.34 |
| 11. | Mental health services | 0 | \$0 |
| 12. | Outreach | 0 | \$0 |
| 13. | Transportation | 401 | \$21,075.13 |
| 14. | Other Activity (if approved in grant agreement). Specify: basic phone service | 4 | \$232.00 |
| 15. | Sub-Total Households receiving Supportive Services (Sum of Rows 1- 14) | 2,540 | |
| 16. | Adjustment for Duplication (subtract) | 760 | |
| 17. | TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14) | 1,780 | \$829,362.67 |

Table 25 HOPWA Short Term Rent, Mortgage and Utility Assistance (STRMU) Summary

| Housing Subsidy Assistance Categories (STRMU) | | [1] Output: Number of Households Served | [2] Output: Total HOPWA Funds Expended on STRMU during Operating Year |
|---|--|---|---|
| a. | Total Short-term mortgage, rent and/or utility (STRMU) assistance | 950 | \$1,196,326.70 |
| b. | Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY. | 41 | \$48,847.39 |
| c. | Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs. | 18 | \$24,669.95 |
| d. | Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY. | 647 | \$850,948.90 |
| e. | Of the total STRMU reported on Row a, total who received assistance with rental and utility costs. | 98 | \$132,583.38 |
| f. | Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY. | 146 | \$57,269.83 |
| g. | Direct program delivery costs (e.g., program operations staff time) | | \$82,007.25 |

Part 4: Summary of Performance Outcomes

Section 1: Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

HOPWA Housing Stability Outcomes, FY 2012-13 Assessment of Households in Permanent and Transitional Housing

Table 26 HOPWA Permanent Housing Subsidy Assistance

| | [1] Output: Total Number of Households Served | [2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year | [3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting | [4] HOPWA Client Outcomes |
|--|--|--|--|---|
| Tenant- Based Rental Assistance | 87 | 69 | 1 Emergency Shelter/Streets | 1 Unstable Arrangements |
| | | | 2 Temporary Housing | 0 Temporarily Stable, with Reduced Risk of Homelessness |
| | | | 3 Private Housing | 2 |
| | | | 4 Other HOPWA | 0 Stable/Permanent Housing (PH) |
| | | | 5 Other Subsidy | 4 |
| | | | 6 Institution | 2 |
| | | | 7 Jail/Prison | 2 Unstable Arrangements |
| | | | 8 Disconnected/Unknown | 4 |
| | | | 9 Death | 3 Life Event |
| Permanent Supportive Housing Facilities/ Units | 0 | 0 | 1 Emergency Shelter/Streets | 0 Unstable Arrangements |
| | | | 2 Temporary Housing | 0 Temporarily Stable, with Reduced Risk of Homelessness |
| | | | 3 Private Housing | 0 |
| | | | 4 Other HOPWA | 0 Stable/Permanent Housing (PH) |
| | | | 5 Other Subsidy | 0 |
| | | | 6 Institution | 0 |
| | | | 7 Jail/Prison | 0 Unstable Arrangements |
| | | | 8 Disconnected/Unknown | 0 |
| | | | 9 Death | 0 Life Event |

Table 27 HOPWA Transitional Housing Assistance

| | [1] Output: Total Number of Household s Served | [2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year | [3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting | [4] HOPWA Client Outcomes | |
|--|--|---|---|---------------------------------|--|
| Transitional/ Short-Term Housing Facilities/ Units | 125 | 16 | 1. Emergency Shelter/ Streets | 12 | Unstable Arrangements |
| | | | 2. Temporary Housing | 42 | Temporarily Stable with Reduced Risk of Homelessness |
| | | | 3. Private Housing | 29 | Stable/Perman ent Housing (PH) |
| | | | 4. Other HOPWA | 13 | |
| | | | 5. Other Subsidy | 3 | |
| | | | 6. Institution | 0 | Unstable Arrangements Life Event |
| | | | 7. Jail/Prison | 2 | |
| | | | 8. Disconnected/ unknown | 2 | |
| | | | 9. Death | 6 | |
| B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months. | | | 0 | | |

Section 2: Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)

Table 28 HOPWA Assessment of Households Receiving STRMU Assistance

| [1] STRMU Housing Assistance | [2] Assessment of Housing Status | | [3] HOPWA Client Outcomes |
|------------------------------------|---|-----|----------------------------------|
| = 950 | Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support) | 133 | Stable/Permanent Housing (PH) |
| | Other Private Housing without subsidy | 6 | |
| | Other HOPWA support (PH) | 3 | |
| | Other housing subsidy (PH) | 38 | |

| [1] STRMU Housing Assistance | [2] Assessment of Housing Status | | [3] HOPWA Client Outcomes |
|---|---|-----|---|
| | Institution (e.g. residential and long-term care) | 0 | |
| | Likely to maintain current housing arrangements, with additional STRMU assistance | 711 | Temporarily Stable, with Reduced Risk of Homelessness |
| | Transitional Facilities/Short-term (e.g. temporary or transitional arrangement) | 7 | |
| | Temporary/non-permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days) | 20 | |
| | Emergency Shelter/street | 6 | Unstable Arrangements |
| | Jail/Prison | 7 | |
| | Disconnected | 12 | |
| | Death | 7 | Life Event |
| 1a. Total number of households that received STRMU assistance in the prior operating year that also received STRMU assistance in the current operating year. | | | 628 |
| 1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years that also received STRMU assistance in the current operating year. | | | 498 |

Section 3: HOPWA Outcomes on Access to Care and Support

Table 29 HOPWA Total Number of Households

| Total Number of Households | |
|---|-------|
| For Project Sponsors/Sub-recipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services: | |
| Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing | 1,176 |
| Case Management | 1,575 |
| Adjustment for duplication (subtraction) | 1,018 |
| Total Households Served by Project Sponsors/Sub-recipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.) | 1,733 |
| For Project Sponsors/Sub-recipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service: | |
| HOPWA Case Management | 0 |
| Total Households Served by Project Sponsors/Sub-recipients without Housing Subsidy Assistance | 0 |

Table 30 HOPWA Status of Households Accessing Care and Support

| Categories of Services Accessed | [1] For project sponsors /sub-recipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following: | [2] For project sponsors/sub-recipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following: | Outcome Indicator |
|--|--|--|----------------------------|
| 1. Has a housing plan for maintaining or establishing stable on-going housing | 1,629 | N/A | Support for Stable Housing |
| 2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management) | 1,542 | | Access to Support |
| 3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan | 1,647 | N/A | Access to Health Care |
| 4. Accessed and maintained medical insurance/assistance | 1,602 | N/A | Access to Health Care |
| 5. Successfully accessed or maintained qualification for sources of income | 1,673 | N/A | Sources of Income |

Table 31 HOPWA Households that Obtained Employment

| Categories of Services Accessed | [1] For project sponsors/sub-recipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following: | [2] For project sponsors/sub-recipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following: |
|--|---|--|
| Total number of households that obtained an income-producing job | 21 | N/A |

Part 5: Worksheet – Determining Housing Stability Outcomes

Table 32 HOPWA Housing Stability Outcomes

| Permanent Housing Assistance | Stable Housing (# of households remaining in program plus 3+4+5+6=#) | Temporary Housing (2) | Unstable Arrangements (1+7+8=#) | Life Event (9) |
|---|--|--|---------------------------------|----------------|
| Tenant-Based Rental Assistance (TBRA) | 77 | 0 | 7 | 3 |
| Permanent Facility-Based Housing Assistance/Units | 0 | 0 | 0 | 0 |
| Transitional/Short-Term Facility-Based Housing Assistance/Units | 61 | 42 | 16 | 6 |
| Total Permanent HOPWA Housing Assistance | 138 | 42 | 23 | 9 |
| | | | | |
| Reduced Risk of Homelessness: Short-Term Assistance | Stable/ Permanent Housing | Temporarily Stable with Reduced Risk of Homelessness | Unstable Arrangements | Life Event |

| Permanent Housing Assistance | Stable Housing (# of households remaining in program plus 3+4+5+6=#) | Temporary Housing (2) | Unstable Arrangements (1+7+8=#) | Life Event (9) |
|---|--|-----------------------|---------------------------------|----------------|
| | | | | |
| Short-Term Rent, Mortgage, and Utility Assistance (STRMU) | 180 | 738 | 25 | 7 |
| Total HOPWA Housing Assistance | 318 | 780 | 48 | 16 |

Part 6: Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

There are no units meeting within the 10 year use period to be reported.

Part 7: Summary Overview of Grant Activities

Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units) ONLY.

Table 33 HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

| | |
|--|-------|
| Individuals Served with Housing Subsidy Assistance | Total |
| Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance. | 1,176 |

b. Prior Living Situation

| Category | | Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance |
|----------|---|---|
| 1. | Continuing to receive HOPWA support from the prior operating year | 707 |

| Category | | Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance |
|---|--|---|
| New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year | | |
| 2. | Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside) | 39 |
| 3. | Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher) | 18 |
| 4. | Transitional housing for homeless persons | 8 |
| 5. | Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4) | 65 |
| 6. | Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab) | 1 |
| 7. | Psychiatric hospital or other psychiatric facility | 0 |
| 8. | Substance abuse treatment facility or detox center | 8 |
| 9. | Hospital (non-psychiatric facility) | 0 |
| 10. | Foster care home or foster care group home | 0 |
| 11. | Jail, prison or juvenile detention facility | 9 |
| 12. | Rented room, apartment, or house | 317 |
| 13. | House you own | 28 |
| 14. | Staying or living in someone else's (family and friends) room, apartment, or house | 28 |
| 15. | Hotel or motel paid for without emergency shelter voucher | 11 |
| 16. | Other | 1 |
| 17. | Don't Know or Refused | 1 |
| 18. | TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17) | 1,176 |

HOPWA Homeless Individual Summary

| Category | Number of Homeless Veteran(s) | Number of Chronically Homeless |
|---|-------------------------------|--------------------------------|
| HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance | 8 | 48 |

Table 34 HOPWA Information on Beneficiaries

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

| Individuals and Families Served with HOPWA Housing Subsidy Assistance | Total Number |
|---|--------------|
| 1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.) | 1,176 |
| 2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance | 77 |
| 3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy | 755 |
| 4. TOTAL number of ALL beneficiaries served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3) | 2,008 |

b. Age and Gender

| HOPWA Eligible Individuals (Chart a, Row 1) | | | | | | |
|---|----------------------------|------|--------|-----------------------|-----------------------|-------------------------------------|
| | | A. | B. | C. | D. | E. |
| | | Male | Female | Transgender M to F | Transgender F to M | TOTAL (Sum of Columns A-D) |
| 1. | Under 18 | 7 | 3 | 0 | 0 | 10 |
| 2. | 18 to 30 years | 68 | 25 | 5 | 1 | 99 |
| 3. | 31 to 50 years | 450 | 182 | 9 | 2 | 643 |
| 4. | 51 years and Older | 317 | 105 | 1 | 1 | 424 |
| 5. | Subtotal (Sum of Rows 1-4) | 842 | 315 | 15 | 4 | 1,176 |
| All Other Beneficiaries (Chart a, Rows 2 and 3) | | | | | | |
| | | A. | B. | C. | D. | E. |
| | | Male | Female | Transgender M to F | Transgender F to M | TOTAL (Sum of Columns A-D) |
| 6. | Under 18 | 168 | 180 | 0 | 0 | 348 |
| 7. | 18 to 30 years | 71 | 66 | 0 | 0 | 137 |
| 8. | 31 to 50 years | 133 | 96 | 0 | 0 | 229 |
| 9. | 51 years and Older | 59 | 59 | 0 | 0 | 118 |

| | | | | | | |
|--------------------------------------|----------------------------|-------|-----|----|---|-------|
| 10. | Subtotal (Sum of Rows 6-9) | 431 | 401 | 0 | 0 | 832 |
| Total Beneficiaries (Chart a, Row 4) | | | | | | |
| 11. | TOTAL (Sum of Rows 5 & 10) | 1,273 | 716 | 15 | 4 | 2,008 |

d. Race and Ethnicity¹⁹

| Category | | HOPWA Eligible Individuals | | All Other Beneficiaries | |
|---|---|---|---|---|---|
| | | [A] Race [all individuals reported in Section 2, Chart a., Row 1] | [B] Ethnicity [Also identified as Hispanic or Latino] | [C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3] | [D] Ethnicity [Also identified as Hispanic or Latino] |
| 1. | American Indian/Alaskan Native | 59 | 41 | 28 | 21 |
| 2. | Asian | 10 | 3 | 13 | 1 |
| 3. | Black/African American | 176 | 40 | 86 | 13 |
| 4. | Native Hawaiian/Other Pacific Islander | 5 | 1 | 4 | 2 |
| 5. | White | 846 | 343 | 592 | 318 |
| 6. | American Indian/Alaskan Native & White | 9 | 5 | 12 | 7 |
| 7. | Asian & White | 0 | 0 | 2 | 0 |
| 8. | Black/African American & White | 1 | 0 | 7 | 3 |
| 9. | American Indian/Alaskan Native & Black/African American | 1 | 0 | 0 | 0 |
| 10. | Other Multi-Racial | 69 | 67 | 88 | 88 |
| 11. | Column Totals (Sum of Rows 1-10) | 1,176 | 500 | 832 | 453 |
| Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4. | | | | | |

¹⁹ Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form).

HOPWA Information on Households

Table 35 HOPWA Household Area Median Income

| Percentage of Area Median Income | | Households Served with HOPWA Housing Subsidy Assistance |
|----------------------------------|---|---|
| 1. | 0-30% of area median income (extremely low) | 835 |
| 2. | 31-50% of area median income (very low) | 207 |
| 3. | 51-80% of area median income (low) | 134 |
| 4. | Total (Sum of Rows 1-3) | 1,176 |

Table 36 Facility Based Housing Assistance

Project Sponsor Agency Name

San Joaquin County Public Health
Sub-recipient of Sponsor – Stockton Shelter for the Homeless (owner)

| Type of Development this operating year | HOPWA Funds Expended this operating year (if applicable) | Non-HOPWA funds Expended (if applicable) | Name of Facility: Holman House |
|---|---|--|--|
| <input type="checkbox"/> New construction | \$ | \$ | [Check only one box.] <input type="checkbox"/> Permanent housing <input checked="" type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility |
| <input type="checkbox"/> Rehabilitation | \$ | \$ | |
| <input type="checkbox"/> Acquisition | \$ | \$ | |
| <input checked="" type="checkbox"/> Operating | \$95,598 | \$ | |
| a. | Purchase/lease of property: | | Date (mm/dd/yy): 08/1998 |
| b. | Rehabilitation/Construction Dates: | | Date started: Date Completed: |
| c. | Operation dates: | | Date residents began to occupy: 11/1998 <input type="checkbox"/> Not yet occupied |
| d. | Date supportive services began: | | Date started: 11/1998 <input type="checkbox"/> Not yet providing services |
| e. | Number of units in the facility: | | HOPWA-funded units = One 5br house Total Units = 5 bedrooms |
| f. | Is a waiting list maintained for the facility? | | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year 0 |
| g. | What is the address of the facility (if different from business address)? | | Confidential – Stockton, CA |
| h. | Is the address of the project site confidential? | | <input checked="" type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public |

Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

| | Number Designated for the Chronically Homeless | Number Designated to Assist the Homeless | Number Energy-Star Compliant | Number 504 Accessible |
|--|--|--|------------------------------|-----------------------|
| Rental units constructed (new) and/or acquired with or without rehab | | 1 | | |

| | | | | |
|---|--|--|--|--|
| Rental units rehabbed | | | | |
| Homeownership units constructed (if approved) | | | | |

Project Sponsor Agency Name

San Joaquin County Public Health – Project Sponsor
Stockton Shelter for the Homeless – Sub-recipient of Sponsor (owner)

Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

| Type of Development this operating year | HOPWA Funds Expended this operating year (if applicable) | Non-HOPWA funds Expended (if applicable) | Name of Facility: Condos – Scattered Sites |
|---|---|--|---|
| <input type="checkbox"/> New construction | \$ | \$ | [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input checked="" type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility |
| <input type="checkbox"/> Rehabilitation | \$ | \$ | |
| <input type="checkbox"/> Acquisition | \$ | \$ | |
| <input checked="" type="checkbox"/> Operating | \$17,438 | \$ | |
| a. | Purchase/lease of property: | | Date (mm/dd/yy): 6/2000, 7/5/2000, 8/2000, 5/2001, 12/2001 |
| b. | Rehabilitation/Construction Dates: | | Date started: Date Completed: |
| c. | Operation dates: | | Date residents began to occupy: 8/2000 <input type="checkbox"/> Not yet occupied |
| d. | Date supportive services began: | | Date started: 8/2000 <input type="checkbox"/> Not yet providing services |
| e. | Number of units in the facility: | | HOPWA-funded units = 5 2br units Total Units = 5 2br units |
| f. | Is a waiting list maintained for the facility? | | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year 0</i> |
| g. | What is the address of the facility (if different from business address)? | | Confidential – Stockton, CA |
| h. | Is the address of the project site confidential? | | <input checked="" type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public |

Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

| | Number Designated for the Chronically Homeless | Number Designated to Assist the Homeless | Number Energy-Star Compliant | Number 504 Accessible |
|---|---|---|---|----------------------------------|
| Rental units constructed (new) and/or acquired <u>with or without</u> rehab | | 5 | | |
| Rental units rehabbed | | | | |
| Homeownership units constructed (if approved) | | | | |

Project Sponsor Agency Name

Santa Cruz County Community Counseling Center

Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

| Type of Development this operating year | HOPWA Funds Expended this operating year (if applicable) | Non-HOPWA funds Expended (if applicable) | Name of Facility: Perlman House |
|---|---|--|--|
| <input type="checkbox"/> New construction | \$ | \$ | [Check only one box.] <input type="checkbox"/> Permanent housing <input checked="" type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility |
| <input type="checkbox"/> Rehabilitation | \$ | \$ | |
| <input type="checkbox"/> Acquisition | \$ | \$ | |
| <input checked="" type="checkbox"/> Operating | \$20,194 | \$ | |
| a. | Purchase/lease of property: | | Date (mm/dd/yy): 7/2001 |
| b. | Rehabilitation/Construction Dates: | | Date started: Date Completed: |
| c. | Operation dates: | | Date residents began to occupy: 9/2001 <input type="checkbox"/> Not yet occupied |
| d. | Date supportive services began: | | Date started: 9/2001 <input type="checkbox"/> Not yet providing services |
| e. | Number of units in the facility: | | HOPWA-funded units = One 4br house Total Units = 4 bedrooms |
| f. | Is a waiting list maintained for the facility? | | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year 3</i> |
| g. | What is the address of the facility (if different from business address)? | | Confidential – Santa Cruz, CA |
| h. | Is the address of the project site confidential? | | <input checked="" type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public |

Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

| | Number Designated for the Chronically Homeless | Number Designated to Assist the Homeless | Number Energy-Star Compliant | Number 504 Accessible |
|---|--|--|------------------------------|-----------------------|
| Rental units constructed (new) and/or acquired <u>with or without</u> rehab | | 1 | | |
| Rental units rehabbed | | | | |
| Homeownership units constructed (if approved) | | | | |

Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

- ☐ Permanent Supportive Housing Facility/Units
☒ Short-term Shelter or Transitional Supportive Housing Facility/Units

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

San Joaquin County/Stockton Shelter for the Homeless – New House

| Type of housing facility operated by the project sponsor/subrecipient | | Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|---|--|---|--------|--------|--------|--------|--------|
| | | SRO/Studi o/0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units | | | | | | |
| d. | Other housing facility <u>Transitional Housing Unit:</u> | | | | 1 | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient |
|---|---------------|------------------------------|--|
| a. | Leasing Costs | | |

| | | | |
|----|---|---|-------|
| b. | Operating Costs | 1 | 2,587 |
| c. | Project-Based Rental Assistance (PBRA) or other leased units (Hotel/Motel Assistance) | | |
| d. | Other Activity (if approved in grant agreement) <u>Specify:</u> | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

San Joaquin County/Stockton Shelter for the Homeless

| Type of housing facility operated by the project sponsor/subrecipient | | Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|---|---|---|--------|--------|--------|--------|--------|
| | | SRO/Studio/0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units | 3 | | | | | |
| d. | Other housing facility | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient |
|---|---|------------------------------|--|
| a. | Leasing Costs (Hotel/Motel) | 3 | \$3,565 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units (Hotel/Motel Assistance) | | |
| d. | Other Activity (if approved in grant agreement) <u>Specify:</u> | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:
Fresno County/West Care California, Inc. – Bulldog Lane

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|---|--|-----------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units | | | | | | |
| d. | Other housing facility. Transitional shared living | | | 1 | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|---|--|------------------------------|---|
| a. | Leasing Costs | | |
| b. | Operating Costs | 8 | \$8,593 |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:
Fresno County/West Care California, Inc. – Orange Avenue

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|---|--|-----------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units | | | | | | |
| d. | Other housing facility. Transitional shared living | | | | 1 | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|--|---|---------------------------------|--|
| a. | Leasing Costs | | |
| b. | Operating Costs | 2 | \$3,496 |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Fresno County

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|---|--|---|-----------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units | 15 | | | | | |
| d. | Other housing facility. | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|--|---|---------------------------------|--|
| a. | Leasing Costs (Hotel/Motel) | 15 | \$10,647 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

AIDS Housing Santa Barbara – Project Name – Sarah House

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|---|--|--------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | 1 |
| c. | Project-based rental assistance units or leased units | | | | | | |
| d. | Other housing facility. Transitional apt units | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|---|--|------------------------------|---|
| a. | Leasing Costs | | |
| b. | Operating Costs | 5 | \$14,662 |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Caring Choices

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|---|--|--------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 1 | | | | | |

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|----------------------------------|--|--------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|---|--|------------------------------|---|
| a. | Leasing Costs | 1 | \$1,356 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:
Central Coast HIV/AIDS Services

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--|--|--------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 8 | | | | | |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|--|---|---------------------------------|--|
| a. | Leasing Costs (Hotel/Motel) | 8 | \$4,840 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:
Kern County

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--|--|--------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 6 | | | | | |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|--|---|---------------------------------|--|
| a. | Leasing Costs (Hotel/Motel) | 6 | \$5,528 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Plumas County

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--|--|--------|-------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 1 | | | | | |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|---|--|------------------------------|---|
| a. | Leasing Costs (Hotel/Motel) | 1 | \$1,761 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Santa Cruz Community Counseling Center/Santa Cruz Community Action Board

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--------------------------------|--|--------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--|--|-----------|--------|-----------|--------|------------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 18 | 18 | | | | |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|---|--|------------------------------|---|
| a. | Leasing Costs (Hotel/Motel) | 18 | \$13,028 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Sierra HOPE

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--|--|--------|--------|--------|--------|------------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 1 | | | | | |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|--|---|---------------------------------|--|
| a. | Leasing Costs (Hotel/Motel) | 1 | \$200 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Planned Parenthood Shasta Diablo

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--|--|--------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 5 | | | | | |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|--|---|---------------------------------|--|
| a. | Leasing Costs (Hotel/Motel) | 5 | \$3,015 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Ventura County

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--|--|--------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 8 | | | | | |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|---|--|------------------------------|---|
| a. | Leasing Costs (Hotel/Motel) | 8 | \$1,050 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

San Luis Obispo County AIDS Support Network

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--------------------------------|--|--------|--------|--------|--------|---------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--|--|-----------|-----------|-----------|--------|------------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 1 | | | | | |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|---|--|------------------------------|---|
| a. | Leasing Costs (Hotel/Motel) | 1 | \$344 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Humboldt County

| Type of housing facility operated by the project sponsor | | Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units | | | | | |
|--|--|--|-----------|-----------|-----------|--------|------------|
| | | SRO/ 0 bdrm | 1 bdrm | 2 bdrm | 3 bdrm | 4 bdrm | 5+ bdrm |
| a. | Single room occupancy dwelling | | | | | | |
| b. | Community residence | | | | | | |
| c. | Project-based rental assistance units or leased units (Hotel/Motel Voucher Assistance) | 5 | | | | | |
| d. | Other housing facility. Specify: | | | | | | |

Households and Housing Expenditures

| Housing Assistance Category: Facility Based Housing | | Output: Number of Households | Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/sub-recipient |
|--|---|---|--|
| a. | Leasing Costs (Hotel/Motel) | 5 | \$3,243 |
| b. | Operating Costs | | |
| c. | Project-Based Rental Assistance (PBRA) or other leased units | | |
| d. | Other Activity (if approved in grant agreement) Specify: | | |

Department of Community
Services and Development:
Lead Based Paint
Hazard Control Program

**L
H
C
P**

K. LEAD BASED PAINT HAZARD CONTROL PROGRAM (LHCP)

Use of Funds

LHCP received an additional 36-month \$2.3 million HUD grant in Round XVIII, covering the period June 1, 2012 through May 31, 2015. The first awards from this grant were made on July 1, 2012 (i.e., in 2012-13). This CAPER report and performance data will focus on Round XVIII.

The Round XVIII grant will give the Department of Community Services and Development (CSD) additional resources to continue and expand LHCP to an additional 200 pre-1978 low-income housing units in seven counties. The program's objectives include targeting low-income households with at least one child under age six living in the residence or who is spending a significant amount of time visiting, providing lead hazard awareness education, maximizing resources by strengthening collaboration with local housing and health departments, increasing lead-safe rental opportunities for low-income households, expanding the certified abatement workforce, and developing lasting lead-safe training resources.

CSD will implement the LHCP forming a partnership with five of its network of community-based organizations (CBOs). LHCP services will be provided in seven Target Counties: The CBOs Community Resource Project (Sacramento, Sutter, Yuba Counties), Fresno County Economic Opportunities Commission (Fresno County), Maravilla Foundation (Los Angeles County), Redwood Community Action Agency (Humboldt and Community Action Partnership of San Bernardino County (San Bernardino County) have a long history of serving low-income families by providing weatherization and community service programs. Four of the CBOs have provided Lead control services since 1992 and have fully certified staff. Fresno EOC the newest LHCP CBO provider has extensive experience in housing rehabilitation projects involving work similar to lead hazard control activities. To ensure Fresno EOC would be ready to begin performing lead services after contracts were signed and that designated staff were lead certified, CSD coordinated training through a State-accredited lead related construction trainer approved by HUD to provide LHCP certifications. Additionally, CSD arranged peer-to-peer training for Fresno County.

The CBOs primary role will be to provide program support and direct hazard control services including, but not limited to: community outreach and education, unit enrollment, environmental reviews, lead-based paint inspections/risk assessments, project design, temporary relocation of clients, lead hazard control intervention work, and arranging for clearances by an independent third party. The CBOs will continue to build relationships with their local housing and health departments to coordinate LHCP with rehabilitation services, develop a referral system of at-risk units, and expand a local certified workforce.

CBOs leverage funding from various sources to combine the benefits of lead hazard control with weatherization and minor home repair services. CBOs are required to provide non-federal matching fund contributions of 10% of the \$2.3 million in funding. CBOs use various methods to identify potential low-income households for enrollment in the program. Some of these methods include referrals from federal and State-funded weatherization programs, local housing authorities, Childhood Lead Poisoning Prevention, and canvassing and outreach in the Target Counties and community events for the general public to disseminate information concerning lead hazards.

Table 37 LHCP Funding Distribution, Round XVIII

| Community-Based Organization | Counties Served | Contract Amount | Used as of 6-30-13 | Percentage Used as of 6-30-13 |
|--|--|--------------------|--------------------|-------------------------------|
| Community Resources Project | Sacramento, San Joaquin, Sutter & Yuba | \$230,000 | \$52,927 | 23% |
| Fresno Economic Opportunities Commission | Fresno | \$283,896 | \$41,268 | 15% |
| Maravilla Foundation | Los Angeles | \$640,889 | \$263,023 | 41% |
| Redwood Community Action Agency | Humboldt | \$301,595 | \$9,092 | 3% |
| San Bernardino County Community Services | San Bernardino | \$490,092 | \$77,682 | 16% |
| Total | | \$1,946,472 | \$443,992 | 23% |

Table 38 LHCP Goals and Outcomes, Numbers of Units, Round XVIII

| Community-Based Organization | Counties Served | Project Unit Goals | Units Completed as of 6/30/13 | Year-to-Date Percentage Completed |
|--|--|--------------------|-------------------------------|-----------------------------------|
| Community Resources Project | Sacramento, San Joaquin, Sutter & Yuba | 20 | 2 | 10% |
| Fresno Economic Opportunities Commission | Fresno | 28 | 1 | 4% |

| Community-Based Organization | Counties Served | Project Unit Goals | Units Completed as of 6/30/13 | Year-to-Date Percentage Completed |
|--|-----------------|--------------------|-------------------------------|-----------------------------------|
| Maravilla Foundation | Los Angeles | 68 | 7 | 10% |
| Redwood Community Action Agency | Humboldt | 32 | 0 | 0% |
| San Bernardino County Community Services | San Bernardino | 52 | 3 | 6% |
| Total | | 200 | 13 | 7% |

Round XVIII Lead Hazard Control Program Goals

Lead-Safe Housing for Low-Income Families and Their Children

The program's primary objective is to provide lead hazard control services to at least 200 pre-1978 housing units occupied by low-income households, targeting households with at least one child under the age of six residing in the residence or who is spending a significant amount of time visiting, lead hazard awareness education, maximizing resources by strengthening collaboration with local housing and health departments, increasing lead-safe rental opportunities for low-income households, expanding certified workforce in the local communities, and developing lasting lead-safe training resources.

Building Capacity of Community Action Agencies

CBOs participated in or conducted two community events for the general public to disseminate information concerning lead hazards. CBOs educated the public on lead-based paint awareness and prevention, and assisted local housing departments with inspections/risk assessments for elevated blood lead level referrals. Several CBOs participated in national, regional and local conferences to disseminate information on the importance of lead-safe work practices.

Tracking of Lead-Safe Housing

CSD continues to maintain the Lead-Safe Rental Registry on its website at www.csd.ca.gov. The directory provides the county location and address of units made lead safe under Round XVIII grants. This directory is accessible to the public and community-based agencies, to increase lead hazard awareness, and the demand for and availability of lead-safe housing in the target counties.

Leveraged Resources

To ensure that CBOs met HUD's required 10% non-federal match of the \$2.3 million in funding, agencies are required to match larger percentages of the net \$1.95 million provided to them. CBOs obtain match funding from various sources including owner's and third-party in-kind contributions. Due to the recession, leveraging resources has become a challenge for CBOs, however they have contributed so far a total of \$48,670 in match, or 19% of the Round XVIII appropriation.

Table 39 **LHCP Goals and Outcomes, Leveraged Resources, Round XVIII**

| Community-Based Organization and CSD | Match Goal | Match Received As of 6-30-13 | Percentage of Goal Amount |
|--|------------------|---------------------------------|------------------------------------|
| Community Resources Project | \$24,256 | \$2,340 | 10% |
| Fresno Economic Opportunities Commission | \$32,005 | \$3,046 | 10% |
| Maravilla Foundation | \$77,725 | \$25,938 | 33% |
| Redwood Community Action Agency | \$39,207 | \$0 | 0% |
| San Bernardino County Community Services | \$59,437 | \$12,693 | 21% |
| Other ²⁰ | \$20,000 | \$4,653 | 23% |
| Totals | \$252,630 | \$48,670 | 19% |

Monitoring

CSD continued to implement a quality assurance program that included review and approval of lead-based paint inspection/risk assessment reports, project designs and cost estimates. CSD continued to conduct periodic field visits to supervise work activities, and provided training and technical assistance. These visits and desk reviews assist CSD to ensure that the CBOs are in contractual compliance. CSD used its on-site monitoring tool to assist in the monitoring process.

Program Outreach

CBOs continued to perform community outreach through their federal and State-funded weatherization programs, referrals from local housing authorities, Childhood Lead Poisoning Prevention, and canvassing and outreach in the Target Counties.

²⁰ The "Other" match came from Richard Heath and Associates, third-party in-kind contribution.

CBOs participated in or conducted at least two community events for the general public to disseminate information concerning lead hazards. Once a unit is identified, CBOs commence the intake process by qualifying the occupant based on HUD current program income guidelines and CSD qualification standards, and then provide lead hazard control education to the occupant/owner, with an emphasis on having children under six who live in the housing unit tested for blood-lead levels. Lead hazard control education, such as the Environmental Protection Agency's booklet, Renovate Right: Important Lead Hazard Information for Families, Child Care Providers and Schools, was given to the occupant/owner. CBOs contacted approximately 5,533 individuals during their outreach efforts.

Assessment of Response to State Objectives

Objective 1: CSD will implement the HUD-Funded Round XVIII Grant

The first awards from this grant were made on July 1, 2012 (i.e., in FY 2012-13) totaling \$1.95 million. The grant will provide lead hazard control services to 200 low-income units in conjunction with weatherization services, and built collaborative working relationships with local Childhood Lead Poisoning Prevention programs, housing departments, and other partners to increase the effectiveness of responses to lead hazards in local communities.

Objective 2: CSD will monitor the performance of its network of agencies that provide LHCP and weatherization services to assure compliance with lead-safe work practices as outlined in CSD's Policies and Procedures Manual.

CSD implemented a quality assurance program that includes review and approval of lead-based paint inspections/risk assessments reports, project designs and cost estimates. CSD conducted periodic field visits to supervise work activities, and performed desk reviews for all CBOs.

Objective 3: CSD will provide a Lead Hazard Control Training and Certification Program to ensure CBOs are properly trained and certified to perform the work as approved by HUD.

CSD collaborates with consultants who retained a State-accredited lead-related construction trainer license approved by HUD to provide the following classes: Lead Work Certification, Inspector/Risk Assessor and Supervisor/Project Monitor.

Objective 4: CSD will partner with other State and local government entities to control lead hazards in California's housing.

CSD continued to seek opportunities to work with CDPH and the Office of Historic Preservation to leverage personnel resources in grant activities.

Objective 5: CSD will partner with HCD to ensure that the administration of HCD's federal loan and grant programs, CDBG, HOME and ESG, comply with 24 CFR Part 35 et al.

CSD continues to partner with HCD when there were opportunities to provide lead awareness training and/or lead-related construction courses.

Other Actions by the
State of California
To Promote Housing and
Community Development

III.

IV. OTHER ACTIONS

HCD and other agencies of the State of California took many additional actions in 2012-13 that directly and substantially promote affordable housing and address the underserved housing needs of the homeless, including homeless youth, veterans, seniors, mobile home residents, the homeless with disabilities, and other lower income households. The California 2010-2015 Consolidated Plan (pages 43-53 and 62-73) outlines other recent and ongoing actions to reduce barriers to affordable housing and meet underserved housing needs.

L. HOUSING ELEMENTS OF CITY AND COUNTY GENERAL PLANS (HCD DIVISION OF HOUSING POLICY DEVELOPMENT (HPD))

California law requires each city and county to have a housing element in its General Plan. The primary goals of California's housing element law are to increase housing supply and affordability and address existing housing needs. Additional goals include: conserving existing affordable housing stock; improving housing conditions; removing regulatory barriers to the development; improvement and maintenance of housing, expanding equal housing opportunities, and addressing the special housing needs of the State's most vulnerable residents (seniors, farmworkers, homeless and persons with disabilities). HPD's review of housing elements ensures that local governments use their zoning and land-use authority to provide opportunities for housing development and also not unduly constrain housing supply and choice. In 2012-13 HPD reviewed and issued written findings on 213 housing elements submitted by cities and counties. As of August 1, 2013 85 percent of California cities and counties had housing elements which were found to be in compliance with State law.

M. PUBLIC OUTREACH

1. DIVISION OF HOUSING POLICY DEVELOPMENT

During 2012-13, HCD's Housing Policy Development Division managers and staff conducted a variety of workshops, training meetings, public hearings, stakeholder round table discussions, conference calls and webinars to provide technical assistance to local governments and stakeholders in the development of housing element plans and policies as well as a variety of other policy related questions and concerns.

In addition, HPD staff made or participated in presentations related to housing issues at conferences, workshops and professional meetings during the year, such as the *League of California Cities Annual Exposition*; *Southern California Association of Non-Profit Housing (SCANPH)*, the *Nonprofit Housing Association of Northern California (NPH) Annual Housing Conferences*; *California Strategic Growth Council Meetings*; *Department of Water Resources – State Water Plan*

Steering Committee; Department of Water Resources Urban Level of Flood Protection Criteria Work Group; Metropolitan Planning Organizations (MPO) Planning Working Group; Regional Council of Governments Planning Committee Meetings (RHNA and Housing Elements); and Housing California 2013 Annual conference.

2. HCD DIVISION OF FINANCIAL ASSISTANCE (DFA)

During 2012-13, HCD's Division of Financial Assistance (DFA) managers and staff conducted more than a 70 workshops, training meetings, public hearings, stakeholder roundtable discussions, conference calls and webinars to seek input from our customers, and advise them on how to apply for HCD housing funds and how to manage them effectively once obtained. In addition, DFA and other HCD representatives attended and spoke or participated in panel discussions at 12 major housing and community development conferences and conventions during the year.

DFA issued 10 Notices of Funding Availability (NOFAs) during the fiscal year, and conducted or participated in 189 monitoring visits, groundbreakings, dedications and opening ceremonies for housing developments resulting from past awards. The division's Asset Management and Compliance unit helped to develop financial workout arrangements for 27 affordable rental housing projects totaling 1,056 units that had fallen into financial difficulty, and needed new management and financial arrangements to preserve them as affordable housing.

Even as private housing activity remained weak, the demand for HCD financial assistance remained strong. In FFY 2012-13, HCD awarded over \$32 million in Proposition 1C bond funds.

HCD's loans and grants go almost entirely to housing developers and service providers, rather than directly to lower income households. However, DFA has a staff member who responds to inquiries from individual Californians seeking affordable housing -- our ultimate customers. Requests come in several forms: letters to HCD or to the Governor that are forwarded to HCD for reply, or e-mail messages to HCD's website, or telephone calls.

Information is returned through the same channels to the people inquiring, with emphasis on paper mail and e-mail because of the size of the typical housing resource lists and packages that are sent. They may cover landlord-tenant rights and obligations, State and local housing agencies to contact, local first-time-homebuyer assistance programs, and/or affordable rental housing projects located in each county.

In 2012-13 the Department responded to 5,152 phone, email and written inquiries.

4. CALIFORNIA FINANCING COORDINATING COMMITTEE (CFCC)

The California Financing Coordinating Committee (CFCC) was formed in 1998 and is made up of eight funding agencies, five state and three federal. CFCC members facilitate and expedite the completion of various types of infrastructure projects by helping customers combine the resources of different agencies. Project information is shared between members so additional resources can be identified. CFCC members conduct free Funding Fairs (http://cfcc.ca.gov/funding_fairs.htm) statewide each year to educate the public and potential customers about the different member agencies and the financial and technical resources available. For general CFCC inquiries please e-mail ibank@ibank.ca.gov.

CFCC Member Agencies:

- State Water Resources Control Board
- California Department of Public Health
- United States Department of Agriculture
- California Department of Housing and Community Development
- California Department of Water Resources
- California Infrastructure and Economic Development Bank (I-Bank)
- United States Department of the Interior, Bureau of Reclamation (USBR)

N. OPERATION OF HCD'S STATE-FUNDED HOUSING FINANCIAL ASSISTANCE PROGRAMS

HCD's awards during 2012-13 were drawn from the following fund sources and made through the programs listed:

Table 40 Funding Sources for HCD Awards, 2012-13

| Funding Sources for HCD Awards FFY 2012-13 | Award Amounts |
|---|---------------|
| Proposition 1C State bond funds: programs funded include: | |
| Affordable Housing Innovation Program – Catalyst | \$0 |
| Affordable Housing Innovation Program – Local Housing Trust Funds | \$0 |
| Building Equity and Growth in Neighborhoods | \$0 |
| CalHome Program (General Funds) | \$38,911,000 |

| Funding Sources for HCD Awards FFY 2012-13 | Award Amounts |
|---|----------------------|
| CalHome Project Development Loans | \$0 |
| California Self-Help Housing Program | \$0 |
| Emergency Housing and Assistance Program Capital Development (part) | \$1,000,000 |
| Joe Serna, Jr., Farmworker Housing Grant Program | \$0 |
| Multifamily Housing Program – General | \$0 |
| Multifamily Housing Program – Homeless Youth | \$0 |
| Multifamily Housing Program -- Supportive Housing | \$0 |
| Housing Related Parks Program | \$0 |
| Proposition 1C Sub-Total | \$39,911,000 |
| Proposition 46 State bond funds: | |
| CalHome Program (General Funds) | \$10,000,000 |
| Federal ongoing programs: | |
| Community Development Block Grant | \$42,850,681 |
| Community Development Block Grant-Economic Development | \$5,016,216 |
| Federal Emergency Shelter Grant | \$5,003,933 |
| HOME Investment Partnerships Program | \$39,559,515 |
| Federal Sub-Total | \$102,430,345 |
| Federal temporary disaster recovery program: | |
| Community Development Block Grant – Disaster Recovery Initiative | \$1,080,000 |
| State General Fund: | |
| Office of Migrant Services Program | \$0 |
| Revolving funds (established from General Fund): | |
| Predevelopment Loan Program | \$0 |
| Total | \$143,421,345 |

Proposition 1C

California voters approved Proposition 1C on the November, 2006 statewide ballot, thereby extending America's largest State-funded affordable housing assistance effort.

Proposition 1C authorized \$2.85 billion more in General Obligation bonds to continue several important bond-funded housing assistance programs, and launch new infrastructure programs that support housing.

As of June 30, 2013, HCD had invested nearly \$1.95 billion in Proposition 1C funds with hundreds of public and private organizations to help build, rehabilitate, preserve

or contribute other incentives for more than 50,289 affordable housing units, including more than 3,149 shelter spaces. Approximately \$419 million in Proposition 1C funds remains available to HCD. The California Housing Finance Agency (CalHFA) has also awarded a portion of these funds.

Below are links to pages on the Governor's website that track the expenditure of Proposition 1C bond funds by program and by agency.

- The overview page <http://www.bondaccountability.hcd.ca.gov/ba.hcd?id=index> shows the funds available, awarded, and remaining (as of June 30, 2012).
- The accomplishments page <http://www.bondaccountability.hcd.ca.gov/ba.hcd?id=accomplishments> includes the number of awards and the projected outcomes by number and type of housing units.

Proposition 46 Nears Conclusion

The Legislature, Governor and voters approved Proposition 46 in November 2002, which authorized \$2.1 billion in State bonds for a variety of new housing investments, of which \$1.81 billion was allocated to HCD programs. By June 30, 2013, HCD had invested nearly all of these funds to build, rehabilitate, preserve or facilitate with incentives approximately 87,591 affordable housing units, including more than 10,432 shelter and dormitory spaces.

Approximately \$9 million remains, spread across several programs, or less than one-half of 1% of the \$2.1 billion originally authorized in 2002. Proposition 46 has extended beyond its originally expected lifetime due to the recession-caused slowdown in housing construction in 2008-12 and to the occasional recapture of previously awarded funds from projects that have not proceeded as planned.

O. FEDERAL FUNDS

In a time of recession and strained budgets, HCD and the housing organizations it serves were fortunate to be able to distribute \$90 million in federal funds in 2012-13 through long-time ongoing federal programs (CDBG, HOME, and ESG) with additional contributions from the Community Development Block Grant – Disaster Recovery Initiative (CDBG-DRI), a short-term “last resort” program to help finance recovery from the 2008 wildfires that devastated parts of California.

HCD began accepting CDBG-DRI applications on an over-the-counter basis in August 2010, administered by the regular CDBG program, and made six awards in FFY 2012-13 totaling just over \$1 million.

For more information on the programs identified above, see recent HCD “Financial Assistance Programs Annual Reports” in the “PUBLICATIONS” section at the

bottom of HCD's Division of Financial Assistance (DFA) web page at <http://www.hcd.ca.gov/fa/>.

For more information on the purposes, operating and eligibility criteria of these programs, go to HCD's DFA web page for an alphabetical listing of programs at <http://www.hcd.ca.gov/fa/>, or the "Financial Assistance Program Directory" at http://www.hcd.ca.gov/fa/Program_Directory_June%202012.pdf.

Altogether, these funds are expected to accomplish the following:

| No. Awards | Amount Awarded | Units Assisted or Regulated | New Housing Units |
|------------|----------------|-----------------------------|-------------------|
| 55 | \$90,928,440 | 4,395 | 289 |

These awards also helped to bring more than \$264 million from other sources into the projects assisted.

P. FORECLOSURE PREVENTION AND REMEDIATION

California was the second largest contributor to nation's foreclosure total in April 2013 reporting 16,161 properties with foreclosure filings, second only to the national leader, Florida, according to a May 9, 2013 report from RealtyTrac. It is important to note, however, the Golden State's foreclosure total represents a 13 percent decline from March 2013 and a 59 percent decrease from the level reported in April 2012. One in every 843 California housing units had a foreclosure filing during the month, the 13th highest state foreclosure rate in the nation.

Nationwide, foreclosure filings were reported on 144,790 properties in April 2013 – a decrease of 5 percent from the previous month and down 23 percent from April 2012. Total foreclosure activity in April 2013 was at the lowest level since February 2007, a 74 month low. Despite the nationwide decline, 22 States reported increasing foreclosure starts in April 2013 – including California with a 13 percent increase. Foreclosure starts were up on a monthly basis for the third consecutive month in California after hitting a 90 month low in January when new legislation impacting the foreclosure process, the California Homeowners Bill of Rights took effect.

The California Homeowner Bill of Rights became law on January 1, 2013 to ensure fair lending and borrowing practices for California homeowners.

The laws are designed to guarantee basic fairness and transparency for homeowners in the foreclosure process. Key provisions include:

- **Restriction on dual track foreclosure:** Mortgage servicers are restricted from advancing the foreclosure process if the homeowner is working on securing a loan modification. When a homeowner completes an application for a loan modification, the foreclosure process is essentially paused until the complete application has been fully reviewed.
- **Guaranteed single point of contact:** Homeowners are guaranteed a single point of contact as they navigate the system and try to keep their homes – a person or team at the bank who knows the facts of their case, has their paperwork and can get them a decision about their application for a loan modification.
- **Verification of documents:** Lenders that record and file multiple unverified documents will be subject to a civil penalty of up to \$7,500 per loan in an action brought by a civil prosecutor. Lenders who are in violation are also subject to enforcement by licensing agencies, including the Department of Business Oversight, the Bureau of Real Estate.
- **Enforceability:** Borrowers will have authority to seek redress of “material” violations of the new foreclosure process protections. Injunctive relief will be available prior to a foreclosure sale and recovery of damages will be available following a sale. **(AB 278, SB 900)**
- **Tenant rights:** Purchasers of foreclosed homes are required to give tenants at least 90 days before starting eviction proceedings. If the tenant has a fixed-term lease entered into before transfer of title at the foreclosure sale, the owner must honor the lease unless the owner can prove that exceptions intended to prevent fraudulent leases apply. **(AB 2610)**
- **Tools to prosecute mortgage fraud:** The statute of limitations to prosecute mortgage-related crimes is extended from one to three years, allowing the Attorney General’s office to investigate and prosecute complex mortgage fraud crimes. In addition, the Attorney General’s office can use a statewide grand jury to investigate and indict the perpetrators of financial crimes involving victims in multiple counties. **(AB 1950, SB 1474)**
- **Tools to curb blight:** Local governments and receivers have additional tools to fight blight caused by multiple vacant homes in their neighborhoods, from more time to allow homeowners to remedy code violations to a means to compel the owners of foreclosed property to pay for upkeep. **(AB 2314)**

The California Homeowner Bill of Rights marked the third step in California Attorney General Harris’ response to the state’s foreclosure and mortgage crisis. The Mortgage Fraud Strike Force was created in May 2011 to investigate and prosecute misconduct at all stages of the mortgage process. In February 2012, Attorney

General Harris secured a commitment from the nation's five largest banks for up to \$18 billion for California borrowers.

To address the growing foreclosure problem, the State launched a public awareness campaign to educate homeowners about options that can help them avoid losing their homes to foreclosure. The campaign, funded through existing consumer education efforts within the Business, Consumer Services and Housing Agency, does the following:

- informs borrowers about their options;
- urges borrowers to work with lenders before foreclosure;
- encourages the use of nonprofit housing counselors; and
- partners with local leaders and trusted organizations, like churches and community groups, to further the goals of the campaign.

Keep Your Home California Programs

The California Housing Finance Agency's Keep Your Home California programs provide nearly \$2 billion in federal funding to avoid foreclosure for approximately 95,000 borrowers and provide relocation assistance for another 6,500 people who lose their homes.

Primary objectives for the Keep Your Home California programs include:

- Preserving homeownership for low and moderate income homeowners in California by reducing the number of delinquencies and preventing avoidable foreclosures
- Assisting in the stabilization of California communities

Each of the Keep Your Home California programs is designed to address one or more aspects of the current housing crisis by doing the following:

- Helping **low and moderate income** homeowners retain their homes if they either have suffered a financial hardship such as unemployment, have experienced a change in household circumstance such as death, illness or disability, or are subject to a recent or upcoming increase in their monthly mortgage payment and are at risk of default because of this economic hardship when coupled with a severe decline in their home's value.
- Creating a simple, effective way to get federal funds to assist low and moderate income homeowners who meet one or all of the objective criteria described above. Speed of delivery will be balanced with fulfillment of the specific program's mission and purpose.
- Creating programs that have an immediate, direct economic and social impact on **low and moderate income** homeowners and their neighborhoods.

Under recent U.S. Treasury-approved program changes in these programs California homeowners who, through refinancing or home equity lines of credit accessed the equity in their homes, could now be eligible to receive assistance for the Unemployment Mortgage Assistance, Mortgage Reinstatement Assistance and Transition Assistance programs.

To date, 27,505 Californians have been eligible for at least one of Keep Your Home California programs. All the programs are designed specifically for low or moderate income homeowners who are either unemployed or are facing another financial hardship, have fallen behind on their mortgages, and owe significantly more than the value of their homes.

Specifically, the Keep Your Home California programs with expanded eligibility are:

- Unemployment Mortgage Assistance: Mortgage assistance of up to \$3,000 per month for unemployed homeowners who are collecting or approved to receive unemployment benefits from the State of California's Employment Development (EDD).
- Mortgage Reinstatement Assistance Program: Funds to help homeowners who have fallen behind on their mortgage payments due to a documented financial hardship. The program will provide up to \$25,000 per household to help qualified homeowners catch up on their mortgage payments.
- Transitional Assistance Program: Funds for relocation assistance for homeowners who have concluded that they don't have the resources to remain in their homes and have initiated a short sale or deed-in-lieu of foreclosure.
- Principal Reduction Program: Funds for eligible homeowners who have suffered a financial hardship and owe more than their home is worth. Financial assistance to help pay down the principal balance of a mortgage loan and allow for a more affordable monthly payment.
- Local Innovation Fund Program: The Local Innovation Fund Program was designed to allow local governments, non-profits and other entities across California the opportunity to tailor foreclosure prevention solutions to address their particular needs and geographic areas.

A full description of the programs can be found at www.KeepYourHomeCalifornia.org

Finally, HCD will continue to participate in meetings with other State departments, professional associations, including the Council of State Community Agencies, the California Rural Housing Coalition, the National Association of Housing and

Redevelopment Officials, the Association of California Redevelopment Agencies, the California Association for Micro-Enterprise Opportunity, the California Association for Local Economic Development and a host of other organizations that have an interest in the State's implementation of HUD-funded programs. These efforts facilitate discussion of potential program commonalities, maximize resources, integrate eligibility requirements where possible, share "best practices" and promote collaboration efforts at the local level.

Q. REDUCING OBSTACLES TO MEETING UNDERSERVED HOUSING NEEDS

1. ANALYSIS OF IMPEDIMENTS TO FAIR HOUSING (AI)

To maintain compliance with applicable HUD regulations and as a requirement of receiving federal funds, the Department conducts the Analysis of Impediments to Fair Housing Choice (AI) to describe California's existing fair housing conditions and implementation strategies for addressing the identified needs using State, local, private, and federal resources.

The Department released its latest Analysis of Impediments to Fair Housing (AI) in September 2012.

The AI broadly analyzes actions and conditions that may have the effect of restricting housing choice for people protected under State and federal fair housing laws. The AI not only identifies impediments to fair housing choice, but also makes recommendations to overcome the effects of those impediments and shall serve as the basis for fair housing planning, providing essential information to staff, policy makers, housing providers, lenders, and fair housing advocates, and assisting with garnering community support for fair housing efforts.

The AI presents a demographic profile for the State, regions and counties, assessing the extent of housing needs among specific income groups, and evaluating the availability of a range of housing choices for residents and analyzes the conditions in the private market and public sector that may limit the range of housing choices or impede a person's access to housing. In addition, extensive analysis was conducted on the implementation of the State administered CDBG and HOME programs including an analysis of disproportionate need based on income, ethnicity and poverty within State-CDBG eligible jurisdictions and identification of residential areas of over-representation for these groups to analyze the allocation and distribution of CDBG and HOME Program funded housing activities.

Through the analyses and conclusions included in the AI, HCD identified ten impediments and recommendations to help address these impediments, as appropriate. In identifying programmatic recommendations, the AI focuses on actions that are directly related to fair housing issues and can be implemented within the resources and authority of HCD and the State-eligible CDBG jurisdictions.

Table 41 Identified Impediments to Fair Housing

| | |
|----------------|--|
| Impediment #1 | Inadequate supply of affordable housing available to lower-income and minority households. |
| Impediment #2 | Community resistance to development of multi-family rental housing and housing for lower-income or minority households. |
| Impediment #3 | Shortage of subsidies and strategies to promote affordable, accessible housing for low, very low, and extremely low-income households, including protected classes. |
| Impediment #4 | Communities lack sufficient awareness of potential fair housing impediments, and ways to address those impediments. |
| Impediment #5 | Limited Coordination on Fair Housing Issues among State fair housing enforcement agencies. |
| Impediment #6 | Local development standards and their implementation e.g. zoning, building or design standards, may constrain development of housing opportunities for minority and low income households. |
| Impediment #7 | Low-income households may be at risk of displacement in areas subject to strong new development pressure or activity. |
| Impediment #8 | Inadequate access for minority households to housing outside of areas of minority concentration. |
| Impediment #9 | Minorities are being underserved by the State CDBG and HOME Programs in some instances. |
| Impediment #10 | Inadequate access to employment opportunities, transportation, public and social services infrastructure to support increased housing opportunities for lower income households. |

Progress on implementation of recommended actions to address each of the identified impediments is included as Appendix D

2. GOVERNOR'S HOMELESS INITIATIVE (GHI)

On August 31, 2005, Governor Schwarzenegger announced an initiative to end long-term homelessness in California by providing integrated permanent housing and services to the long-term homeless in partnership with local governments and the private sector by leveraging State funds for mental health services and housing available through Propositions 46, 1C and 63 (the Mental Health Services Act). The Governor directed HCD, the California Housing Finance Agency (CalHFA), and the Department of Mental Health (DMH) to develop an

integrated joint funding package to finance permanent supportive housing for chronically homeless persons with severe mental illness. Residents of this housing receive supportive services from county mental health departments, using Mental Health Services Act (MHSA) funds.

Since a NOFA was issued in November 2005, the GHI has awarded more than \$32 million to assist the development of 13 permanent supportive housing projects for chronically homeless persons with severe mental illness. These developments will provide 436 new and rehabilitated affordable housing units, including 253 units with social services. This effort directly contributes to the GHI's goal of ending long-term homelessness. Also during this eight-year period HCD's Emergency Solutions Grant program (ESG), Emergency Housing and Assistance Program (EHAP) and EHAP Capital Development component (EHAPCD) have awarded more than \$167 million to build, rehabilitate, operate and provide social services for homeless shelters and transitional housing developments throughout the state.

3. POLICY ACADEMY TO REDUCE CHRONIC HOMELESSNESS

California was selected in late 2012 as one of four States to participate in the federally-coordinated SAMHSA Policy Academy to Reduce Chronic Homelessness. Working with a federal team of experts, the Policy Academy is engaging State departments and agencies as well as local partners to increase State focus and leadership to reduce the number of chronic homelessness individuals in the State. Work to date includes: Two in-person Policy Academy meetings in April and July in Sacramento, with over 50 persons in attendance; over 25 stakeholder interviews, State-specific research on chronically homeless population and resources; and State/Federal/Local inter-agency meetings and work to identify specific collaborative opportunities. With a Policy Academy end date of late fall 2013, the draft work plan has several strategic goals: Expanding the use of mainstream resources for persons experiencing chronic homelessness or in permanent housing taking full advantage of the Affordable Care Act and Medi-Cal expansion to deliver flexible and integrated services; Increasing access to permanent supportive housing in the State's existing inventory by persons who are chronically homeless; and, in anticipation of a dedicated resource, designing an effective State approach to funding permanent supportive housing and investing in re-tooling of local crisis response systems. Focus group and expert consultation is currently underway to develop feasible approaches with the final in-person Policy Academy meeting planned for October 2013.

4. MENTAL HEALTH SERVICES ACT (MHSA) HOUSING PROGRAM

The MHSA housing program offers permanent financing loans and capitalized operating subsidies for the development of permanent supportive housing, including both rental and shared housing, for persons with serious mental illness

who are homeless or at risk of homelessness. The design of MHSA is based on the earlier Governor's Housing Initiative. Like GHI, it is jointly administered by CalHFA and DMH, and is aimed at serving the same client group. HCD's bond-funded Multi-Family Housing Program – Supportive Housing (MHP-SH) has assisted a number of projects that also received MHSA support.

County mental health agencies also receive shares of MHSA funding to develop and operate supportive housing. CalHFA administers the real estate and capital development components of county projects, while DMH oversees supportive services plans for county projects.

The greatest difference between GHI and MHSA is the scale of funding. Whereas GHI received a one-time infusion of \$40 million in redirected funds, MHSA is backed by Proposition 63 of 2004, which imposes an additional 1 percent tax on taxpayers with personal incomes above \$1 million. A total of \$400 million has been set aside for initial funding of the program, with each county mental health department in California receiving a share. MHSA is organized to support the expansion of a variety of State and local mental health services and facilities, with the housing program expected to get a substantial share.

Since the program's inception in 2007 through September 30, 2012, 159 applications have been received from 37 counties; and of these applications, 138 have received loan approval. These approved applications will create more than 1,926 units of supportive housing for the mentally ill homeless community.

5. STATE HOUSING ELEMENT LAW AND OTHER EFFORTS

HCD continues to utilize State housing element law to encourage local governments to implement land-use policies that encourage fair housing and the constructions of affordable housing. Housing element law requires all jurisdictions to provide appropriate zoning to accommodate the housing needs of all income groups; to have a fair housing program that actively promotes citizen education; and to identify constraints to the maintenance, improvement, or development of housing for all income levels, including housing for persons with disabilities.

Recent Legislative Changes

Developmentally Disabled: Housing elements must include an analysis of the special housing needs of the disabled including persons with developmental disabilities. Special needs groups often spend a disproportionate amount of their income to secure safe and decent housing and are sometimes subject to discrimination based on their specific needs or circumstances. Chapter 507, Statutes of 2010 (SB 812), which took effect January 2011, amended State housing element law to require the analysis of the disabled to include an evaluation of the special housing needs of persons with developmental

disabilities. In accordance with Government Code Section 65583(e), any draft housing element submitted to the Department after March 31, 2011 is required to comply with SB 812. The requisite analysis must include an estimate of the number of persons with developmental disabilities, an assessment of the housing need, and a discussion of potential resources.

Beneficial Impact: Housing elements must include a schedule of actions to implement the jurisdiction's housing policies. Chapter 728, Statutes of 2008 (SB 375) strengthens existing housing element program requirements, clarifying program actions within a local jurisdiction's housing element must include implementation timelines such that there will be beneficial impacts within the planning period (Government Code Section 65583(c)).

The intent of this new requirement is to ensure programs are effective in addressing housing needs in the planning period to better assist in meeting the objective of SB 375. Each program must include a definitive date or deadline, or benchmarks for implementation early enough in the planning period to realize "beneficial impacts" and successful program implementation within the planning period.

R. PUBLIC HOUSING RESIDENT INITIATIVES

The State does not own or operate public housing. Public housing is administered directly through local Public Housing Authorities (PHAs). Therefore, the State has no involvement with public housing residents.

S. OTHER AGENCIES

See the California Financing Coordinating Committee (CFCC), above.

1. FEDERAL AND STATE INTERAGENCY COUNCILS ON HOMELESSNESS

In February 2011, HCD recommended to the Governor's Office that the Administration should designate a lead agency to serve as the State's liaison with the U.S. Interagency Council on Homelessness, and should reactivate the State Interagency Council on Homelessness. HCD has been designated as California's representative on the federal Council.

The original State council, known as the Governor's Inter-Agency Council on Homelessness, was established by a Governor's order, comprised of public, private and non-profit entities committed to ending long-term homelessness, ensuring coordination of efforts, and maximizing the use of resources. It was never abolished, but has not met for some time. State legislation in 2011, Assembly Bill AB 1167 (Fong), proposed to create the California Interagency Council on Homelessness and specify its duties, including developing and

biannually updating a plan to end homelessness. This Legislation has not yet been enacted.

2. INSTITUTIONAL STRUCTURE AND INTERGOVERNMENTAL COOPERATION

Several State agencies administer financial assistance to improve housing and community development: HCD and CalHFA invest State and federal funds through a variety of programs, and in the Treasurer's Office, TCAC and the **California Debt Limit Allocation Committee (CDLAC)** allocate California's share of, respectively, federal low income housing tax credits and federal-tax-exempt mortgage revenue bonds. During 2012-13 as in prior years, these agencies and others collaborated extensively with one another and with local public agencies that implement many of these programs.

The following examples list some of HCD's intergovernmental cooperation arrangements, but do not necessarily reflect the full range of State intergovernmental arrangements that promote housing and community development:

- **HCD and CalHFA** continue to use a Universal Application for project development funding that the agencies developed jointly several years ago. The Governor's 2012 State government reorganization plan proposed the merger of HCD and CalHFA, under the HCD name, in a new Business and Consumer Services Agency. Representatives of the two agencies are meeting to plan the consolidation.
- **HCD's** Director serves on the board of **CalHFA**, and also serves as an ex officio member of **TCAC and CDLAC**, as does the Director of CalHFA.
- **HCD, the Department of Public Health, and the Department of Community Services and Development** administers the five federal assistance programs which are reported on in this CAPER. These agencies collaborate on this document, and on the State Consolidated Plan and the Annual Plan. They also coordinate with other program providers, local, other State, and federal governmental entities, non- and for-profit entities, professional organizations, interest groups, and other parties interested in the implementation of federal programs.
- HCD sponsors annual workshops at regional locations regarding program application procedures and grant management requirements for the various federal programs. HCD staff participate in meetings with professional associations, including the **League of California Cities, the Rural Builders Council of California, the California County Commissioners Association, the California County Planning Directors Association, the Building Industry Association, the California Redevelopment Association, the American Planning Association, the California Coastal Commission, Southern California Association of Governments** and other entities interested in State implementation of HUD programs.

- Beginning with Proposition 46 and continuing with Proposition 1C, **California voters and the Legislature** have created more than a dozen new State-bond-funded housing and community development programs. Each program requires the development of an administrative design and operating criteria. HCD typically begins these processes by convening stakeholders meetings around the State, open to all interested parties, to discuss how to implement new programs. These meetings typically include representatives of city and county governments and nonprofit and for-profit developers.
- Proposition 63 has revitalized and expanded the provision of housing and supportive services to the homeless mentally ill. Implementation has involved **HCD, CalHFA and the Department of Mental Health** (see details under the Governor's Homeless Initiative and the Mental Health Services Act housing program, above).
- HCD has a statutory role to advise the State **Department of General Services** (DGS) on how much to reduce the prices of parcels of surplus State land when they are purchased from DGS to be used for affordable housing developments. In past instances, HCD has recommended reduced site prices based on the subsidy value to be provided by the proposed development in the form of below-market rents. HCD also recently advised DGS and the Department of Developmental Services (DDS) on the use of State surplus land at the former Fairview Developmental Center for affordable housing.
- Working with the California Department of Transportation (Caltrans), HCD has provided information on surplus State lands to affordable housing developers. State surplus lands can be made available for affordable housing projects at a reduced price, helping to make the project rents lower.
- Before most HCD loan and grant decisions are made, staff recommendations are reviewed by the **Local Assistance Loan and Grant Committee**, an appointed panel of public officials, developers and lenders that meets periodically to advise HCD's Director on funding decisions. The Committee adds an additional, valuable perspective on the financial, technical and policy issues of the proposals it reviews.
- Since the mid-1990s, HCD has had a contractual arrangement with the State **Department of Developmental Services** to assist DDS with the development and operation of housing for developmentally disabled persons. The interagency agreement began with HCD reviewing development applications on behalf of DDS, making the awards, preparing and executing the contracts, etc. Since about 2000, HCD has provided expert technical assistance and asset management services, including periodic documentary and onsite monitoring of the physical, fiscal and operating management of 54 assisted units in 14 projects.
- HCD's Division of Codes and Standards oversees several State building

and housing codes, affecting conventional, manufactured and employee housing, that are administered in partnership with **city and county building officials**.

- HCD's Division of Housing Policy Development (HPD) reviews and comments on the **housing elements of city and county General Plans**, to determine their compliance with criteria in State law. This regulatory role is supplemented by technical assistance to local officials on housing planning and redevelopment law, and on best practices in these fields. (for details, see above)
- The California Enterprise Zone Program (EZ) was transferred to HCD by law in 2004. The program stimulates business investment and job creation in State-designated economically distressed zones, by granting State income tax credits to individuals and corporations that hire disadvantaged individuals in designated zones. HCD EZ representatives participate in meetings of the **California Association of Enterprise Zones**, which has a board made up of eleven local government EZ officials and three business advisors, and provides feedback to HCD on its administration of the program.
- **Caltrans** helped **HCD** design the HCD-administered Transit-Oriented Development (TOD) housing program, and loaned HCD a staff person to help review the second round of funding applications.

The financing of affordable rental housing developments now typically requires funds from two or more sources. This is true of most HCD rental loan and grant programs. In 2011-12, for example, \$419 million in HCD loans and grants were partnered with \$785 million – nearly twice as much – in funds from other sources. Other funds for HCD-assisted projects frequently come from the **CalHFA and TCAC** (see below), the **U.S. Department of Housing and Urban Development (HUD)**, the **U.S. Department of Agriculture's Rural Development arm (RD)**, **local government redevelopment and housing agencies**, and **private nonprofit and for-profit lenders**.

HCD, TCAC, CDLAC and CalHFA embarked in 2012 on a large-scale California affordable housing cost study, the first since 1993. With subsidies per unit continuing to increase, and future access to development funding uncertain, the cost of affordable housing is an ongoing issue across the country. Analysis and comparison of subsidized and market-rate multifamily developments during the past eight to ten years may identify policies or procedures to control costs in a variety of ways.

3. CALIFORNIA HOUSING FINANCE AGENCY (CALHFA)

CalHFA was created in 1975 as the State's affordable housing bank. CalHFA is the third largest State-chartered bank in California. CalHFA's current \$14 billion

five-year business plan is expected to produce 75,000 jobs, finance 7,000 newly constructed homes, and create affordable housing for 105,000 Californians.

CalHFA differs from HCD in generating loan funds primarily through the issuance of revenue bonds, and in focusing primarily, but not exclusively, on below-market conventional mortgage financing of single-family homeownership. CalHFA also operates a Multifamily Division, and works with HCD and DMH to address chronic homelessness through the GHI and MHSA programs (see above). In addition, CalHFA invests portions of the housing bond funds approved by Propositions 46 and 1C.

The Governor's 2012 State government reorganization plan proposed the merger of HCD and CalHFA, under the HCD name, in a new Business and Consumer Services Agency. Representatives of the two agencies are planning the consolidation.

General CalHFA information is available at <http://www.calhfa.ca.gov/>

T. TAX CREDIT ALLOCATION COMMITTEE (TCAC)

The California Tax Credit Allocation Committee ("Committee" or "TCAC"), an arm of the State Treasurer's office, administers two low income housing tax credit programs – a federal program and a State program. Both programs were authorized to encourage private investment in affordable rental housing for households meeting certain income requirements.

When a new tax credit allocation is received by the State from the federal government, distribution commences along with State low-income housing tax credits, which are often awarded in conjunction with federal tax credits. The Qualified Allocation Plan (QAP) and TCAC regulations govern the administration of federal and State tax credits. The QAP promotes the coordination of federal and State tax credits with other housing programs including HOME (reported on in this CAPER). For example, priorities for allocating State credits include the following:

- HUD HOME program funds are a source of funds, with eligible basis limited to the amount of unadjusted basis; or,
- HUD HOME program funds are a source of funds and a State credit is needed to satisfy HOME match requirements. The local jurisdiction or CHDO provides an explanation of why other sources are not available to provide matching funds.

More information about TCAC is available at <http://www.treasurer.ca.gov/ctcac/>. The tax credit programs are outlined at <http://www.treasurer.ca.gov/ctcac/tax.asp>.

Table 42 TCAC Totals - July 1, 2013 ²¹

Federal (9%) Credit Awards

Annual Federal Credits Awarded: \$44,924,996
Total State Credits Awarded: \$29,433,213
Projects Awarded: 41
Total Number of Units: 2,756
Total Number of Low Income Units: 2,708

Federal (4%) Plus State Credit Awards

Annual Federal Credits Awarded: \$2,064,643
Total State Credits Awarded: \$6,790,328
Projects Awarded: 5
Total Number of Units: 264
Total Number of Low Income Units: 258

Federal (4%) With Tax-Exempt Bond Financing Awards

Annual Federal Credits Awarded: \$31,246,982
Projects Awarded: 37
Total Number of Units: 4,389
Total Number of Low Income Units: 4,168

U. GOVERNOR AND LEGISLATURE: NOTABLE HOUSING LEGISLATION

During 2012 the California Legislature passed and the Governor signed into law several bills that are listed below in bill number order, Assembly bills first, to promote affordable, safe and environmentally appropriate housing:²²

AB 232 (Perez, V., Chapter 386, Statutes of 2012) Community Development Block Grant Program - Enables CDBG-related state statute to maintain alignment with federal criteria without the need for repeated statute updates as federal criteria is revised.

²¹ <http://www.treasurer.ca.gov/ctcac/>

²² Legislation Taking Effect on January 1, 2013 unless an urgency clause is noted. List is current as of 8/16/13.

AB 1484 (Committee on Budget, Chapter 26, Statutes of 2012) Redevelopment

- Makes a number of changes to provisions enacted in 2011 that eliminated redevelopment agencies and provided for the management of the former agencies' affairs. Among the bill's provisions is greater clarification as to how the housing assets of former redevelopment agencies may be used by entities that elect to retain the authority to perform the housing functions of the former redevelopment agencies. The bill also makes changes to the procedures that provide oversight of the actions of the successor agencies to the former redevelopment agencies by both the successor agencies' oversight boards and by the Department of Finance. Because this bill had an urgency clause, it took effect June 28, 2012.

AB 1585 (Perez, J., Chapter 777, Statutes of 2012) Transit Oriented

Development - Appropriated \$25 million from the Regional Planning, Housing, and Infill Incentive Account, \$25 million from the Transit-Oriented Development Implementation Fund, any specified monies that become disencumbered and re-deposited in the Regional Planning, Housing, and Infill Incentive Account during the 2012-2013 and 2013-2014 fiscal years, and any specified monies that become disencumbered and re-deposited in the Transit-Oriented Development Account during the 2012-2013 fiscal year to HCD. The appropriated funds will be used for infill incentive grants and transit-oriented grants and loans. Also specifies the order in which entities may take over responsibility for housing functions previously performed by a former redevelopment agency.

AB 1672 (Torres, Chapter 779, Statutes of 2012) Housing Related Parks

Program - Changed the definition of a housing unit for the purposes of the Housing Related Parks Program (HRPP). Specifically, the bill provides that housing permits, as opposed to housing starts, be used to determine the number of qualifying units. The bill also allows units that have been substantially rehabilitated, preserved, or acquired with committed assistance from the local government that are affordable to very low or low income households. This bill also removes a requirement that HRPP awards may be disbursed only upon documentation of the completion of the qualifying units.

AB 1699 (Torres, Chapter 780, Statutes of 2012) Affordable Housing -

Authorizes HCD to adjust loan terms under specified conditions in several State financed rental housing programs. Also authorizes HCD, at its discretion, to charge monitoring and transaction fees related to loans adjusted under these provisions. HCD must share information online regarding adjusted loans as specified.

AB 1797 (Torres, Chapter 558, Statutes of 2012) Mobilehome Park Purchase

Fund - Permits HCD to lower the interest rate on loans from the Mobilehome Park

Purchase Fund (MPPF) to less than the statutory three percent per annum if HCD determines a lower interest rate is necessary and will not jeopardize the MPPF. Also permits HCD to provide technical assistance to loan applicants, or to contract with a qualified nonprofit entity to provide such assistance, and to include those costs in the loan principal.

AB 1951 (Atkins, Chapter 784, Statutes of 2012) Housing Bonds - Deletes statutory language establishing two programs to be funded through the Affordable Housing Innovation Fund, which was created by the Housing and Emergency Shelter Trust Fund Act of 2006 (Proposition 1C). The two programs are the Practitioner Fund, which was allocated \$25 million, and the Construction Liability Insurance Reform Pilot Program, which was allocated \$5 million. These provisions direct HCD to make the \$30 million previously allocated to the two programs available on a continuous basis to MHP, and specifically award bonus points to those projects that serve special needs populations including persons with developmental disabilities such as autism, and homeless veterans. Also reduces the allocation to the Affordable Housing Revolving Development and Acquisition Program from \$50 million to \$25 million.

AB 2308 (Torres, Chapter 58, Statutes of 2012) Land Use: housing element: regional housing need - Allows a local government to reduce its share of the regional housing need, for the purpose of preparing its housing element, by the number of units built between the start of the projection period and the due date of the housing element and requires a local government to include in its housing element a description of the methodology for assigning the units to an income category.

SB 1394 (Lowenthal, Chapter 420, Statutes of 2012) Dwelling Safety: carbon monoxide and smoke detectors - Codifies several recommendations from the California State Fire Marshal's Task Force on Smoke Alarms adopted in August of 2011 and would require the Department of Housing and Community Development to approve new installation standards for hotels and motels for carbon monoxide detectors.

SB 1520 (Calderon, Chapter 766, Statutes of 2012) State Government: administrative efficiency - Amends the Administrative Procedures Act by requiring that the statement of reasons included in the procedure for the adoption, amendment, or repeal of regulations by State agencies and for the review of those regulatory actions by the Office of Administrative Law to include a standardized impact analysis for each major regulation proposed on or after November 1, 2013.

**HOME Program:
Tenant Assistance/Relocation
Provisions**

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APPENDIX A HOME PROGRAM: TENANT ASSISTANCE/RELOCATION PROVISIONS

Following are descriptions of how HOME addresses four tenant relocation and assistance requirements:

Steps taken to minimize displacement in projects assisted by HOME

Application and contract management meetings continue to emphasize the importance of selecting projects that are available for construction or rehabilitation without relocating residents. The costs of relocation are highlighted so that potential applicants understand the need to consider these costs when determining project feasibility. To minimize displacement of residential tenants, contractors are encouraged to purchase only property that is vacant, including single family residences that are vacant for at least three months, to plan for rehabilitation to minimize or eliminate temporary or permanent relocation, and to budget adequately for relocation costs.

Steps taken to (a) identify in a timely manner all persons who occupy the site of a project assisted by HOME, (b) determine whether they will be permanently displaced as a result of the project; (c) ensure issuance of timely information notices to them, and (d) identify the entity issuing notices in connection with projects carried out by a third party (e.g., private-owner rehabilitation).

The State requires contractors, whose activities may trigger relocation to submit relocation plans, describing the relocation needs of the projects at application stage and again prior to setting up the projects. HOME reviews all material submitted by CHDOs and State Recipients for actions that may involve relocation, including copies of General Information Notices, and other required relocation forms. Recipients are advised of any additional requirements. At contract management meetings held after awards are made and contracts executed, HOME contractors are informed about relocation law, including the timing of notices. The meetings are supported by a Contract Management Manual which contains detailed, updated information regarding relocation and other Federal overlay issues. Notices of relocation requirements are issued by CHDOs and State Recipients where projects are carried out by third parties.

Steps taken to determine (a) causes of any displacement (e.g., acquisition, rehabilitation) of households, businesses and nonprofit organizations indicated in Part V of Form HUD-40107, that occurred during the reporting period, (b) whether the financial assistance was at Uniform Relocation Act levels, the levels under section 104(d) of the Housing and Community Development Act of 1974, as amended, or at levels provided under an optional relocation policy (if the latter, attach a copy of optional policies), and (c) the extent to which assistance was

provided through tenant-based rental assistance (e.g., Section 8 Rental Certificates or Vouchers).

Projects are monitored to determine whether (a) any tenant displacement is caused by the acquisition or rehabilitation of units with HOME funds; (b) relocation financial assistance was provided at Uniform Relocation Act levels or Section 104(d) levels, when applicable, based on information available from monitoring contractors; and (c) the extent to which Section 8 or Housing Choice Voucher (HCV) rental assistance was provided by contractors. If the project receives HOME funds, the requirements of 24 CFR 92.353 must also be met.

Steps taken to coordinate housing assistance with the delivery of services to occupants of project sites, whether or not displaced, including a description of special services provided.

Monitoring during the reporting period may confirm permanent displacement, temporary displacement or other situations that require relocation noticing or other special services. HOME recommends that contractors provide the following services: housing information to help displaced persons or entities find another suitable and affordable dwellings; financial assistance to ensure that temporary or permanent replacement housing is affordable and attainable; temporary benefits such as reimbursement of hotel and meal costs for temporary displacement during rehabilitation; and information about the availability of special services, such as childcare, special educational opportunities and supportive services. To ensure all relocation laws are followed, HOME requires accurate records of notices, claim forms, tenant contact information, and other required data to be kept available for relocation monitoring and verification.

**Geographic Distribution of
CDBG, HOME and HOPWA Program
Awards, 2012-13**

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APPENDIX B GEOGRAPHIC DISTRIBUTION OF 2012-13 CDBG, HOME AND HOPWA PROGRAM AWARDS

| Geographic Distribution by Region | CDBG Award | HOME Award | HOPWA Award | All Program Awards |
|---|--------------------|--------------------|------------------|--------------------|
| Region One: Los Angeles Metropolitan Region | | | | |
| City of Imperial | \$2,370,000 | \$0 | \$0 | \$2,370,000 |
| County of Imperial | \$0 | \$0 | \$63,821 | \$63,821 |
| Total Imperial County | \$2,370,000 | \$0 | \$63,821 | \$2,433,821 |
| City of Carson | \$0 | \$700,000 | \$0 | \$700,000 |
| City of Gardena | \$0 | \$2,974,115 | \$0 | \$2,974,115 |
| Total Los Angeles County | \$0 | \$3,674,115 | \$0 | \$3,674,115 |
| City of Buena Park | \$0 | \$700,000 | \$0 | \$700,000 |
| Total Orange County | \$0 | \$700,000 | \$0 | \$700,000 |
| City of Calimesa | \$44,245 | \$508,400 | \$0 | \$552,645 |
| Total Riverside County | \$44,245 | \$508,400 | \$0 | \$552,645 |
| City of Upland | \$0 | \$700,000 | \$0 | \$700,000 |
| Total San Bernardino County | \$0 | \$700,000 | \$0 | \$700,000 |
| County of Ventura | \$0 | \$0 | \$271,280 | \$271,280 |
| Total Ventura County | \$0 | \$0 | \$271,280 | \$271,280 |
| Region One Totals: Los Angeles Metropolitan Region | \$2,414,245 | \$5,582,515 | \$335,101 | \$8,331,861 |
| Region Two: Bay Area Metropolitan Region | | | | |
| Total Alameda County | \$0 | \$0 | \$0 | \$0 |
| Total Contra Costa County | \$0 | \$0 | \$0 | \$0 |
| Total Marin County | \$0 | \$0 | \$0 | \$0 |
| City of Calistoga | \$46,073 | \$0 | \$0 | \$46,073 |
| City of Napa | \$0 | \$700,000 | \$0 | \$700,000 |
| City of St. Helena | \$99,948 | \$0 | \$0 | \$99,948 |
| Queen of the Valley Medical Center | \$0 | \$0 | \$64,383 | \$64,383 |
| Total Napa County | \$146,021 | \$700,000 | \$64,383 | \$910,404 |
| Total San Mateo County | \$0 | \$0 | \$0 | \$0 |
| Total Santa Clara County | \$0 | \$0 | \$0 | \$0 |
| County of Solano | \$400,000 | \$0 | \$0 | \$400,000 |
| Planned Parenthood - Shasta Diablo | \$0 | \$0 | \$404,980 | \$404,980 |
| Total Solano County | \$400,000 | \$0 | \$404,980 | \$804,980 |
| Face to Face/Sonoma County AIDS Network | \$0 | \$0 | \$423,752 | \$423,752 |
| Total Sonoma County | \$0 | \$0 | \$423,752 | \$423,752 |
| Region Two Totals: Bay Area Metropolitan Region | \$546,021 | \$700,000 | \$893,115 | \$2,139,136 |
| Region Three: Sacramento Metropolitan Region | | | | |
| Total El Dorado County | \$0 | \$0 | \$0 | \$0 |
| Total Sacramento County | \$0 | \$0 | \$0 | \$0 |
| County of Placer | \$0 | \$700,000 | \$0 | \$700,000 |
| Total Placer County | \$0 | \$700,000 | \$0 | \$700,000 |
| Caring Choices | \$0 | \$0 | \$16,431 | \$16,431 |
| Total Sutter County | \$0 | \$0 | \$16,431 | \$16,431 |

| Geographic Distribution by Region | CDBG Award | HOME Award | HOPWA Award | All Program Awards |
|---|---------------------|--------------------|--------------------|---------------------------|
| City of West Sacramento | \$100,000 | \$0 | \$0 | \$100,000 |
| Total Yolo County | \$100,000 | \$0 | \$0 | \$100,000 |
| County of Yuba | \$900,000 | \$0 | \$0 | \$900,000 |
| Caring Choices | \$0 | \$0 | \$13,630 | \$13,630 |
| Total Yuba County | \$900,000 | \$0 | \$13,630 | \$913,630 |
| Region Three Totals: Sacramento Metropolitan Region | \$1,000,000 | \$700,000 | \$30,061 | \$1,730,061 |
| Region Four: Central Valley Metropolitan Region | | | | |
| City of Clovis | \$0 | \$700,000 | \$0 | \$700,000 |
| City of Firebaugh | \$794,703 | \$0 | \$0 | \$794,703 |
| City of Huron | \$1,983,375 | \$0 | \$0 | \$1,983,375 |
| City of Orange Cove | \$1,056,664 | \$0 | \$0 | \$1,056,664 |
| City of Parlier | \$500,000 | \$0 | \$0 | \$500,000 |
| County of Fresno | \$0 | \$0 | \$304,022 | \$304,022 |
| Total Fresno County | \$4,334,742 | \$700,000 | \$304,022 | \$5,338,764 |
| City of Delano | \$0 | \$700,000 | \$0 | \$700,000 |
| County of Kern | \$0 | \$0 | \$415,209 | \$415,209 |
| Total Kern County | \$0 | \$700,000 | \$415,209 | \$1,115,209 |
| City of Avenal | \$1,100,000 | \$0 | \$0 | \$1,100,000 |
| City of Corcoran | \$1,100,000 | \$700,000 | \$0 | \$1,800,000 |
| City of Lemoore | \$0 | \$700,000 | \$0 | \$700,000 |
| County of Kings | \$0 | \$0 | \$64,759 | \$64,759 |
| Total Kings County | \$2,200,000 | \$1,400,000 | \$64,759 | \$3,664,759 |
| City of Chowchilla | \$0 | \$700,000 | \$0 | \$700,000 |
| County of Madera | \$0 | \$0 | \$46,458 | \$46,458 |
| Total Madera County | \$0 | \$700,000 | \$46,458 | \$746,458 |
| Total Merced County | \$0 | \$0 | \$0 | \$0 |
| County of Mariposa | \$1,630,000 | \$0 | \$0 | \$1,630,000 |
| County of Madera for Mariposa County | \$0 | \$0 | \$3,144 | \$3,144 |
| Total Mariposa County | \$1,630,000 | \$0 | \$3,144 | \$1,633,144 |
| County of San Joaquin | \$0 | \$0 | \$318,897 | \$318,897 |
| Total San Joaquin County | \$0 | \$0 | \$318,897 | \$318,897 |
| Community Impact Central Valley | \$0 | \$0 | \$186,300 | \$186,300 |
| Total Stanislaus County | \$0 | \$0 | \$186,300 | \$186,300 |
| City of Dinuba | \$540,541 | \$700,000 | \$0 | \$1,240,541 |
| City of Exeter | \$1,100,000 | \$700,000 | \$0 | \$1,800,000 |
| City of Woodlake | \$1,000,000 | \$0 | \$0 | \$1,000,000 |
| County of Tulare | \$1,100,000 | \$0 | \$0 | \$1,100,000 |
| Family Services of Tulare County | \$0 | \$0 | \$70,860 | \$70,860 |
| Total Tulare County | \$3,740,541 | \$1,400,000 | \$70,860 | \$5,211,401 |
| Region Four Totals: Central Valley Metropolitan Region | \$11,905,283 | \$4,900,000 | \$1,409,649 | \$18,214,932 |
| Region Five: San Diego Metropolitan Region | | | | |
| Total San Diego County | \$0 | \$0 | \$0 | \$0 |
| Region Five Totals: San Diego Metropolitan Region | \$0 | \$0 | \$0 | \$0 |
| Region Six: Central Coast Metropolitan Region | | | | |
| City of Gonzales | \$400,000 | \$0 | \$0 | \$400,000 |

| Geographic Distribution by Region | CDBG Award | HOME Award | HOPWA Award | All Program Awards |
|---|---------------------|---------------------|--------------------|---------------------------|
| City of Marina | \$400,000 | \$0 | \$0 | \$400,000 |
| County of Monterey | \$1,036,400 | \$0 | \$0 | \$1,036,400 |
| Central Coast HIV/AIDS Services | \$0 | \$0 | \$211,641 | \$211,641 |
| Total Monterey County | \$1,836,400 | \$0 | \$211,641 | \$2,048,041 |
| County of San Benito | \$500,000 | \$0 | \$0 | \$500,000 |
| Total San Benito County | \$500,000 | \$0 | \$0 | \$500,000 |
| San Luis Obispo County AIDS Support Network | \$0 | \$0 | \$152,044 | \$152,044 |
| Total San Luis Obispo County | \$0 | \$0 | \$152,044 | \$152,044 |
| Pacific Pride Foundation, Inc. | \$0 | \$0 | \$97,675 | \$97,675 |
| AIDS Housing Santa Barbara | \$0 | \$0 | \$72,671 | \$72,671 |
| Total Santa Barbara County | \$0 | \$0 | \$170,346 | \$170,346 |
| County of Santa Cruz | \$1,600,000 | \$700,000 | \$0 | \$2,300,000 |
| Santa Cruz Community Counseling Center | \$0 | \$0 | \$140,502 | \$140,502 |
| Total Santa Cruz County | \$1,600,000 | \$700,000 | \$140,502 | \$2,440,502 |
| Region Six Totals: Central Coast Metropolitan Region | \$3,936,400 | \$700,000 | \$674,533 | \$5,310,933 |
| Region Seven: Northern California Metropolitan Region | | | | |
| City of Gridley | \$0 | \$2,180,000 | \$0 | \$2,180,000 |
| City of Oroville | \$1,400,000 | \$700,000 | \$0 | \$2,100,000 |
| County of Butte | \$252,703 | \$0 | \$0 | \$252,703 |
| Caring Choices | \$0 | \$0 | \$65,389 | \$65,389 |
| Town of Paradise | \$0 | \$700,000 | \$0 | \$700,000 |
| Total Butte County | \$1,652,703 | \$3,580,000 | \$65,389 | \$5,298,092 |
| County of Colusa | \$6,600,136 | \$0 | \$0 | \$6,600,136 |
| Caring Choices | \$0 | \$0 | \$1,006 | \$1,006 |
| Total Colusa County | \$6,600,136 | \$0 | \$1,006 | \$6,601,142 |
| City of Orland | \$877,195 | \$0 | \$0 | \$877,195 |
| County of Glenn | \$1,900,000 | \$0 | \$0 | \$1,900,000 |
| Caring Choices | \$0 | \$0 | \$5,679 | \$5,679 |
| Total Glenn County | \$2,777,195 | \$0 | \$5,679 | \$2,782,874 |
| City of Shasta Lake | \$311,398 | \$0 | \$0 | \$311,398 |
| County of Shasta | \$50,000 | \$0 | \$0 | \$50,000 |
| Caring Choices | \$0 | \$0 | \$41,245 | \$41,245 |
| Total Shasta County | \$361,398 | \$0 | \$41,245 | \$402,643 |
| City of Corning | \$100,000 | \$0 | \$0 | \$100,000 |
| City of Red Bluff | \$0 | \$700,000 | \$0 | \$700,000 |
| City of Tehama | \$52,595 | \$0 | \$0 | \$52,595 |
| Caring Choices | \$0 | \$0 | \$9,854 | \$9,854 |
| Total Tehama County | \$152,595 | \$700,000 | \$9,854 | \$862,449 |
| Region Seven Totals: Northern California Metropolitan Region | \$11,544,027 | \$4,280,000 | \$123,173 | \$15,947,200 |
| All California Metropolitan Regions Totals: | \$31,345,976 | \$16,862,515 | \$3,465,632 | \$51,674,123 |
| Non-Metropolitan Areas: Northern California | | | | |
| City of Crescent City | \$2,000,000 | \$0 | \$0 | \$2,000,000 |
| County of Del Norte | \$500,000 | \$0 | \$11,053 | \$511,053 |
| Total Del Norte County | \$2,500,000 | \$0 | \$11,053 | \$2,511,053 |
| City of Arcata | \$400,000 | \$500,000 | \$0 | \$900,000 |

| Geographic Distribution by Region | CDBG Award | HOME Award | HOPWA Award | All Program Awards |
|--|---------------------|--------------------|--------------------|---------------------------|
| City of Eureka | \$1,100,000 | \$0 | \$0 | \$1,100,000 |
| County of Humboldt | \$700,000 | \$0 | \$53,988 | \$753,988 |
| Humboldt Bay Housing Development Corporation | \$0 | \$600,000 | \$0 | \$600,000 |
| Redwood Community Action Agency | \$0 | \$700,000 | \$0 | \$700,000 |
| Total Humboldt County | \$2,200,000 | \$1,800,000 | \$53,988 | \$4,053,988 |
| City of Lakeport | \$0 | \$700,000 | \$0 | \$700,000 |
| County of Lake | \$1,557,000 | \$0 | \$0 | \$1,557,000 |
| Community Care Management Corp. | \$0 | \$0 | \$32,590 | \$32,590 |
| Total Lake County | \$1,557,000 | \$700,000 | \$32,590 | \$2,289,590 |
| County of Lassen | \$583,909 | \$0 | \$0 | \$583,909 |
| County of Plumas for Lassen County | \$0 | \$0 | \$17,433 | \$17,433 |
| Total Lassen County | \$583,909 | \$0 | \$17,433 | \$601,342 |
| City of Fort Bragg | \$1,525,000 | \$0 | \$0 | \$1,525,000 |
| County of Mendocino | \$1,000,000 | \$0 | \$0 | \$1,000,000 |
| Mendocino Co. AIDS Volunteer Network | \$0 | \$0 | \$39,420 | \$39,420 |
| Total Mendocino County | \$2,525,000 | \$0 | \$39,420 | \$2,564,420 |
| Plumas County for Modoc County | \$0 | \$0 | \$335 | \$335 |
| Total Modoc County | \$0 | \$0 | \$335 | \$335 |
| City of Grass Valley | \$0 | \$500,000 | \$0 | \$500,000 |
| City of Nevada City | \$600,000 | \$0 | \$0 | \$600,000 |
| County of Nevada | \$0 | \$0 | \$29,926 | \$29,926 |
| Town of Truckee | \$100,000 | \$0 | \$0 | \$100,000 |
| Total Nevada County | \$700,000 | \$500,000 | \$29,926 | \$1,229,926 |
| City of Portola | \$540,541 | \$0 | \$0 | \$540,541 |
| County of Plumas | \$391,892 | \$0 | \$2,815 | \$394,707 |
| Total Plumas County | \$932,433 | \$0 | \$2,815 | \$935,248 |
| Plumas County for Sierra County | \$0 | \$0 | \$335 | \$335 |
| Total Sierra County | \$0 | \$0 | \$335 | \$335 |
| City of Dorris | \$448,931 | \$0 | \$0 | \$448,931 |
| City of Etna | \$800,000 | \$0 | \$0 | \$800,000 |
| City of Tulelake | \$777,838 | \$0 | \$0 | \$777,838 |
| Town of Fort Jones | \$500,000 | \$0 | \$0 | \$500,000 |
| County of Siskiyou | \$600,000 | \$0 | \$0 | \$600,000 |
| County of Plumas for Siskiyou County | \$0 | \$0 | \$9,960 | \$9,960 |
| Total Siskiyou County | \$3,126,769 | \$0 | \$9,960 | \$3,136,729 |
| Caring Choices | \$0 | \$0 | \$2,012 | \$2,012 |
| Total Trinity County | \$0 | \$0 | \$2,012 | \$2,012 |
| Northern California Non-Metropolitan Region Totals: | \$14,125,111 | \$3,000,000 | \$199,867 | \$17,324,978 |
| Non-Metropolitan Areas: Central-Southern | | | | |
| City of Plymouth | \$100,000 | \$0 | \$0 | \$100,000 |
| County of Amador | \$600,000 | \$0 | \$0 | \$600,000 |
| Sierra HOPE | \$0 | \$0 | \$12,465 | \$12,465 |
| Total Amador County | \$700,000 | \$0 | \$12,465 | \$712,465 |
| Sierra HOPE | \$0 | \$0 | \$5,274 | \$5,274 |
| Total Calaveras County | \$0 | \$0 | \$5,274 | \$5,274 |
| Sierra HOPE | \$0 | \$0 | \$4,223 | \$4,223 |
| Total Inyo County | \$0 | \$0 | \$4,223 | \$4,223 |

| Geographic Distribution by Region | CDBG Award | HOME Award | HOPWA Award | All Program Awards |
|---|---------------------|---------------------|--------------------|---------------------------|
| County of Mono | \$52,500 | \$0 | \$0 | \$52,500 |
| Sierra HOPE | \$0 | \$0 | \$1,677 | \$1,677 |
| Total Mono County | \$52,500 | \$0 | \$1,677 | \$54,177 |
| Sierra HOPE | \$0 | \$0 | \$14,079 | \$14,079 |
| County of Tuolumne | \$1,643,310 | \$700,000 | \$0 | \$2,343,310 |
| Total Tuolumne County | \$1,643,310 | \$700,000 | \$14,079 | \$2,357,389 |
| Central-Southern Non-Metropolitan Region Totals: | \$2,395,810 | \$700,000 | \$37,718 | \$3,133,528 |
| All California Non-Metropolitan Regions Totals: | \$16,520,921 | \$3,700,000 | \$237,585 | \$20,458,506 |
| All California Regions Totals: | \$47,866,897 | \$20,562,515 | \$3,703,217 | \$72,132,629 |

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**Geographic Distribution of
Accelerated HOME
Awards of
2013-14 funds in 2012-13**

APPENDIX C GEOGRAPHIC DISTRIBUTION OF ACCELERATED HOME AWARDS OF 2013-14 FUNDS IN 2012-13

| Geographic Distribution by Region | HOME Award |
|---|--------------------|
| Region One: Los Angeles Metropolitan Region | |
| City of Calexico | \$4,600,000 |
| Total Imperial County | \$4,600,000 |
| Region One Totals: Los Angeles Metropolitan Region | \$4,600,000 |
| Region Two: Bay Area Metropolitan Region | |
| Total Alameda County | \$0 |
| Total Contra Costa County | \$0 |
| Total Marin County | \$0 |
| Napa Valley Community Housing | \$4,372,000 |
| Total Napa County | \$4,372,000 |
| Total San Mateo County | \$0 |
| Total Santa Clara County | \$0 |
| Total Solano County | \$0 |
| Total Sonoma County | \$0 |
| Region Two Totals: Bay Area Metropolitan Region | \$4,372,000 |
| Region Three: Sacramento Metropolitan Region | |
| Total El Dorado County | \$0 |
| Total Placer County | \$0 |
| Total Sacramento County | \$0 |
| Total Sutter County | \$0 |
| Total Yolo County | \$0 |
| Total Yuba County | \$0 |
| Region Three Totals: Sacramento Metropolitan Region | \$0 |
| Region Four: Central Valley Metropolitan Region | |
| Total Fresno County | \$0 |
| Total Kern County | \$0 |
| Total Kings County | \$0 |
| Total Madera County | \$0 |
| Total Mariposa County | \$0 |
| Self-Help Enterprises | \$825,000 |
| Total Merced County | \$825,000 |
| Total San Joaquin County | \$0 |
| Total Stanislaus County | \$0 |
| Total Tulare County | \$0 |
| Region Four Totals: Central Valley Metropolitan Region | \$825,000 |
| Region Five: San Diego Metropolitan Region | |
| Total San Diego County | \$0 |
| Region Five Totals: San Diego Metropolitan Region | \$0 |
| Region Six: Central Coast Metropolitan Region | |
| South County Housing Corporation | \$4,600,000 |
| Total Monterey County | \$4,600,000 |
| Total San Benito County | \$0 |

| Geographic Distribution by Region | HOME Award |
|--|---------------------|
| Total San Luis Obispo County | \$0 |
| Total Santa Barbara County | \$0 |
| Total Santa Cruz County | \$0 |
| Region Six Totals: Central Coast Metropolitan Region | \$4,600,000 |
| Region Seven: Northern California Metropolitan Region | |
| Total Butte County | \$0 |
| Total Colusa County | \$0 |
| City of Willows | \$4,600,000 |
| Total Glenn County | \$4,600,000 |
| Total Shasta County | \$0 |
| Total Tehama County | \$0 |
| Region Seven Totals: Northern California Metropolitan Region: | \$4,600,000 |
| All California Metropolitan Regions Totals: | \$18,997,000 |
| Non-Metropolitan Areas: Northern California | |
| Total Del Norte County | \$0 |
| Total Humboldt County | \$0 |
| Total Lake County | \$0 |
| Total Lassen County | \$0 |
| Total Mendocino County | \$0 |
| Total Modoc County | \$0 |
| Total Nevada County | \$0 |
| Total Plumas County | \$0 |
| Total Sierra County | \$0 |
| Total Siskiyou County | \$0 |
| Total Trinity County | \$0 |
| Northern California Non-Metropolitan Region Totals: | \$0 |
| Non-Metropolitan Areas: Central-Southern | |
| Total Alpine County | \$0 |
| Total Amador County | \$0 |
| Total Calaveras County | \$0 |
| Total Inyo County | \$0 |
| Total Mono County | \$0 |
| Total Tuolumne County | \$0 |
| Central-Southern Non-Metropolitan Region Totals: | \$0 |
| All California Non-Metropolitan Regions Totals: | \$0 |
| All California Regions Totals: | \$18,997,000 |

Report on Analysis of Impediments to Fair Housing Choice Actions

A P P E N D I X D

APPENDIX D REPORT ON ANALYSIS OF IMPEDIMENTS TO FAIR HOUSING CHOICE ACTIONS

Table 43 Exec-2: AI Implementation Status as of June 30, 2013

| <u>Impediment #1:</u> Inadequate supply of affordable housing available to lower-income and minority households. | | | | |
|---|--|--|--|---|
| | Recommendation | Responsible Agency/Program | Resources Available/Required | Timeframe/June 30 Status Update |
| 1-1 | Promote increased housing supply for all income levels. | HCD (HPD): Continued administration of the Regional Housing Need Allocation process and State Housing Element Law. | <p>Existing Staffing Resources Constrained.</p> <p>The Department continues to seek additional staffing resources while also identifying opportunities for streamlined review of housing elements.</p> <p>Will continue to implement through administrative efficiencies while working to identify additional funding sources.</p> <p>See also recommendations 1-3, 2-2 and 4-1 below.</p> | <p>Timeframe: Ongoing - 5th Cycle updates due beginning 2013.</p> <p>Status: Housing elements for Southern California Association of Governments (SCAG) and Sacramento Area Council of Governments (SACOG) jurisdictions due October 2013. All other regions due in 2014 and 2015.</p> |
| 1-2 | Make funds available to benefit low and moderate income households for construction, rehabilitation, preservation and rental and mortgage subsidies. | HCD (HPD and DFA) through administration of existing State, federal and Bond funded programs. | Use existing funding sources and staffing. | <p>Timeframe: Ongoing</p> <p>Status: The Department's federally-funded HUD Programs all made awards in 2012-13 as set forth in the CAPER. Other State-funded housing awards are set forth in Table 40</p> |
| 1-3 | Provide technical assistance and enhance available resources for local | HCD (HPD). | <p>Existing resources do not allow for expansion.</p> <p>Department will maintain existing</p> | <p>Timeframe: Maintain existing online resources and inclusion in Department workshops and trainings.</p> |

Impediment #1: Inadequate supply of affordable housing available to lower-income and minority households.

| | Recommendation | Responsible Agency/Program | Resources Available/Required | Timeframe/June 30 Status Update |
|--|--|-----------------------------------|-------------------------------------|---|
| | governments and individuals on State planning laws promoting the siting of and zoning for a variety of housing types including multifamily housing, emergency shelters, residential care facilities and accessible housing and land use related impediments to fair housing. | | resources and efforts. | <p>By end of 2013, consult with other relevant agencies (HUD, DFEH), service providers, and fair housing organizations to identify additional technical assistance materials that may be made available.</p> <p>Status: On track to consult with other entities to assemble available technical assistance (TA) resources by end of 2013. Anticipate website launch in Spring of 2014.</p> |

Impediment #2: Community resistance to development of multi-family rental housing and housing for lower-income or minority households.

| | Recommendation | Responsible Agency/Program | Resources Available/Required | Timeframe/June 30 Status Update |
|-----|---|-----------------------------------|-------------------------------------|---|
| 2-1 | Collect and disseminate information on resources to combat NIMBYism (Not in My Backyard). | HCD (HPD) | Existing Resources. | <p>Timeframe: Update HCD Webpage as appropriate and send notice of updates through Department List-serve.</p> <p>Status: Website updated and expanded (http://www.hcd.ca.gov/hpd/nimby.htm). Will continue to expand resources and send notices as appropriate.</p> |

Impediment #2: Community resistance to development of multi-family rental housing and housing for lower-income or minority households.

| | Recommendation | Responsible Agency/Program | Resources Available/Required | Timeframe/June 30 Status Update |
|-----|---|--|--|---|
| 2-2 | Continue to review local jurisdiction's housing elements for compliance with State housing element law, including an analysis of governmental constraints to the development of housing for the disabled other special needs groups and provide technical assistance in developing effective programs to remove or mitigate identified constraints. | HCD (HPD) through implementation of State housing element law and statutory requirements including but not limited to Senate Bill (SB) 520, SB 812 and SB 2. | Existing staffing resources constrained. Will continue to implement through administrative efficiencies while working to identify additional funding sources. | Timeframe: Ongoing Estimated # of jurisdictions due by year for forthcoming 5 th planning period. ²³ 2013 – 245 jurisdictions 2014 – 206 jurisdictions 2015 – 88 jurisdictions Status: As of August 1, 2013, the Department has received Housing Element submittals for the 5 th planning period from a total of 103 jurisdictions. |

Impediment #3: Shortage of subsidies and strategies to promote affordable, accessible housing for low, very low, and extremely low-income households, including protected classes.

| | Recommendation | Responsible Agency/Program | Resources Available/Required | Timeframe/June 30 Status Update |
|-----|---|-----------------------------------|-------------------------------------|--|
| 3-1 | Support efforts to establish a statewide permanent source of revenue for affordable housing development and preservation. | HCD and CalHFA | Absorbable with Existing Resources. | Timeframe: Ongoing Status: The Department continues to support statewide efforts to establish a permanent source of financing for affordable housing. |

²³The total number of jurisdictions with housing elements due by year is an estimate. Jurisdiction due date is subject to change based on changes to subject Council of Government's (COG) estimated Regional Transportation Plan (RTP) adoption date. "Actual" date will be based on official RTP adoption date which, if different than the estimated date, changes the actual housing element due date. (GC 65588(e)(5)).

Impediment #3: Shortage of subsidies and strategies to promote affordable, accessible housing for low, very low, and extremely low-income households, including protected classes.

| | Recommendation | Responsible Agency/Program | Resources Available/Required | Timeframe/June 30 Status Update |
|-----|--|-----------------------------------|-------------------------------------|--|
| 3-2 | Promote housing opportunities for persons with disabilities and special needs populations. | HCD | Absorbable with existing resources. | <p>Timeframe: Ongoing.</p> <p>Status: Three efforts noted below.</p> <ol style="list-style-type: none"> 1) In summer 2012, the Department led a State Agency working Group comprised of CalHFA, TCAC, HCD, and Department of Health Care Services (DHCS) to apply for HUD Section 811 competitive grant funds to provide rental assistance to MediCal recipients with disabilities exiting health care facilities for housing. In February 2013, the State was awarded these funds Program design underway. 2) The Department also continues its work as a lead organization for the State's Homelessness Policy Academy funded through the federal Substance Abuse and Mental Health Services Administration. 3) The Department continues to work collaboratively with rental project owners and other funding agencies to assist older projects whose operating subsidies are expiring in order to help maintain affordability for existing tenants, including many special needs tenants residing at those properties. |
| 3-3 | Monitor and support efforts to develop local funding resources to replace loss of redevelopment funds. | HCD | Absorbable with Existing Resources. | <p>Timeframe: Ongoing</p> <p>Status: The Department continues to monitor legislation and other efforts related to the availability of local resources to replace the loss of redevelopment funds.</p> |

Impediment #4: Communities lack sufficient awareness of potential fair housing impediments, and ways to address those impediments.

| | Recommendation | Responsible Program | Resources Available/ Required | Timeframe/June 30 Status Update |
|-----|--|----------------------------|---|--|
| 4-1 | <p>Provide technical assistance and materials to assess fair housing implications of local ordinances, zoning requirements, building codes, and development standards and recommend actions to mitigate impediments to fair housing</p> <p>(Will be addressed in similar manner to Recommendations 1-1, 1-3, and 2-2 as detailed above.)</p> | HCD (HPD) | <p>Existing resources do not allow for expansion.</p> <p>Department will maintain existing resources and efforts and work with other entities to provide/enhance resources available.</p> | <p>Timeframe: Maintain existing online resources and include information and relevant materials in Departmental workshops and trainings.</p> <p>By end of 2014, consult with other relevant agencies (HUD, DFEH), service providers and fair housing organizations to identify additional technical assistance materials and process to ensure effective and timely assistance.</p> <p>Status: Existing resources have been maintained. On track to consult with relevant agencies and expand, as appropriate, technical assistance resources on the website by end of 2014.</p> |
| 4-2 | <p>Through the housing element review process, monitor fair housing program implementation at the local level including:</p> <ul style="list-style-type: none"> • Who serves as the responsible organization, • What is the current fair housing complaint process, • Dissemination of information on how to file a complaint (Where, how? Is it readily available to the public?), and • Review that the complaint process includes a policy for maintaining records on | HCD (HPD) | Existing Resources. | <p>Timeframe: By end of 2013 develop survey instrument for Housing Element Reviewers to facilitate collection and analysis of information.</p> <p>By end of 2014 complete summary analysis for inclusion in HCD's 2015-2020 Consolidated Plan.</p> <p>Status: On track.</p> |

Impediment #4: Communities lack sufficient awareness of potential fair housing impediments, and ways to address those impediments.

| | Recommendation | Responsible Program | Resources Available/ Required | Timeframe/June 30 Status Update |
|-----|--|--|--------------------------------------|---|
| | fair housing inquiries, complaints filed, and referrals for fair housing assistance. | | | |
| 4-3 | Develop a page on the Department's website dedicated to fair housing and Anti-NIMBY resources for use by Local Governments and the general public. Provide information in English and Spanish. If resources permit, expand website to include fair housing information relevant to landlords and real-estate professionals. | HCD (HPD) | Existing Resources. | Timeframe: Complete website for launch during Fair Housing Month in 2014. Update website regularly as information is available and notify interested parties of updated information through the Department's List-Serve. Monitor website traffic bi-annually. Status: On track. |
| 4-4 | Publish on the HCD website (described above) a fair housing complaint contact for every county, including contacts for DFEH and HUD. | HCD (HPD) in coordination with DFEH and HUD | Existing Resources. | See above |
| 4-5 | Provide training to jurisdictions on AI related topics, including, but not limited to: <ul style="list-style-type: none"> • overall AI implementation responsibilities, • fair housing laws, • affirmative marketing, • assistance to persons of Limited English Proficiency, and • NIMBY issues. | HCD (CDBG, HOME, and HPD) in coordination with HUD | Existing Resources. | Timeframe: Ongoing. Status: Beginning in December 2012, the Department, in partnership with HUD began convening quarterly of fair housing trainings. As of June 30, four trainings have been held, including 3 day-long fair housing overview trainings, and one webinar on Affirmative Marketing and Fair Housing Demographic Data Collection and Analysis. |

Impediment #4: Communities lack sufficient awareness of potential fair housing impediments, and ways to address those impediments.

| | Recommendation | Responsible Program | Resources Available/ Required | Timeframe/June 30 Status Update |
|-----|--|---------------------|-------------------------------|--|
| | Make training resources available on Department's website. Market and monitor jurisdictions' attendance at these trainings. | | | |
| 4-6 | Gather info on fair housing trainings provided at the local level. Develop incentives for training of staff, local elected officials, board members of private organizations, and members of the general public. | HCD (DFA and HPD) | Existing Resources. | <p>Timeframe: Annually.</p> <p>Status: Not implemented. Will survey local governments on local training availability in 2014.</p> |
| 4-7 | Make Limited English Proficiency (LEP) resources and referrals available on the HCD website to facilitate expansion of local resources and notifications in multiple languages. | HCD (HPD) | Existing Resources. | <p>Timeframe: Ongoing.</p> <p>Status: HCD continues to offer translation services as necessary including publication of notices in English and Spanish. HCD website does include Spanish translations of several of our most used documents at http://www.hcd.ca.gov/bilingual/. Website has not been updated to include translation referral service information yet but will be within the next 6 months.</p> |

Impediment #5: Limited Coordination on Fair Housing Issues among State fair housing enforcement agencies.

| | Recommendation | Responsible Program | Resources Available/ Required | Timeframe/June 30 Status Update |
|-----|---|--------------------------------|--------------------------------------|--|
| 5-1 | Increase training on fair housing issues for HCD program and policy staff to strengthen general knowledge for all staff and expertise for designated fair housing specialists. | HCD in coordination with DFEH. | Existing Resources. | <p>Timeframe Begin 2013 and at least every 2 years thereafter, or as needed.</p> <p>Status: See Status Update for Action 4-5.</p> |
| 5-2 | To increase cooperation among State fair housing enforcement agencies convene a bi-annual meeting of State fair housing enforcement agencies to discuss opportunities for increased cooperation and coordination. | HCD in coordination with DFEH. | Existing Resources. | <p>Timeframe Begin 2013. Convene meetings at least every two years. Note: annual meetings may be warranted based on objectives agreed upon in initial meeting.</p> <p>Status: Due to lack of available resources not yet implemented. Will convene annual meeting during 2013-2014 FY.</p> |

Impediment #6: Local development standards and their implementation, e.g. zoning, building or design standards, may constrain development of housing opportunities for minority and low income households.

| | Recommendation | Responsible Program | Resources Available/Required | Timeframe/June 30 Status Update |
|-----|---|----------------------------|-------------------------------------|---|
| 6-1 | Convene AI working group to discuss progress on AI Recommendations and solicit feedback for future AI updates. | HCD (CDBG, HOME and HPD) | Existing Resources. | Timeframe Begin 2014 and Annually thereafter. |
| 6-2 | Encourage city and county planning departments to implement land use policies which encourage fair housing and the construction of housing affordable to lower-income families and workers through the administration of State housing element law. | HCD (HPD) | Existing Resources. | Timeframe: Ongoing Status: Ongoing through review of local government housing elements. See above. |

Impediment #7: Low-income households may be at risk of displacement in areas subject to strong new development pressure or activity.

| | Recommendation | Responsible Program | Resources Available/Required | Timeframe/June 30 Status Update |
|-----|--|----------------------------|---|--|
| 7-1 | Provide technical assistance for anti-displacement strategies and efforts to increase or preserve affordability in existing neighborhoods and neighborhoods at risk of gentrification. | HCD: (HOME and HPD). | Given limited and uncertain resources, will implement to the extent feasible based on available future resources. | Timeframe: Ongoing through review of housing element submittals, program administration and associated technical assistance as well as information collected for dissemination. Prepare materials for distribution at HCD convened workshops and to place on Fair Housing Webpage complete by 2014 Status: Ongoing through Housing Element |

Impediment #7: Low-income households may be at risk of displacement in areas subject to strong new development pressure or activity.

| | Recommendation | Responsible Program | Resources Available/Required | Timeframe/June 30 Status Update |
|--|----------------|---------------------|------------------------------|--|
| | | | | reviews. Also coordinating with California Housing Partnership Corporation to expand technical assistance resources to address preservation issues. Will incorporate in website update as planned in 2014. |

Impediment #8: Inadequate access for minority households to housing outside of areas of minority concentration.

| | Recommendation | Responsible Program | Resources Available/Required | Timeframe/June 30 Status Update |
|-----|---|---------------------|---------------------------------------|---|
| 8-1 | Encourage more single family housing acquisition with CDBG funds through the use of incentives such as application rating points. | HCD (CDBG) | Absorbable within existing resources. | Timeframe: Implementation to begin in 2013 Status: This was implemented through the use of State Objective Points in the 2013 funding Round. |
| 8-3 | Assign application rating points to increase competitiveness to HOME projects not located in areas of minority concentration. (CDBG to provide points in future funding rounds on infrastructure for a HOME project not located in an area of minority concentration.) | HCD (HOME and CDBG) | Absorbable within existing resources. | Timeframe: Ongoing Status: This was implemented for rental and homebuyer projects through the use of State Objective Points in the 2012 funding Round. Two of 6 funded projects received these points. For the 2013 funding round, these points will be increased. |

Impediment #8: Inadequate access for minority households to housing outside of areas of minority concentration.

| | Recommendation | Responsible Program | Resources Available/Required | Timeframe/June 30 Status Update |
|-----|---|----------------------------|--|--|
| 8-4 | Track siting of HOME activities relative to minority concentration (Jurisdiction siting practices over time). | HCD (HOME) | Absorbable within existing resources. | <p>Timeframe: Develop tracking system by end of 2012.</p> <p>Begin monitoring siting and report on implementation and outcomes in forthcoming CAPERs.</p> <p>Status: See CAPER Appendix D for this information for 2012-13, or visit the Department's Federal Plans and Reports webpage.</p> |
| 8-5 | Consider ways to increase applications from inactive jurisdictions, including but not limited to individual meetings to discuss what particular barriers to participation exist for the locality. | HCD (HOME and CDBG) | | <p>Timeframe: Ongoing.</p> <p>Status: Plans to do this in 2012-13 have been put on hold due to funding and staffing reductions in CDBG and HOME, and other AI priorities.</p> |
| 8-6 | <p>Coordinate with PHAs within State-CDBG eligible jurisdictions on best practices related to utilization rates, increasing property portfolio outside areas of concentration etc. Survey participating PHAs for best practices on:</p> <ul style="list-style-type: none"> • The extent to which finding landlords willing to accept Section 8 vouchers outside of areas of minority concentration is a problem; and • How PHAs are marketing available vouchers to underserved populations | HCD (HPD) | Existing Resources and in coordination with the California Housing Partnership Corporation (CHPC). | <p>Timeframe: To begin in 2013-2014.</p> <p>Follow-up actions to be determined (could include providing best practice models on the Department's website).</p> <p>Status: See status update on Action 7-1 above.</p> |

Impediment #8: Inadequate access for minority households to housing outside of areas of minority concentration.

| | Recommendation | Responsible Program | Resources Available/Required | Timeframe/June 30 Status Update |
|--|-----------------------------------|----------------------------|-------------------------------------|--|
| | who may be least likely to apply. | | | |

Impediment #9: Minorities are being underserved by the State CDBG and HOME Programs in some instances.

| | Recommendation | Responsible Program | Resources Available/ Required | Timeframe/June 30 Status Update |
|-----|---|----------------------------|---------------------------------------|--|
| 9-1 | Require affirmative marketing analysis for CDBG housing, public services, and microenterprise activities in order to outreach to those least likely to apply. | HCD (CDBG). | | Timeframe: Implementation to Begin in 2013. Status: Reporting form completed April 2013. Webinar training on the form held in April 2013. |
| 9-2 | Encourage more infrastructure projects in areas of greatest need. | HCD (CDBG). | | Timeframe: Implementation to Begin in 2013 Status: Implemented in 2012 and 2013 NOFAs. |
| 9-3 | Develop affirmative marketing procedures for HOME activities that currently do not have them (i.e. first-time homebuyer, owner-occupied rehabilitation, and tenant-based rental assistance programs) to facilitate outreach to those least likely to apply. Continue affirmative marketing procedures for project activities. | HCD (HOME). | | Timeframe: Ongoing Status: Affirmative marketing training for HOME program and projects activities completed in April 2013. See 9-1 above. Waiting on guidance from HUD regarding specific affirmative marketing requirements for program activities (pending adoption of HOME federal regulation changes). |
| 9-4 | Revise application scoring method so communities are scored based on jurisdictional-wide poverty rate, rather than poverty rates for a target area. | HCD (CDBG). | Absorbable within existing resources. | Timeframe: Currently implemented (implementation began with 2012 NOFA). Will monitor and report on outcomes/impacts in future CAPERs beginning in 2013. Status: Implemented in 2012 and 2013 NOFAs. |

Impediment #10: Inadequate access to employment opportunities, transportation, public and social services infrastructure to support increased housing opportunities for lower income, minority and disabled households.

| | Recommendation | Responsible Program | Resources Available/ Required | Timeframe/June 30 Status Update |
|------|--|----------------------------|--------------------------------------|--|
| 10-1 | Provide training in HUD Section 3 requirements, and require funded | HCD (CDBG and HOME). | | Timeframe: To begin in 2014. |

Impediment #10: Inadequate access to employment opportunities, transportation, public and social services infrastructure to support increased housing opportunities for lower income, minority and disabled households.

| | Recommendation | Responsible Program | Resources Available/ Required | Timeframe/June 30 Status Update |
|------|--|---|--|--|
| | jurisdictions to submit Section 3 implementation plans. | | | |
| 10-2 | Establish working group to study model county analysis and develop criteria incorporate relevant information into ongoing education and technical assistance to local governments and consider incorporation in rating and ranking in federal programs and future AI updates as appropriate. | HCD (HPD and DFA). | Existing Resources. | Timeframe: To begin in December 2013. HCD will initiate one working group and make recommendations and then implement second working group thereafter with the goal of completing and implementing recommendations of both working groups within the timeframe of the AI and the 2015-2020 Consolidated Plan update. Status: Convene working group in 2013-2014 FY. |
| 10-3 | Convene working group of local jurisdictions and developers in rural areas to address improving the siting of housing and access to jobs, transportation, and social services. | HCD (CDBG, HOME, HPD) in coordination with rural and fair housing advocacy organizations. | | |

Home Minority Concentration Data
for Fiscal Year 2012-13
Completed Activities

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APPENDIX E HOME MINORITY CONCENTRATION DATA FOR FISCAL YEAR 2012-13 COMPLETED ACTIVITIES

The data below reflects a total of 156 completed projects, (activities with separate sites), in FY 12-13. From the 138 projects with available data, approximately twenty-seven percent (27%) were in census tracts overrepresented by minorities by more than 10% compared to the county; 73% were in Census tracts with low minority concentration compared to the county as a whole. First-time Homebuyer Mortgage Assistance Programs had the lowest overall percentage of minority concentration, (19% or 19 projects), First-time homebuyer new construction projects had the greatest percentage of in areas of minority concentration (50%), but the lowest number of assisted projects for which data was available (2). "NA" indicates that the address was not available in the census data fact finder.

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
|---------------------------|-----------------|--------------|----------------|--|-------------------------|---------|--------|----------|--------------|---|-------------------------------|---|-------------------------|--------------------------------------|
| 10-HOME-6852 | City of Ione | Homeowner | M106852-02 | First-Time Homebuyer Mortgage Assistance Program | 7/24/12 | Ione | Amador | 95640 | 3.03 | 84 | 16 | 81 | 19 | -3 |
| 10-HOME-6852 | City of Ione | Homeowner | M106852-03 | First-Time Homebuyer Mortgage Assistance Program | 5/8/13 | Ione | Amador | 95640 | 3.03 | 84 | 16 | 81 | 19 | -3 |
| 09-HOME-6207 | City of Gridley | Homeowner | M096207-10 | First-Time Homebuyer Mortgage Assistance Program | 9/25/12 | Gridley | Butte | 95948 | 35.02 | 49 | 51 | 79 | 21 | 30 |
| 09-HOME-6207 | City of Gridley | Homeowner | M096207-08 | Owner-Occupied Rehabilitation Program | 9/25/12 | Gridley | Butte | 95948 | NA | | | | | |
| 09-HOME-6207 | City of Gridley | Homeowner | M096207-09 | Owner-Occupied Rehabilitation Program | 9/25/12 | Gridley | Butte | 95948 | 35.02 | 49 | 51 | 79 | 21 | 30 |
| 09-HOME-6207 | City of Gridley | Homeowner | M096207-11 | Owner-Occupied Rehabilitation Program | 2/21/13 | Gridley | Butte | 95948 | 35.01 | 67 | 33 | 79 | 21 | 12 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
|---------------------------|---|--------------------------------|----------------|--|-------------------------|-----------|--------|----------|--------------|---|-------------------------------|---|-------------------------|--------------------------------------|
| 10-HOME-6340 | Community Housing Improvement Program, Incorporated | Homeowner | M106340-19 | First-time Homebuyer Project | 11/12/12 | Oroville | Butte | 95965 | 29.00 | 64 | 36 | 79 | 21 | 15 |
| 09-HOME-6226 | City of Oroville | Homeowner | M096226-20 | First-Time Homebuyer Mortgage Assistance Program | 8/10/12 | Oroville | Butte | 95966 | 27.00 | 83 | 17 | 79 | 21 | -4 |
| 09-HOME-6226 | City of Oroville | Homeowner | M096226-21 | First-Time Homebuyer Mortgage Assistance Program | 8/16/12 | Oroville | Butte | 95966 | 27.00 | 83 | 17 | 79 | 21 | -4 |
| 09-HOME-6197 | Town of Paradise | Homeowner | M096197-22 | First-Time Homebuyer Mortgage Assistance Program | 10/21/12 | Paradise | Butte | 95969 | 22.00 | 89 | 11 | 79 | 21 | -10 |
| 09-HOME-6197 | Town of Paradise | Homeowner | M096197-21 | First-Time Homebuyer Mortgage Assistance Program | 8/10/12 | Paradise | Butte | 95969 | 21.00 | 88 | 12 | 79 | 21 | -9 |
| 09-HOME-6198 | City of Colusa | Homeowner | M096198-02 | Owner-Occupied Rehabilitation Program | 11/29/12 | Colusa | Colusa | 95932 | 5.00 | 54 | 46 | 46 | 54 | -8 |
| 11-HOME-7668 | City of Firebaugh | Homeowner | M117668-02 | First-Time Homebuyer Mortgage Assistance Program | 3/13/13 | Firebaugh | Fresno | 93622 | NA | | | | | |
| 11-HOME-7668 | City of Firebaugh | Homeowner | M117668-01 | First-Time Homebuyer Mortgage Assistance Program | 11/26/12 | Firebaugh | Fresno | 93622 | 84.01 | 10 | 90 | 38 | 62 | 28 |
| 10-HOME-6843 | City of Parlier | Homeowner | M106843-05 | Owner-Occupied Rehabilitation Program | 8/10/12 | Parlier | Fresno | 93648 | 68.02 | 12 | 88 | 38 | 62 | 26 |
| 08-HOME-4988 | City of Parlier | Avila Avenue Apartments | M084988-01 | Rental New Construction Project | 5/29/13 | Parlier | Fresno | 93648 | NA | | | | | |
| 09-HOME-6202 | City of Parlier | Tenant Based Rental Assistance | M096202-03 | Tenant Based Rental Assistance Program | 9/10/12 | Parlier | Fresno | 93648 | NA | | | | | |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
|---------------------------|---|---------------------|----------------|--|-------------------------|---------|----------|----------|--------------|---|-------------------------------|---|-------------------------|--------------------------------------|
| 11-HOME-6994 | Community Housing Improvement Program, Incorporated | Homeowner | M116994-04 | First-time Homebuyer Project | 7/25/12 | Orland | Glenn | 95963 | NA | | | | | |
| 11-HOME-6994 | Community Housing Improvement Program, Incorporated | Homeowner | M116994-06 | First-time Homebuyer Project | 7/24/12 | Orland | Glenn | 95963 | NA | | | | | |
| 11-HOME-6994 | Community Housing Improvement Program, Incorporated | Homeowner | M116994-15 | First-time Homebuyer Project | 4/4/13 | Orland | Glenn | 95963 | 101.00 | 57 | 43 | 61 | 39 | 4 |
| 10-HOME-6341 | City of Arcata | Plaza Point Project | M106341-01 | Rental New Construction Project | 6/10/13 | Arcata | Humboldt | 95521 | 10.00 | 72 | 28 | 81 | 19 | 9 |
| 08-HOME-5002 | County of Humboldt | Aster Place | M085002-01 | Rental New Construction Project | 4/11/13 | Eureka | Humboldt | 95501 | 8.00 | 84 | 16 | 81 | 19 | -3 |
| 07-HOME-3079 | County of Humboldt | Homeowner | M073079-05 | Owner-Occupied Rehabilitation Program | 7/24/12 | Fortuna | Humboldt | 95540 | 109.02 | 86 | 14 | 81 | 19 | -5 |
| 08-HOME-4708 | County of Imperial | Homeowner | M084708-09 | First-Time Homebuyer Mortgage Assistance Program | 7/19/12 | Heber | Imperial | 92249 | 113.00 | 7 | 93 | 16 | 84 | 9 |
| 10-HOME-6845 | City of Wasco | Homeowner | M106845-04 | First-Time Homebuyer Mortgage Assistance Program | 6/3/13 | Wasco | Kern | 93280 | 43.01 | 15 | 85 | 44 | 56 | 29 |
| 11-HOME-7666 | County of Kings | Homeowner | M117666-01 | First-Time Homebuyer Mortgage Assistance Program | 3/21/13 | Armona | Kings | 93202 | 5.00 | 41 | 59 | 39 | 61 | -2 |
| 11-HOME-7666 | County of Kings | Homeowner | M117666-02 | First-Time Homebuyer Mortgage Assistance Program | 2/11/13 | Armona | Kings | 93202 | 5.00 | 41 | 59 | 39 | 61 | -2 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
|---------------------------|------------------|--------------|----------------|--|-------------------------|----------|-------------|----------|--------------|---|-------------------------------|---|-------------------------|--------------------------------------|
| 09-HOME-6124 | City of Corcoran | Homeowner | M096124-10 | Owner-Occupied Rehabilitation Program | 9/10/12 | Corcoran | Kings | 93212 | 15.00 | 23 | 77 | 39 | 61 | 16 |
| 10-HOME-6844 | City of Hanford | Homeowner | M106844-01 | First-Time Homebuyer Mortgage Assistance Program | 2/4/13 | Hanford | Kings | 93230 | NA | | | | | |
| 10-HOME-6844 | City of Hanford | Homeowner | M106844-05 | First-Time Homebuyer Mortgage Assistance Program | 2/20/13 | Hanford | Kings | 93230 | 10.03 | 28 | 72 | 39 | 61 | 11 |
| 10-HOME-6844 | City of Hanford | Homeowner | M106844-06 | First-Time Homebuyer Mortgage Assistance Program | 5/28/13 | Hanford | Kings | 93230 | 10.01 | 54 | 46 | 39 | 61 | -15 |
| 10-HOME-6844 | City of Hanford | Homeowner | M106844-03 | First-Time Homebuyer Mortgage Assistance Program | 1/30/13 | Hanford | Kings | 93230 | 9.00 | 43 | 57 | 39 | 61 | -4 |
| 10-HOME-6844 | City of Hanford | Homeowner | M106844-04 | First-Time Homebuyer Mortgage Assistance Program | 2/20/13 | Hanford | Kings | 93230 | 7.02 | 60 | 40 | 39 | 61 | -21 |
| 10-HOME-6844 | City of Hanford | Homeowner | M106844-02 | First-Time Homebuyer Mortgage Assistance Program | 2/7/13 | Hanford | Kings | 93230 | 6.01 | 54 | 46 | 39 | 61 | -15 |
| 10-HOME-6868 | City of Lemoore | Homeowner | M106868-06 | First-Time Homebuyer Mortgage Assistance Program | 8/10/12 | Lemoore | Kings | 93245 | 4.02 | 51 | 49 | 39 | 61 | -12 |
| 09-HOME-6204 | City of Gardena, | Homeowner | M096204-06 | Owner-Occupied Rehabilitation Program | 8/13/12 | Gardena | Los Angeles | 90248 | 6032 | 17 | 83 | 31 | 69 | 14 |
| 09-HOME-6204 | City of Gardena, | Homeowner | M096204-08 | Owner-Occupied Rehabilitation Program | 7/24/12 | Gardena | Los Angeles | 90249 | 6036 | 28 | 72 | 31 | 69 | 3 |
| 09-HOME-6204 | City of Gardena, | Homeowner | M096204-15 | Owner-Occupied Rehabilitation Program | 7/24/12 | Gardena | Los Angeles | 90249 | 6034 | 15 | 85 | 31 | 69 | 16 |

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|---------------------------|-----------------------|---------------------------------|----------------|--|-------------------------|------------|-------------|----------|--------------|---|-------------------------------|---|-------------------------|--------------------------------------|
| 09-HOME-6204 | City of Gardena, | Homeowner | M096204-16 | Owner-Occupied Rehabilitation Program | 8/13/12 | Gardena | Los Angeles | 90249 | 6026 | 4 | 96 | 31 | 69 | 27 |
| 10-HOME-6342 | Self-Help Enterprises | Parksdale Village II Apartments | M106342-01 | Rental New Construction Project | 2/7/13 | Madera | Madera | 93638 | NA | | | | | |
| 11-HOME-7654 | City of Ukiah | Homeowner | M117654-01 | First-Time Homebuyer Mortgage Assistance Program | 1/8/13 | Ukiah | Mendocino | 95482 | NA | | | | | |
| 11-HOME-7654 | City of Ukiah | Homeowner | M117654-02 | First-Time Homebuyer Mortgage Assistance Program | 5/31/13 | Ukiah | Mendocino | 95482 | 114 | 82 | 18 | 74 | 26 | -8 |
| 11-HOME-7662 | County of Mendocino | Homeowner | M117662-01 | First-Time Homebuyer Mortgage Assistance Program | 2/5/13 | Ukiah | Mendocino | 95482 | 109 | 68 | 32 | 74 | 26 | 6 |
| 09-HOME-6199 | City of Livingston | Homeowner | M096199-11 | First-Time Homebuyer Mortgage Assistance Program | 7/25/12 | Livingston | Merced | 95334 | 3.04 | 11 | 89 | 37 | 63 | 26 |
| 09-HOME-6199 | City of Livingston | Homeowner | M096199-09 | Owner-Occupied Rehabilitation Program | 8/16/12 | Livingston | Merced | 95334 | 3.01 | 12 | 88 | 37 | 63 | 25 |
| 10-HOME-6846 | County of Merced | Homeowner | M106846-05 | First-Time Homebuyer Mortgage Assistance Program | 8/27/12 | Merced | Merced | 95348 | 9.02 | 36 | 64 | 37 | 63 | 1 |
| 10-HOME-6846 | County of Merced | Homeowner | M106846-06 | First-Time Homebuyer Mortgage Assistance Program | 3/7/13 | Merced | Merced | 95348 | 9.02 | 36 | 64 | 37 | 63 | 1 |
| 10-HOME-6846 | County of Merced | Homeowner | M106846-07 | First-Time Homebuyer Mortgage Assistance Program | 6/20/13 | Winton | Merced | 95388 | 5.04 | 24 | 76 | 37 | 63 | 13 |
| 10-HOME-6846 | County of Merced | Homeowner | M106846-08 | First-Time Homebuyer Mortgage Assistance Program | 6/18/13 | Winton | Merced | 95388 | 5.04 | 24 | 76 | 37 | 63 | 13 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
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| 10-HOME-6846 | County of Merced | Homeowner | M106846-04 | Owner-Occupied Rehabilitation Program | 8/27/12 | Winton | Merced | 95388 | 5.05 | 33 | 67 | 37 | 63 | 4 |
| 10-HOME-6849 | Town of Mammoth Lakes | Homeowner | M106849-01 | First-Time Homebuyer Mortgage Assistance Program | 7/24/12 | Mammoth Lakes | Mono | 93546 | 2.00 | 69 | 31 | 74 | 26 | 5 |
| 10-HOME-6849 | Town of Mammoth Lakes | Homeowner | M106849-02 | First-Time Homebuyer Mortgage Assistance Program | 11/28/12 | Mammoth Lakes | Mono | 93546 | 2.00 | 69 | 31 | 74 | 26 | 5 |
| 10-HOME-6849 | Town of Mammoth Lakes | Homeowner | M106849-03 | First-Time Homebuyer Mortgage Assistance Program | 3/12/13 | Mammoth Lakes | Mono | 93546 | 2.00 | 69 | 31 | 74 | 26 | 5 |
| 10-HOME-6849 | Town of Mammoth Lakes | Homeowner | M106849-04 | First-Time Homebuyer Mortgage Assistance Program | 5/16/13 | Mammoth Lakes | Mono | 93546 | 2.00 | 69 | 31 | 74 | 26 | 5 |
| 09-HOME-6255 | City of Napa | Homeowner | M096255-13 | First-Time Homebuyer Mortgage Assistance Program | 7/24/12 | Napa | Napa | 94558 | 2008 | 33 | 67 | 62 | 38 | 29 |
| 09-HOME-6255 | City of Napa | Homeowner | M096255-12 | First-Time Homebuyer Mortgage Assistance Program | 7/24/12 | Napa | Napa | 94558 | 2007 | 61 | 39 | 62 | 38 | 1 |
| 09-HOME-6255 | City of Napa | Homeowner | M096255-14 | First-Time Homebuyer Mortgage Assistance Program | 7/24/12 | Napa | Napa | 94559 | 2002 | 62 | 38 | 62 | 38 | 0 |
| 10-HOME-6865 | City of Auburn | Homeowner | M106865-03 | First-Time Homebuyer Mortgage Assistance Program | 9/14/12 | Auburn | Placer | 95603 | 218.02 | 86 | 14 | 79 | 21 | -7 |
| 10-HOME-6851 | County of Placer | Homeowner | M106851-05 | First-Time Homebuyer Mortgage Assistance Program | 2/27/13 | Auburn | Placer | 95603 | 215.02 | 89 | 11 | 79 | 21 | -10 |
| 10-HOME-6865 | City of Auburn | Homeowner | M106865-01 | Owner-Occupied Rehabilitation Program | 1/17/13 | Auburn | Placer | 95603 | 203.00 | 85 | 15 | 79 | 21 | -6 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
|---------------------------|------------------------------------|---|----------------|--|-------------------------|--------------|-----------|----------|--------------|---|-------------------------------|---|-------------------------|--------------------------------------|
| 10-HOME-6865 | City of Auburn | Homeowner | M106865-02 | Owner-Occupied Rehabilitation Program | 9/28/12 | Auburn | Placer | 95603 | 203.00 | 85 | 15 | 79 | 21 | -6 |
| 10-HOME-6851 | County of Placer | Homeowner | M106851-06 | First-Time Homebuyer Mortgage Assistance Program | 6/7/13 | Colfax | Placer | 95713 | 220.02 | 88 | 12 | 79 | 21 | -9 |
| 10-HOME-6345 | County of Placer | Kings Beach Housing Now | M106345-01 | Rental New Construction Project | 4/2/13 | Kings Beach | Placer | 96143 | 201.07 | 46 | 54 | 79 | 21 | 33 |
| 11-HOME-7531 | City of Roseville | Homeowner | M117531-01 | Owner-Occupied Rehabilitation Program | 12/11/12 | Roseville | Placer | 95661 | 207.12 | 73 | 27 | 79 | 21 | 6 |
| 11-HOME-7531 | City of Roseville | Homeowner | M117531-03 | First-Time Homebuyer Mortgage Assistance Program | 5/28/13 | Roseville | Placer | 95678 | 226.00 | 73 | 27 | 79 | 21 | 6 |
| 11-HOME-7531 | City of Roseville | Homeowner | M117531-02 | First-Time Homebuyer Mortgage Assistance Program | 12/11/12 | Roseville | Placer | 95678 | 208.05 | 77 | 23 | 79 | 21 | 2 |
| 06-HOME-2444 | Coachella Valley Housing Coalition | Rosa Gardens Apartments (AKA Las Vegas Road Apts) | M062444-01 | Rental New Construction Project | 10/11/12 | Palm Springs | Riverside | 92262 | 448.06 | 76 | 24 | 45 | 55 | -31 |
| 10-HOME-6848 | City of Perris | Homeowner | M106848-04 | Owner-Occupied Rehabilitation Program | 6/18/13 | Perris | Riverside | 92571 | 448.06 | 76 | 24 | 45 | 55 | -31 |
| 10-HOME-6848 | City of Perris | Homeowner | M106848-02 | Owner-Occupied Rehabilitation Program | 5/28/13 | Perris | Riverside | 92571 | 426.18 | 12 | 88 | 45 | 55 | 33 |
| 10-HOME-6848 | City of Perris | Homeowner | M106848-03 | Owner-Occupied Rehabilitation Program | 6/13/13 | Perris | Riverside | 92571 | 426.18 | 12 | 88 | 45 | 55 | 33 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
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| 08-HOME-4871 | South County Housing Corporation | Vista Meadows Senior Apartments | M084871-01 | Rental New Construction Project | 8/3/12 | Hollister | San Benito | 95023 | 7.02 | 43 | 57 | 43 | 57 | 0 |
| 09-HOME-6225 | City of Upland | Homeowner | M096225-10 | Owner-Occupied Rehabilitation Program | 7/31/12 | Upland | San Bernardino | 91786 | 8.26 | 39 | 61 | 38 | 62 | -1 |
| 09-HOME-6225 | City of Upland | Homeowner | M096225-11 | Owner-Occupied Rehabilitation Program | 7/31/12 | Upland | San Bernardino | 91786 | 8.26 | 39 | 61 | 38 | 62 | -1 |
| 09-HOME-6225 | City of Upland | Homeowner | M096225-09 | Owner-Occupied Rehabilitation Program | 7/31/12 | Upland | San Bernardino | 91786 | 8.24 | 41 | 59 | 38 | 62 | -3 |
| 09-HOME-6225 | City of Upland | Homeowner | M096225-12 | Owner-Occupied Rehabilitation Program | 7/31/12 | Upland | San Bernardino | 91786 | 8.08 | 52 | 48 | 38 | 62 | -14 |
| 09-HOME-6271 | City of Lodi | Homeowner | M096271-01 | First-Time Homebuyer Mortgage Assistance Program | 9/10/12 | Lodi | San Joaquin | 95240 | 44.02 | 57 | 43 | 41 | 59 | -16 |
| 09-HOME-6271 | City of Lodi | Homeowner | M096271-03 | First-Time Homebuyer Mortgage Assistance Program | 9/10/12 | Lodi | San Joaquin | 95240 | 43.02 | 67 | 33 | 41 | 59 | -26 |
| 09-HOME-6271 | City of Lodi | Homeowner | M096271-02 | First-Time Homebuyer Mortgage Assistance Program | 9/10/12 | Lodi | San Joaquin | 95242 | 42.01 | 77 | 23 | 41 | 59 | -36 |
| 11-HOME-7660 | City of Watsonville | Homeowner | M117660-03 | First-Time Homebuyer Mortgage Assistance Program | 3/12/13 | Watsonville | Santa Cruz | 95076 | 1102 | 23 | 77 | 64 | 36 | 41 |
| 11-HOME-7660 | City of Watsonville | Homeowner | M117660-04 | First-Time Homebuyer Mortgage Assistance Program | 3/13/13 | Watsonville | Santa Cruz | 95076 | 1102 | 23 | 77 | 64 | 36 | 41 |
| 11-HOME-7660 | City of Watsonville | Homeowner | M117660-02 | First-Time Homebuyer Mortgage Assistance Program | 2/5/13 | Watsonville | Santa Cruz | 95076 | 1101 | 25 | 75 | 64 | 36 | 39 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
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| 09-HOME-6196 | County of Shasta | Homeowner | M096196-03 | First-Time Homebuyer Mortgage Assistance Program | 9/12/12 | Cottonwood | Shasta | 96022 | 122 | 85 | 15 | 85 | 15 | 0 |
| 09-HOME-6196 | County of Shasta | Homeowner | M096196-04 | First-Time Homebuyer Mortgage Assistance Program | 9/19/12 | Cottonwood | Shasta | 96022 | 122 | 85 | 15 | 85 | 15 | 0 |
| 09-HOME-6196 | County of Shasta | Homeowner | M096196-01 | First-Time Homebuyer Mortgage Assistance Program | 9/12/12 | Redding | Shasta | 96002 | 115 | 88 | 12 | 85 | 15 | -3 |
| 09-HOME-6210 | City of Shasta Lake | Homeowner | M096210-12 | First-Time Homebuyer Mortgage Assistance Program | 8/17/12 | Shasta Lake | Shasta | 96019 | 122 | 85 | 15 | 85 | 15 | 0 |
| 11-HOME-7659 | City of Shasta Lake | Homeowner | M117659-01 | First-Time Homebuyer Mortgage Assistance Program | 2/11/13 | Shasta Lake | Shasta | 96019 | 117.03 | 84 | 16 | 85 | 15 | 1 |
| 09-HOME-6196 | County of Shasta | Homeowner | M096196-02 | First-Time Homebuyer Mortgage Assistance Program | 9/12/12 | Shingletown | Shasta | 96088 | 126.03 | 90 | 10 | 85 | 15 | -5 |
| 10-HOME-6866 | City of Fairfield | Homeowner | M106866-20 | First-Time Homebuyer Mortgage Assistance Program | 8/28/12 | Fairfield | Solano | 94533 | 2526.1 | 26 | 74 | 45 | 55 | 19 |
| 10-HOME-6866 | City of Fairfield | Homeowner | M106866-21 | First-Time Homebuyer Mortgage Assistance Program | 11/26/12 | Fairfield | Solano | 94533 | 2526 | 30 | 70 | 45 | 55 | 15 |
| 10-HOME-6866 | City of Fairfield | Homeowner | M106866-22 | First-Time Homebuyer Mortgage Assistance Program | 3/21/13 | Fairfield | Solano | 94533 | 2526 | 30 | 70 | 45 | 55 | 15 |
| 08-HOME-5663 | City of Fairfield | Cottage Square Apartments | M085663-01 | Rental Rehabilitation Project | 4/9/13 | Fairfield | Solano | 94533 | 2526 | 30 | 70 | 45 | 55 | 15 |
| 10-HOME-6847 | City of Vacaville | Homeowner | M106847-15 | First-Time Homebuyer Mortgage Assistance Program | 1/17/13 | Vacaville | Solano | 95688 | 2532.1 | 67 | 33 | 45 | 55 | -22 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
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| 10-HOME-6853 | City of Riverbank | Homeowner | M106853-06 | First-Time Homebuyer Mortgage Assistance Program | 8/24/12 | Riverbank | Stanislaus | 95367 | NA | | | | | |
| 10-HOME-6853 | City of Riverbank | Homeowner | M106853-05 | First-Time Homebuyer Mortgage Assistance Program | 7/24/12 | Riverbank | Stanislaus | 95367 | 4.02 | 58 | 42 | 52 | 48 | -6 |
| 10-HOME-6853 | City of Riverbank | Homeowner | M106853-04 | First-Time Homebuyer Mortgage Assistance Program | 7/3/12 | Riverbank | Stanislaus | 95367 | 3.03 | 43 | 57 | 52 | 48 | 9 |
| 10-HOME-6867 | County of Sutter | Homeowner | M106867-02 | Owner-Occupied Rehabilitation Program | 4/10/13 | Nicolaus | Sutter | 95659 | 511.00 | 75 | 25 | 55 | 45 | -20 |
| 09-HOME-6393 | City of Yuba City | Homeowner | M096393-14 | First-Time Homebuyer Mortgage Assistance Program | 8/7/12 | Yuba City | Sutter | 95993 | 506.04 | 69 | 31 | 55 | 45 | -14 |
| 09-HOME-6393 | City of Yuba City | Homeowner | M096393-15 | First-Time Homebuyer Mortgage Assistance Program | 2/11/13 | Yuba City | Sutter | 95993 | 506.04 | 69 | 31 | 55 | 45 | -14 |
| 09-HOME-6201 | County of Trinity | Homeowner | M096201-07 | Owner-Occupied Rehabilitation Program | 9/10/12 | Douglas City | Trinity | 96024 | NA | | | | | |
| 09-HOME-6201 | County of Trinity | Homeowner | M096201-08 | Owner-Occupied Rehabilitation Program | 9/10/12 | Douglas City | Trinity | 96024 | 1.01 | 88 | 12 | 86 | 14 | -2 |
| 09-HOME-6200 | City of Dinuba | Homeowner | M096200-15 | First-Time Homebuyer Mortgage Assistance Program | 7/31/12 | Dinuba | Tulare | 93618 | 5.02 | 13 | 87 | 39 | 61 | 26 |
| 09-HOME-6200 | City of Dinuba | Homeowner | M096200-09 | Owner-Occupied Rehabilitation Program | 7/17/12 | Dinuba | Tulare | 93618 | 5.02 | 13 | 87 | 39 | 61 | 26 |
| 10-HOME-6795 | County of Tulare | Homeowner | M106795-01 | First-Time Homebuyer Mortgage Assistance Program | 9/24/12 | Earlimart | Tulare | 93219 | 43.00 | 9 | 91 | 39 | 61 | 30 |

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| 10-HOME-6855 | City of Farmersville | Homeowner | M106855-03 | First-Time Homebuyer Mortgage Assistance Program | 8/30/12 | Farmersville | Tulare | 93223 | NA | | | | | |
| 10-HOME-6855 | City of Farmersville | Homeowner | M106855-02 | First-Time Homebuyer Mortgage Assistance Program | 8/30/12 | Farmersville | Tulare | 93223 | 16.01 | 16 | 84 | 39 | 61 | 23 |
| 10-HOME-6855 | City of Farmersville | Homeowner | M106855-01 | First-Time Homebuyer Mortgage Assistance Program | 7/12/12 | Farmersville | Tulare | 93223 | 14.00 | 53 | 47 | 39 | 61 | -14 |
| 10-HOME-6855 | City of Farmersville | Homeowner | M106855-04 | First-Time Homebuyer Mortgage Assistance Program | 1/9/13 | Farmersville | Tulare | 93223 | 14.00 | 53 | 47 | 39 | 61 | -14 |
| 10-HOME-6855 | City of Farmersville | Homeowner | M106855-06 | First-Time Homebuyer Mortgage Assistance Program | 4/8/13 | Farmersville | Tulare | 93223 | 14.00 | 53 | 47 | 39 | 61 | -14 |
| 10-HOME-6855 | City of Farmersville | Homeowner | M106855-07 | First-Time Homebuyer Mortgage Assistance Program | 4/5/13 | Farmersville | Tulare | 93223 | 14.00 | 53 | 47 | 39 | 61 | -14 |
| 10-HOME-6855 | City of Farmersville | Homeowner | M106855-08 | First-Time Homebuyer Mortgage Assistance Program | 4/5/13 | Farmersville | Tulare | 93223 | 14.00 | 53 | 47 | 39 | 61 | -14 |
| 10-HOME-6855 | City of Farmersville | Homeowner | M106855-05 | Owner-Occupied Rehabilitation Program | 3/21/13 | Farmersville | Tulare | 93223 | 16.01 | 16 | 84 | 39 | 61 | 23 |
| 10-HOME-6855 | City of Farmersville | Homeowner | M106855-09 | Owner-Occupied Rehabilitation Program | 5/15/13 | Farmersville | Tulare | 93223 | 16.01 | 16 | 84 | 39 | 61 | 23 |
| 10-HOME-6857 | City of Porterville | Homeowner | M106857-01 | First-Time Homebuyer Mortgage Assistance Program | 11/16/12 | Porterville | Tulare | 93257 | 37.00 | 40 | 60 | 39 | 61 | -1 |
| 10-HOME-6857 | City of Porterville | Homeowner | M106857-02 | First-Time Homebuyer Mortgage Assistance Program | 11/16/12 | Porterville | Tulare | 93257 | 37.00 | 40 | 60 | 39 | 61 | -1 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
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| 10-HOME-6857 | City of Porterville | Homeowner | M106857-03 | First-Time Homebuyer Mortgage Assistance Program | 11/16/12 | Porterville | Tulare | 93257 | 36.02 | 41 | 59 | 39 | 61 | -2 |
| 10-HOME-6857 | City of Porterville | Homeowner | M106857-04 | First-Time Homebuyer Mortgage Assistance Program | 12/3/12 | Porterville | Tulare | 93257 | 36.02 | 41 | 59 | 39 | 61 | -2 |
| 10-HOME-6857 | City of Porterville | Homeowner | M106857-05 | First-Time Homebuyer Mortgage Assistance Program | 12/3/12 | Porterville | Tulare | 93257 | 36.01 | 43 | 57 | 39 | 61 | -4 |
| 09-HOME-6392 | City of Tulare | Homeowner | M096392-13 | First-Time Homebuyer Mortgage Assistance Program | 11/15/12 | Tulare | Tulare | 93274 | NA | | | | | |
| 09-HOME-6392 | City of Tulare | Homeowner | M096392-12 | First-Time Homebuyer Mortgage Assistance Program | 8/28/12 | Tulare | Tulare | 93274 | 29.04 | 45 | 55 | 39 | 61 | -6 |
| 09-HOME-6392 | City of Tulare | Homeowner | M096392-11 | First-Time Homebuyer Mortgage Assistance Program | 8/28/12 | Tulare | Tulare | 93274 | 22.04 | 30 | 70 | 39 | 61 | 9 |
| 09-HOME-6392 | City of Tulare | Homeowner | M096392-14 | First-Time Homebuyer Mortgage Assistance Program | 11/15/12 | Tulare | Tulare | 93274 | 22.02 | 20 | 80 | 39 | 61 | 19 |
| 10-HOME-6842 | City of Woodlake | Homeowner | M106842-04 | First-Time Homebuyer Mortgage Assistance Program | 1/3/13 | Woodlake | Tulare | 93286 | 7.02 | 13 | 87 | 39 | 61 | 26 |
| 10-HOME-6842 | City of Woodlake | Homeowner | M106842-03 | Owner-Occupied Rehabilitation Program | 6/10/13 | Woodlake | Tulare | 93286 | 7.02 | 13 | 87 | 39 | 61 | 26 |
| 10-HOME-6854 | County of Tuolumne | Homeowner | M106854-08 | First-Time Homebuyer Mortgage Assistance Program | 8/14/12 | Jamestown | Tuolumne | 95327 | NA | | | | | |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-06 | First-Time Homebuyer Mortgage Assistance Program | 8/13/12 | Jamestown | Tuolumne | 95327 | 51.00 | 86 | 14 | 83 | 17 | -3 |

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| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-08 | First-Time Homebuyer Mortgage Assistance Program | 8/20/12 | Jamestown | Tuolumne | 95327 | 51.00 | 86 | 14 | 83 | 17 | -3 |
| 10-HOME-6854 | County of Tuolumne | Homeowner | M106854-05 | First-Time Homebuyer Mortgage Assistance Program | 8/14/12 | Jamestown | Tuolumne | 95327 | 51.00 | 86 | 14 | 83 | 17 | -3 |
| 10-HOME-6854 | County of Tuolumne | Homeowner | M106854-06 | First-Time Homebuyer Mortgage Assistance Program | 8/14/12 | Sonora | Tuolumne | 95370 | 41.00 | 90 | 10 | 83 | 17 | -7 |
| 11-HOME-7672 | County of Tuolumne | Homeowner | M117672-03 | First-Time Homebuyer Mortgage Assistance Program | 2/20/13 | Sonora | Tuolumne | 95370 | 41.00 | 90 | 10 | 83 | 17 | -7 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-07 | First-Time Homebuyer Mortgage Assistance Program | 8/20/12 | Sonora | Tuolumne | 95370 | 22.00 | 89 | 11 | 83 | 17 | -6 |
| 10-HOME-6854 | County of Tuolumne | Homeowner | M106854-07 | First-Time Homebuyer Mortgage Assistance Program | 8/14/12 | Sonora | Tuolumne | 95370 | 22.00 | 89 | 11 | 83 | 17 | -6 |
| 11-HOME-7672 | County of Tuolumne | Homeowner | M117672-01 | First-Time Homebuyer Mortgage Assistance Program | 8/30/12 | Sonora | Tuolumne | 95370 | 21.00 | 89 | 11 | 83 | 17 | -6 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
|---------------------------|--------------------|--------------|----------------|--|-------------------------|--------|----------|----------|--------------|---|-------------------------------|---|-------------------------|--------------------------------------|
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 09-HOME-6209 | County of Tuolumne | Homeowner | M096209-09 | First-Time Homebuyer Mortgage Assistance Program | 9/18/12 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |

| Standard Agreement Number | Contractor Name | Project Name | Project Number | Activity | Project Completion Date | City | County | ZIP Code | Census Tract | Project Census Tract Not Hispanic or Latino White Alone % | Census Tract Total Minority % | County Not Hispanic or Latino White Alone % | County Total Minority % | % Point Under or Over-representation |
|---------------------------|---|--|----------------|--|-------------------------|-------------|----------|----------|--------------|---|-------------------------------|---|-------------------------|--------------------------------------|
| 11-HOME-7672 | County of Tuolumne | Homeowner | M117672-04 | Owner-Occupied Rehabilitation Program | 6/18/13 | Sonora | Tuolumne | 95370 | 22.00 | 89 | 11 | 83 | 17 | -6 |
| 08-HOME-4714 | County of Tuolumne | Tenant Based Rental Assistance Program | M084714-08 | Tenant Based Rental Assistance Program | 5/31/13 | Sonora | Tuolumne | 95370 | 11.00 | 86 | 14 | 83 | 17 | -3 |
| 10-HOME-6841 | City of Woodland | Homeowner | M106841-02 | First-Time Homebuyer Mortgage Assistance Program | 8/10/12 | Woodland | Yolo | 95776 | NA | | | | | |
| 10-HOME-6841 | City of Woodland | Homeowner | M106841-03 | First-Time Homebuyer Mortgage Assistance Program | 9/25/12 | Woodland | Yolo | 95776 | NA | | | | | |
| 10-HOME-6841 | City of Woodland | Homeowner | M106841-04 | First-Time Homebuyer Mortgage Assistance Program | 5/28/13 | Woodland | Yolo | 95776 | NA | | | | | |
| 10-HOME-6841 | City of Woodland | Homeowner | M106841-05 | First-Time Homebuyer Mortgage Assistance Program | 6/25/13 | Woodland | Yolo | 95776 | NA | | | | | |
| 04-HOME-0716 | Community Housing Opportunities Corporation | Casa del Sol Mobile Home Park | M040716-01 | Rental New Construction Project | 7/27/12 | Woodland | Yolo | 95776 | 111.02 | 27 | 73 | 53 | 47 | 26 |
| 10-HOME-6839 | County of Yuba | Homeowner | M106839-02 | First-Time Homebuyer Mortgage Assistance Program | 2/12/13 | Marysville | Yuba | 95901 | 402.00 | 69 | 31 | 64 | 36 | -5 |
| 10-HOME-6839 | County of Yuba | Homeowner | M106839-01 | First-Time Homebuyer Mortgage Assistance Program | 11/16/12 | Plumas Lake | Yuba | 95961 | 407.00 | 60 | 40 | 64 | 36 | 4 |

Public Notices

A P P E N D I X F

APPENDIX F PUBLIC NOTICES

**DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT
Division of Financial Assistance**

2020 W. El Camino Ave, Suite 500, 95833
P. O. Box 952054
Sacramento, CA 94252-2054
(916) 263-2771 / FAX (916) 263-2763
www.hcd.ca.gov



August 21, 2013

FOR IMMEDIATE POSTING FOR COMMENT

**Draft 2012-13 Consolidated Annual Performance & Evaluation Report (CAPER) of the State
of California's Consolidated Plan**

The State of California, Department of Housing and Community Development (HCD) is soliciting public review and comment on the following:

- 1) The Draft Consolidated Annual Performance and Evaluation Report 2012-13 hereinafter referenced as the "CAPER," and
- 2) Issues to be considered in the next annual update of the State's Consolidated Plan.

Both of these address how more than \$66 million in federal funds received by the State are allocated by the Community Development Block Grant (CDBG), Home Investment Partnerships (HOME), Housing Opportunities for Persons with AIDS (HOPWA), Emergency Solutions Grant (ESG) and Lead Hazard Control programs annually. These funds are available to local governments or eligible developers for assistance to lower-income households, for activities including housing construction or rehabilitation, rental or ownership subsidies, special needs housing assistance, community economic development or public facilities or services, and lead hazard control.

The CAPER, which is being prepared for submittal to the Department of Housing and Urban Development (HUD), reports on specified federal housing and economic assistance allocated by the State for the period July 2012 through June 2013. The State CAPER does not address funds distributed directly to local governments (entitlement jurisdictions) by the federal government. The public review period for the CAPER and annual plan amendments is 15 days, and begins August 28, 2013. HCD must receive all comments on the Draft CAPER through the end of September 12, 2013.

The current 2013-14 Annual Plan Update and 2010-15 Consolidated Plan are posted on HCD's website on the page at: <http://www.hcd.ca.gov/hpd/hrc/rep/fed/>. Comments are solicited for priority housing and community development needs to be considered in the future allocation of funds from these programs.

The Draft CAPER for FY 2012-13 will be available for public review on HCD's website (<http://www.hcd.ca.gov/hpd/hrc/rep/fed/>) as of August 28, 2013, and in Sacramento at

HCD's Housing Resource Center in Room 501; at planning departments of counties with at least one non-entitlement jurisdiction, and the following libraries:

| <u>Library</u> | <u>City</u> | <u>Phone</u> |
|--|------------------------|---------------------|
| California State Archives | Sacramento | (916) 653-7715 |
| California State Library, Information Resources and Government Publications | Sacramento | (916) 654-0069 |
| California State University, Chico, Merriam Library, Government Publications Department | Chico | (530) 898-6502 |
| Fresno County Free Library, Government Publications | Fresno | (559) 488-3195 |
| Los Angeles Public Library, Serials Division | Los Angeles | (213) 612-3200 |
| Dr. Martin Luther King Jr. Library, Government Publications, San Jose State University | San Jose | (408) 808-2100 |
| San Diego Public Library, Science and Industry Department | San Diego | (619) 236-5813 |
| San Diego State University, Malcolm A. Love Library, Government Publications & Maps Division | San Diego | (619) 594-5834 |
| San Francisco Public Library, Government Information Center | San Francisco | (415) 557-4500 |
| Green Library, Receiving, Stanford University Libraries | Palo Alto | (650) 723-9372 |
| University of California, Berkeley, Government Documents Technical Services | Berkeley | (510) 642-1472 |
| University of California, Davis, Shields Library, Government Information and Maps Department | Davis | (530) 752-1624 |
| University of California, Los Angeles, Reference & Instructional Services Department, Young Research Library | Los Angeles | (310) 825-3135 |
| University of California, San Diego, Government Documents Unit | San Diego/ La Jolla | (858) 534-3336 |
| University of California, Santa Barbara, Library, Serials Receiving | Santa Barbara | (805) 893-8803 |

A limited number of copies of the CAPER are also available to entities or individuals unable to access one of the above sources. The Technical Appendix of the Financial Summary Reports will be available upon request. Written comments can be submitted via facsimile (916-263-2763), electronic mail (caper@hcd.ca.gov), or mailed to the following address:

Department of Housing and Community Development,
Division of Financial Assistance
P.O. Box 952054
Sacramento, California 94252-2054
Attention: Ann Hornbeck

In addition, public hearings will be held in the following locations:

| Location | Address | Date/Time | Phone No. |
|-----------------------------|---|---|----------------|
| Sacramento | Department of Housing and Community Development 2020 W. El Camino Ave, Room 410 Sacramento, CA | September 4 th (Wednesday) 12:00 noon – 4:00 p.m. | (916) 263-2771 |
| Riverside County | Department of Housing & Community Development Division of Codes and Standards Registration and Titling Program 3737 Main Street, Suite 400 Riverside, CA | August 28 th (Wednesday) 8:00 a.m. – 12:00 noon | (951) 782-4431 |
| Shasta County | Department of Housing and Community Development Division of Codes and Standards Registration and Titling Program 2986 Bechelli Lane, Suite 201 Redding, CA | September 4 th (Wednesday) 8:00 a.m. – 12:00 noon | (530) 224-4815 |

If you have any questions, would like addresses or phone numbers for the county planning departments or are in need of translators or special services, please contact this Department, prior to the review dates at (916) 263-2771. For translator or special services needs, please advise the Department within five working days of the review period in order to facilitate the request.

This proposal has been determined to be EXEMPT from California Environmental Quality Act (CEQA) (Public Resources Code Section 21080.10(b)) and CATEGORICALLY EXCLUDED from the National Environmental Policy Act (NEPA) (Title 24 Code of Federal Regulations 50.20(o)(2)).

DEPARTAMENTO DE VIVIENDA Y DESARROLLO COMUNITARIO**División de Financial Assistance**

2020 W. El Camino Ave, Suite 500, 95833

P. O. Box 952054

Sacramento, CA 94252-2054

(916) 263-2771 / FAX (916) 263-2763

www.hcd.ca.gov

**21 de Agosto de 2013****PARA COLOCAR INMEDIATAMENTE PARA DAR COMENTARIO****Propuesto Informe Anual Consolidado del Desempeño y Evaluación (CAPER)
Correspondiente al Año Fiscal 2012-13 del Plan Consolidado del Estado de California**

El Departamento de Vivienda y Desarrollo Comunitario del Estado de California (HCD) solicita que el público revise y comente acerca de lo siguiente:

- 1) El propuesto del Informe Anual Consolidado del Desempeño y Evaluación correspondiente al ejercicio 2012-13, de aquí en adelante mencionado como el "CAPER", y
- 2) Temas que serán considerados en la próxima actualización anual del Plan Consolidado del Estado.

Ambos indican la manera en que más de \$66 millones en fondos federales que recibe el Estado son adjudicados anualmente por los programas Community Development Block Grant (CDBG), Home Investment Partnerships (HOME), Housing Opportunities for Persons with AIDS (HOPWA), Emergency Solutions Grant (ESG) y Controlar el Peligro de Plomo (LHCP). Estos fondos están a disposición de los gobiernos locales o de constructores, que cumplen con ciertos requisitos, para ayudar a familias de bajos ingresos, para actividades que incluyen la construcción o rehabilitación de viviendas, para subsidios de alquileres o de adquisición de viviendas, para ayudar con las viviendas de personas con necesidades especiales, para el desarrollo económico comunitario o para facilidades o servicios públicos, y al controlar el peligro de plomo.

El CAPER, que se preparó para ser presentado al Department of Housing and Urban Development (HUD), informa solamente sobre ayuda federal específica para la vivienda y económica adjudicada por el Estado en el período que se extiende desde julio de 2012 hasta junio de 2013. El CAPER del Estado no se dirige a los fondos que el gobierno federal distribuyó directamente a los gobiernos locales (jurisdicciones de ayuda social). El período de revisión pública del CAPER y de enmiendas anuales del plan es de 15 días y comienza el 28 de agosto 2013. El HCD debe recibir todos los comentarios sobre el borrador del CAPER hasta el fin de 12 de Septiembre de 2013.

El Plan Anual del ejercicio 2013-14 y el Plan Consolidado de 2010-15 actualmente lo encuentra en el sitio "web" del HCD (se puede ver más abajo).

Aviso CAPER
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El Borrador del CAPER correspondiente el ejercicio 2012-13 estará disponible para la revisión publica en el sitio web del HCD (<http://www.hcd.ca.gov/hpd/hrc/rep/fed/>) a partir del 28 de agosto, y en Sacramento en el Centro de Recursos de Vivienda del HCD, en la Sala 501, así como en los departamentos de planificación de condados con al menos una jurisdicción de ayuda social, y en las siguientes bibliotecas:

| <u>Bibliotecas</u> | <u>Cuidad</u> | <u>Número de teléfono</u> |
|--|------------------------|----------------------------------|
| California State Archives | Sacramento | (916) 653-7715 |
| California State Library, Information Resources and Government Publications | Sacramento | (916) 654-0069 |
| California State University, Chico, Merriam Library, Government Publications Department | Chico | (530) 898-6502 |
| Fresno County Free Library, Government Publications | Fresno | (559) 488-3195 |
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| Dr. Martin Luther King Jr. Library, Government Publications, San Jose State University | San Jose | (408) 808-2100 |
| San Diego Public Library, Science and Industry Department | San Diego | (619) 236-5813 |
| San Diego State University, Malcolm A. Love Library, Government Publications & Maps Division | San Diego | (619) 594-5834 |
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| Green Library, Receiving, Stanford University Libraries | Palo Alto | (650) 723-9372 |
| University of California, Berkeley, Government Documents Technical Services | Berkeley | (510) 642-1472 |
| University of California, Davis, Shields Library, Government Information and Maps Department | Davis | (530) 752-1624 |
| University of California, Los Angeles, Reference & Instructional Services Department, Young Research Library | Los Angeles | (310) 825-3135 |
| University of California, San Diego, Government Documents Unit | San Diego/ La Jolla | (858) 534-3336 |
| University of California, Santa Barbara, Library, Serials Receiving | Santa Barbara | (805) 893-8803 |

Aviso CAPER
Página 3

También hay un número limitado de copias del CAPER a disposición de entidades o individuos sin acceso a ninguna de las fuentes que anteceden. El Apéndice Técnico de los Informes Financieros Resumidos estará disponible bajo pedido. Los comentarios por escrito pueden ser enviados por fax (916-263-2763), correo electrónico (caper@hcd.ca.gov), o por correo a la siguiente dirección:

Department of Housing and Community Development,
Division of Financial Assistance
P.O. Box 952054
Sacramento, California 94252-2054
Attention: Ann Hornbeck

Además, se celebrarán audiencias públicas en los siguientes lugares:

| Ubicación | Dirección | Fecha/Hora | Teléfono |
|-------------------------|--|---|-----------------|
| Sacramento | Department of Housing and Community Development 2020 W. El Camino Ave, Room 410 Sacramento, CA | 4 de Septiembre de 2013 (Miercoles) 12:00 de la tarde a 4:00 de la tarde | (916) 263-2771 |
| Riverside County | Department of Housing and Community Development Division of Codes and Standards Registration and Titling 3737 Main Street, Suite 400 Riverside, CA | 28 de agosto de 2013 (Miercoles) 8:00 de la mañana a 12:00 de la tarde | (951) 782-4431 |
| Shasta County | Department of Housing and Community Development Division of Codes and Standards Registration and Titling 2986 Bechelli Lane, Suite 201 Redding, CA | 4 de Septiembre de 2013 (Miercoles) 8:00 de la mañana a 12:00 de la tarde | (530) 224-4815 |

Si tiene alguna pregunta o desea obtener las direcciones o los números de teléfono de los departamentos de planificación de los condados, póngase en contacto con el Departamento llamando al (916) 263-2771. Además, si necesita servicios de traducción o servicios para atender necesidades especiales, indíquese al Departamento dentro de los cinco días laborables previos a la fecha de la audiencia, para permitirnos cumplir con su pedido.

Se ha determinado que esta propuesta está EXENTA de California Environmental Quality Act (CEQA) (Sección 21080.10(b) del Código de Recursos Públicos) y CATEGÓRICAMENTE

EXCLUIDA de National Environmental Policy Act (NEPA) (Título 24 del Código de Reglamentaciones Federales 50.20(o)(2)).